

*February 22-24, 2012*

# Legal Competency and Restoration Training for Mental Health Experts

*Sheraton Crescent Hotel  
2620 West Dunlap  
Phoenix, AZ*

*Presented by the Arizona Supreme Court  
Jointly Sponsored with Maricopa Integrated Health System  
and Arizona Psychological Association*



Contact:

Julee Bruno  
Arizona Supreme Court  
1501 W. Washington  
Phoenix, AZ 85007  
Phone: 602.452.3002

Arizona law restricts the performance of court-ordered competency evaluations in criminal and juvenile cases to mental health experts who are approved by the court under court-developed guidelines. This program is designed for licensed Arizona physicians and licensed Arizona psychologists with forensic experience who seek to become court-approved evaluators in criminal and juvenile cases. Faculty include judges and mental health experts from throughout Arizona.

The program provides 18.00 hours of continuing education for *adult* competency and restoration, and 4.00 hours for *juvenile* competency matters. Evaluators currently on a court-approved list are encouraged to attend and may be required by a local court to attend as part of the requirements to remain on the approved list. *Attendance at this program does not guarantee placement on the court-approved list of evaluators.*

Program materials will be available February 22, 2012 at 7:30 a.m. Daily sign-in is required for certificate of completion. COJET approved for judicial officers; 24.00 CE hours approved for Ph.D. participants, and 24 CME hours approved for M.D. attendees.

Registration preference will be given to psychiatrists and psychologists interested in conducting competency evaluations for the court. Judges and Commissioners are also encouraged to attend. Residents and graduate students may register for limited seating—call for additional information.

**Enrollment limited to 150—don't delay!**

## Program Faculty

- |  |  |   |
|--|--|---|
| <ul style="list-style-type: none"> <li>• Bruce Alldredge (inv)</li> <li>• Lawrence Allen, Ph.D.</li> <li>• Honorable Deborah Bernini</li> <li>• Teresa Bertsch, M.D.</li> <li>• Michael Christiansen , Ph.D.</li> <li>• Erin Cohen *</li> <li>• Shelly Curran, LPC</li> <li>• Honorable Louis Dominguez</li> <li>• Joel Dvoskin, Ph.D.</li> <li>• Tom Geen, Ph.D.</li> <li>• Tom Grisso, Ph.D.</li> <li>• Heather Gulino, Ph.D.</li> </ul> | <ul style="list-style-type: none"> <li>• Honorable Michael Hintze *</li> <li>• Bradley Johnson, M.D.</li> <li>• Josephine Jones, Esq.</li> <li>• Kent Kearney, Esq.</li> <li>• Gwen Levitt, D.O. *</li> <li>• Deborah Lewis, Ph.D.</li> <li>• Sergio Martinez, Ph.D.</li> <li>• Honorable Rosa Mroz</li> <li>• Joel Parker, M.D. *</li> <li>• Gary Perrin, Ph.D.</li> <li>• Rebecca Quince</li> <li>• Catherine Robbins</li> </ul> | <ul style="list-style-type: none"> <li>• Geraldine Roll, Esq.</li> <li>• Deborah Salomone</li> <li>• James Seward, Ph.D.</li> <li>• Paul Simpson, Ed.D.</li> <li>• Scott Sindelar, Ph.D.</li> <li>• Honorable Patti Starr</li> <li>• Stephen Streitfeld, M.D.</li> <li>• Fredrica Strumpf, Esq. *</li> <li>• Rebekah Trexler</li> <li>• John Walker, Psy.D.</li> <li>• Julie Warzynski, Esq. *</li> </ul> |
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\* *planning committee member*

### PROGRAM AGENDA

*Classes begin promptly at 8:00 a.m. and conclude at 5:00 p.m. daily.  
Daily sign-in is required for certificate of completion.*

#### Wednesday, February 22, 2012

- |               |  |
|---------------|--|
| 7:30 – 8:30   | Registration   |
| 8:30 – 9:00   | Welcome  |
| 9:00 – 10:00  | Arizona Overview of Rule 11 Competency Case Law  |
| 10:15 – 11:30 | Expert Testimony   |
| 11:30 – 12:30 | Lunch (hosted)   |
| 12:30 – 1:00  | Arrest to Sentencing   |
| 1:00 – 2:00   | Legal Criteria for Competency & Rule 11, including Evaluations, Case Law, Statutes and Court Rules   |
| 2:15 – 5:00   | Table Top Discussions – How to Conduct an Evaluation; Competent/Non-Competent; Restorable/Non Restorable; Obvious Incompetent; Factually Incompetent – Unable to Assist; Malingering/Unclear |
| 5:00 – 7:00   | Reception  |

#### Thursday, February 23, 2012

- |               |   |
|---------------|---|
| 7:30 - 8:00   | Registration  |
| 8:00 - 8:30   | Assessment Tools  |
| 8:30 - 9:30   | Ethics  |
| 9:30 - 10:15  | Guilty Except Insane  |
| 10:15 - 10:30 | Break   |
| 10:30 - 11:00 | Statutory Role and Responsibility of the Clinical Liaison                   |
| 11:00 - 12:15 | Restoration to Competency   |
| 11:15 - 1:00  | Lunch (hosted)  |
| 1:00 - 2:15   | Regional Breakouts - RHBA, Adult Probation and Correctional Health Services |
| 2:15 - 2:30   | Break   |
| 2:30 - 3:15   | Misdemeanor Cases   |
| 3:15 - 4:30   | COE/COT/Title 14/Title 36   |
| 4:30- 5:30    | Meet with Mental Health and Juvenile Court judges                           |

#### Friday, February 24, 2012

- |               |  |
|---------------|--|
| 7:30 – 8:00   | Registration   |
| 8:00 – 9:00   | Cultural Awareness   |
| 9:00 - 9:30   | Records, Collateral and Third Party Information in Forensic Mental Examinations              |
| 9:30 - 9:45   | Break  |
| 9:45 - 11:30  | Mock Evidentiary Hearings  |
| 11:30         | Adult Competency Adjourns  |
| 11:30 - 12:00 | Break  |
| 12:00 - 1:30  | Current Research and Issues in Juvenile Competency   |
| 1:30 - 1:45   | Break  |
| 1:45 - 2:30   | Current Research (continued)   |
| 2:30–4:15     | Juvenile Evaluations—How to Conduct, How to Report (includes 15 minute break)                |
| 4:15 - 5:00   | Supervision of Juvenile Restoration Specialists; Incorporating IEP into Juvenile Evaluations |
| 5:00 - 5:15   | Wrap up, Evaluation, Adjournment   |

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## Learning Objectives

At the conclusion of this program, participants will be qualified to apply to their local court to serve as a mental health expert in competency and restoration cases. This program will address the following areas of study:

### From Arrest to Sentencing – Where Rule 11 Fits In

- Describe overview of criminal process, from arrest to sentencing.
- Explain when questions of competency can come up during the criminal and judicial process.

### Legal Criteria for Competency and Rule 11 Evaluations

- Identify GEI standards
- Explain Arizona's competency standards and statutes.
- Describe treatment, training and restoration programs available in Arizona.
- Demonstrate forms to access to complete evaluations

### Conducting & Completing a Competency Evaluation Malingering – Identifying and Impact on Competency

- Describe content areas in competency evaluation.
- Conduct a standard competency evaluation
- Describe content areas required by statutes in competency evaluation report.
- Write a comprehensive competency evaluation report with clear conclusions, and sufficient justifications for all conclusions and recommendations.
- Define malingering, and how to rule out malingering.
- Discuss impact of malingering on competency.

### Guilty Except Insane

- Provide overview of Arizona's statutes and case law on GEI and describe legal criteria for GEI determination.
- Describe role, responsibility, and functioning of Psychiatric Security Review Board with regard to GEI cases.
- Describe function and responsibilities of GEI Forensic Unit at the Arizona State Hospital.
- Conduct a standard GEI evaluation.
- Write a comprehensive GEI evaluation report with clear conclusions and sufficient justifications for all opinions and recommendations

### Special Concerns with Lower Court (Misdemeanor Cases)

- Identify restoration options for defendants
- Identify options for municipalities in restoration cases

### Cultural Awareness and Challenges to Conducting and Evaluation

- Identify and respond appropriately to cultural issues when conducting a competency evaluation

### Assessment Tools for Competency, Including Neuropsychology Assessments

- Describe available assessment tools
- Describe when to use the assessment tools
- Explain which assessment tools to use in a particular case.
- Define neuropsychological evaluation and information provided.
- Describe when a neuropsychological evaluation might be indicated as part of Rule 11 evaluation process.

### Collateral and Third Party Information (Records)

- Obtain correct information from defense counsel for evaluation.
- Determine what information is relevant to the evaluation.
- Request supplemental information of prior Rule 11s and/or restorations.

### Expert Testimony & Testifying

- Provide competent testimony
- Testify with confidence & competence

### Competency, COE/COT, Title 14/Title 36

- Define Titles 13, 14 & 36.
- Describe the interplay between Title 13, 14 & 36
- Explain how to provide continuity of care
- Explain how guardianship or conservatorship with mental health powers assist defendants

### Regional Behavioral Health Agencies (RBHA): CMHC/Adult Probation

- Explain the RBHA system in Arizona
- Describe working relationship between RBHA, court, mental health experts, defendants
- Explain the role of the MH Court Coordinator
- Describe role of the Adult Probation department and MH defendants
- Work successfully with court and probation department

### Clinical Liaisons

- Describe the role of the clinical liaison
- Work successfully with clinical liaison, court and defendant

### Ethical Issues for Expert Mental Health Providers

- Describe the ethical boundaries in which doctors must work

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## Registration

**\$450**  
By **2/3/2012**

**\$500**  
After **2/3/2012**

Registration Deadline is  
**Friday, February 3, 2012**

Registration fee includes 3 continental breakfast, 3 lunches, welcome reception, 3 afternoon breaks and all training materials.

To register, complete the form on page five of this document and return with payment in full.

All cancellations must be in writing to [jbruno@courts.az.gov](mailto:jbruno@courts.az.gov).  
Cancellations made prior to February 3, 2012 will be refunded, less a 25% administrative fee.

No refunds for cancellations after February 3, 2012.

Contact Julee Bruno at 602.452.3002 with registration questions.

### **SPECIAL ACCOMMODATIONS**

If you need special accommodations due to a disability for your attendance at this program, including auxiliary aids or materials in an alternative format, contact

Julee Bruno at 602.452.3002

602.307.1283 (fax)

602.452.3545 (TTY)

[jbruno@courts.az.gov](mailto:jbruno@courts.az.gov)

as soon as possible.

### **Pending Sponsorship and Accreditation**

*This activity has been planned and implemented in accordance with the Essential Areas and policies of the Accreditation Council for Continuing Medical Education through the joint sponsorship of Maricopa Integrated Health System and the Arizona Supreme Court. Maricopa Integrated Health System is accredited by the ACCME to provide continuing medical education for physicians. Maricopa Integrated Health System designates this live activity for a maximum of 24 AMA PRA Category 1 Credits™. Physicians should claim only the credit commensurate with the extent of their participation in the activity.*

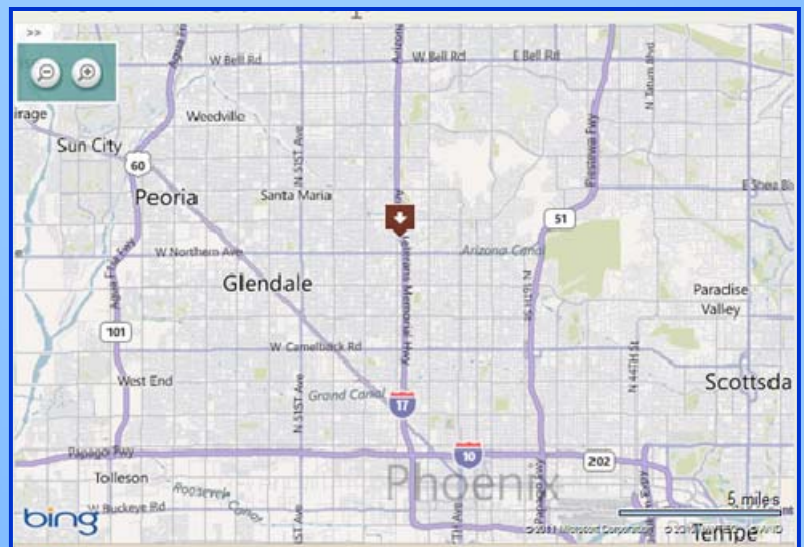
*This program is co-sponsored by the Arizona Psychological Association. AzPA is approved by the American Psychological Association to sponsor continuing education for psychologists. AzPA maintains co-responsibility for this program and its content. The program is accredited for 22.00 CE Category 1 credits for those who successfully complete the entire three-day program.*

*Participants should only claim credit commensurate with the extent of their participation in the activity.*

### **Conference Site and Lodging Accommodations**

The Sheraton Crescent Hotel is the site for this year's program and is located at 2620 W. Dunlap in Phoenix, Arizona. Participants should make their own reservations by going to the hotel's conference [website](#) or by calling **(602) 943-8200** and asking for the conference rate of \$133.66 per night, inclusive.

Make reservations by **January 24, 2012** — rooms will be available at this rate on an "as available" basis only after this date.



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**2620 West Dunlap Avenue**  
**Phoenix, Arizona 85021**  
**602.943.8200**

# Legal Competency and Restoration Training For Mental Health Experts

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2620 West Dunlap Avenue  
Phoenix, AZ 85021

**To Register: Complete and return by February 3, 2012 with payment**

*Please print legibly or type – your conference materials will reflect the information provided herein*

Name

Mailing Address

E-Mail

City

**Arizona**

Zip Code

Phone

Fax

Job Title

**Mail to:**

Arizona Supreme Court  
Education Services Division, Attention Julee Bruno  
1501 W. Washington  
Phoenix, AZ 85007

**Walk-in Registration to:**

Judicial Education Center  
541 E. Van Buren, Suite B4  
Phoenix, Arizona

I will attend the following programming segment:

- Entire program (adult and juvenile) – February 22-24, 2012
- Adult program only – February 22, 23, and 24 (AM only), 2012
- Juvenile program only – February 24, 2012 (PM only) – contact for information on reduced rates

- Registration fee \$450 (\$350 for faculty) – postmarked through 2/3/2012
- Registration fee \$500 – postmarked after 2/3/2012
- Payment **MUST** be enclosed with registration
  - Make check or money order payable to “Arizona Supreme Court” **OR**
  - Complete attached “credit card authorization” and submit with registration or fax to 602.307.1283
- Cancellations subject to 25% administrative fee
- **NO REFUNDS FOR CANCELLATIONS** after 2/3/2012

If you need special accommodations for your attendance at this program, including auxiliary aids, materials in alternative formats, or have special dietary needs, please contact

Julee Bruno at Education Services, 1501 W. Washington, Phoenix, AZ 85007  
call (602) 452-3002; TTY (602) 354-1028; fax (602) 307-1283; or E-mail: [jbruno@courts.az.gov](mailto:jbruno@courts.az.gov)

**FOR AOC USE ONLY**

Registration processed and confirmed. This serves as your receipt of payment

Payment Received

Date Received

Check/Money Order #

Cash Receipt Log #

Registration is being returned – unprocessed, for the following reason(s)

incorrect/no registration fees enclosed

session is full – you are on the program waiting list

**ARIZONA SUPREME COURT  
Education Services Division**

**Credit Card Authorization**

<b>Program:</b> Mental Health Competency & Restoration	<b>Program Date:</b> February 22-24, 2012
<b>Name on Credit Card:</b>	<b>Signature:</b>
<b>Circle Card (circle)</b>  VISA                      MasterCard	<b>Card Number:</b>
<b>Expiration Date:</b>	<b>Security Code:</b>
<b>Phone Number:</b>	<b>E-Mail Address:</b>
<b>Registrant(s) Name(s):</b>	<b>Total Amount:</b>
<b>FOR AOC USE ONLY</b>	
<b>Date Received:</b>	<b>Cash Receipt Log No:</b>
<b>JEPA Account:</b>  JEPA 400	<b>Approval Code:</b>

Please return with copy of registration form to:

Julee Ewy Bruno  
Education Services Division  
Arizona Supreme Court  
1501 W. Washington  
Phoenix, AZ 85007  
[jbruno@courts.az.gov](mailto:jbruno@courts.az.gov)  
602.307.1283 (confidential fax)