State of Arizona COMMISSION ON JUDICIAL CONDUCT

Disposition of Complaint 07-227

Complainant:

Judge:

No. 0308110574A

No. 0308110574B

ORDER

The Commission on Judicial Conduct reviewed a self-reported incident involving delay, and found no wrongdoing on the part of the judge. Although three cases were ruled on after the applicable time limits had expired, the cause of the delay was a procedural problem within the court that the judge has since corrected.

The complaint is dismissed pursuant to Rules 16(a) and 23(a).

Dated: December 4, 2007.

FOR THE COMMISSION

<u>\g\ Keith Stott</u> Executive Director

Copies of this order were mailed to the complainant and the judge on December 4, 2007.

This order may not be used as a basis for disqualification of a judge.



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Arizona Superior Court

AUG 2 3 2007

CJC-07-227

August 21, 2007

E. Keith Stott, Jr., Executive Director State of Arizona Commission on Judicial Conduct 1501 W. Washington Street, Suite 229 Phoenix, AZ 85007

Re: Tardy rulings on motions pending for more than sixty (60) days

Dear Mr. Stott:

Regretfully, I submit this report of recently discovered oversights that resulted in my failure to timely rule on pending motions in the above-referenced matters. Canon 3.C. of the Arizona Code of Judicial Conduct.

On this matter was referred to ADR as Plaintiff's Motion to Set and Certificate of Readiness filed on indicated the case was subject to compulsory arbitration. In addition, Plaintiff's Certificate of Compulsory Arbitration and the parties' Joint Alternative Dispute Resolution Statement to the Court indicated that this case was subject to compulsory arbitration.

On Plaintiff filed a Motion for Finding that Statements in Request for Admissions are Deemed Admitted and the Judicial Assistant forwarded the motion to the file for the arbitrator to address.

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On _______ the Plaintiff filed a second Motion to Set and Certificate of Readiness that indicated the case was not subject to Arbitration. When the response time had elapsed and the Judicial Assistant obtained the file from the file room, it was discovered that no arbitrator had been assigned and the file had been returned by ADR to the ______ Clerk's Office where it was placed in the file room without notice to our Division. The Motion for Finding that ______ Statements in Request for Admissions are Deemed Admitted remained pending. On _______ I ruled on the Motion for Finding that Statements in Request for Admissions are Deemed

Admitted to which no response had been filed and set a Status Conference to address the conflicting information regarding compulsory arbitration.

As the result of this event, my division will tickle motions filed in arbitration cases to review the file in order to confirm the appointment of an arbitrator and determine whether the responsibility for ruling upon any pending motion at issue belongs to an arbitrator or to me.

On _______ the notice setting a hearing on _______ at 10:00 a.m. to consider the Personal Representative's Motion to Dismiss , filed on _______ was processed and mailed to counsel for the personal representative. A subsequent file review revealed that the Court's calendar for _______ failed to list the matter for hearing on that date despite the setting, therefore, the file was not retrieved from the file room to inform me of the pending Motion to Dismiss. Moreover, no party or counsel appeared on ________ therefore, no action was taken.

This was a calendaring error coupled with a nonappearance of party or counsel. The error was discovered in _____ when the file was reviewed for inactivity, and the motion to dismiss was reset for hearing on ______ at which time the case was dismissed. This appears to have been a mistake for which current procedures are adequate when followed, and no additional procedures are necessary.

On this matter was assigned to my Division after transfer from Navajo County. A Petition for Approval of Annual Account was pending. After conducting a couple of status conferences, the Petition for Approval of Annual Account was set for review on the E. Keith Stott, Executive Director State of Arizona Commission on Judicial Conduct August 21, 2007 Page 3

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Court's Non-Appearance Calendar of ______ The Court Accountant Review Report was filed ______ and the Conservator did not file any response to the Court Accountant Review Report. Following my review of the file on ______ the matter was to have been set for hearing on the Division's ______ probate calendar, but the file was mislaid until discovered on ______ at which time a hearing on the Petition for Approval of Annual Accounting was set for _______ at 9:00 a.m. at which time it will be addressed. Again, this appears to have been an oversight for which current procedures are adequate when followed, and no additional procedures are necessary.

Please bring this matter to the State of Arizona Commission on Judicial Conduct, allow me to provide you any additional information requested, and advise me of any action needed to be taken.