IN THE SUPREME COURT OF THE STATE OF ARIZONA

Supreme Court No. R-05-0002

FILED
MAR 2 9 2005
NOELK DESSAINT
CLERK SUPREME COURT
BY

ORDER AMENDING RULE 7, UNIFORM RULES OF PROCEDURE FOR COMMISSIONS ON APPELLATE AND TRIAL COURT APPOINTMENTS

IT IS ORDERED that Rule 7, Uniform Rules of Procedure for Commissions on Appellate and Trial Court Appointments, be amended in accordance with the attachment hereto,* effective as of April 4, 2005.

DATED in the City of Phoenix, Arizona at the Arizona Courts Building, this 29thday of March, 2005.

For the Court:

CHARLES E. JONES

Chief Justice

^{*} Changes or additions in text are indicated by <u>underscoring</u> and deletions from text are indicated by <u>strikeouts</u>.

Amendments to Rule 7, Rules of Procedure for Commissions on Appellate and Trial Court Appointments

Text that is stricken through indicates text deleted from Rule 7.

Underscored text is new text added to Rule 7.

Rule 7. Application

- a. Every applicant shall complete and file with the Clerk of the Supreme Court Administrative Office of the Supreme Court an original and at least sixteen (16) copies of the "Application for Nomination to Judicial Office," as specified in the public announcement of each judicial vacancy. The application shall be on a form approved by the Supreme Court after opportunity for public comment.
- b. The original application of a person not appointed by the Governor shall be retained for six months after the application deadline date stated on the first page of the judicial application. All documents received with respect to the person's application shall also be retained for six months. At the applicant's request, the original application and any supplemental material submitted by the applicant may be returned to the applicant at any time during the six months. Unless earlier returned to the applicant, Aall documents shall be retained on file and provided to the appropriate Commission for any vacancy for which the person applies during the six-month period the documents remain on file, unless the applicant states in writing that he or she does not wish to apply for any subsequent vacancy occurring within the six-month period. If the application has not been returned to the applicant and is not being considered for any other pending vacancy, at the expiration of the six-month period the application and all supplemental materials submitted by the applicant or any third party shall be destroyed.
- c. Applications and documents on file for each judicial vacancy shall be provided to the members of the appropriate Commission at least seven days prior to the first Commission meeting concerning each vacancy.

- d. Applications and materials received regarding an applicant Except as provided in subsection (2) below, information provided to the Commission by the applicant or by a third party shall remain be presumed to be confidential available to the public.
 - (1) The following shall be available to the public:
- (A) The applicant's name, occupation, employer, relevant work history, any other information provided in response to Section I of the application form, and any supplemental material submitted by the applicant relating to Section I;
- (B) Any information that is specifically authorized for release by the source of that information.
- (2) The following information shall remain confidential throughout the nomination and appointment process for use only in accordance with these rules. until destroyed at the conclusion of the six-month period pursuant to subsection B:
- (A) The applicant's home address, information regarding the applicant's family, and all other information that is provided to the Commission in response to questions contained in Section II of the application form;
- (B) Information provided in writing or orally to the Commission by third parties regarding an applicant, and the third party's identity, unless the third party specifically states in writing that the information may be made public;
- (C) Notes of the individual Commissioners that are generated for personal use only and not published to other members of the Commission;
- (D) Any information that is provided to a member of the Commission after a promise of confidentiality is properly extended to the source by that Commissioner pursuant to Rule 8(b) or 9(d);
- (E) Any information obtained by or submitted to the Commission that is made confidential by other provisions of law.

APPLICATION FOR NOMINATION TO JUDICIAL OFFICE

Division AZ, 85 instruction applies applies provide interving nomine INCLL	on, Ao 5007, ctions cation cable ded m dewee dees a	dministrative (not later than the thoroughly be will be held in the	Office of the an 3:00 efore componistrictest or contract that you had been are made of the Government of the Gove	e Courts, 150 p.m. on p.m. on p.m. on possible succession members on for revieus portion (\$\frac{9}{2}\)	be filed with the Human of W. Washington, Suite, 20 Read oplication form. The corbject to the requirement is not confidential and the ers. The names of application files pertow. THIS ENTIRE APPLEMENTALY.	e 227, Phoenix, I the application etents of the es of the che information icants, aining to LICATION,
					INFORMATION HROUGH 71)	
			PERS	ONAL INFO	RMATION	
1.	Full	Name:				
2. name:		e you ever us	ed or been	known by ar	ny other legal name?	If so, state
3.	Offic	ce Address:				
	Mail	ing Address:	(MOVED	TO SECTION	N II)	
4.	Hav	e you been a	resident of	Arizona for t	he past five years?	
5	Hav	e vou heen a	resident of		County for the past yea	ar?

6.	Birth Date:		Age	:
	be 30 years of age		ng offi	nd 37, require that judicial nominees ce and younger than age 65 at the
7.	of each: (The Arizona Cons		37, red	egistrations and approximate dates quires that not all nominees sent to on.)
8.	Gender:	Race/Ethnicity:	[] [] [] [] []	White Hispanic or Latino (of any race) Black or African American American Indian or Alaska Native Asian Native Hawaiian/Pacific Islander Other:
	•		_	nd 41, require that the Commission 's population in making its

EDUCATIONAL BACKGROUND

nominations. However, the primary consideration shall be merit.)

- 9. List names and locations of schools attended (college, advanced degrees and law), dates attended and degrees.
- 10. List major and minor fields of study and extracurricular activities.
- 11. List scholarships, awards, honors, citations and any other factors (e.g., employment) you consider relevant to your performance during college and law school.

PROFESSIONAL BACKGROUND AND EXPERIENCE

12.	of ad	Ill courts in which you have been a mission. Give the same information all admission to practice.	•	
13.	a.	Have you ever been denied adm failure to pass the character and		
	b.	Have you ever had to take a barbe admitted to the bar of any sta		
14.	your o comp unem	ate your employment history since current position first. If you have releting your formal education, descriptoyment or other professional in a resume.	not been employed c cribe what you did du	continuously since uring any periods of
	EMPI	LOYER	DATES	LOCATION
15.	letter	our current law partners and asso head or other printed list. Applica es currently on the bench in the co	nts who are judges s	should attach a list of
16.		ribe the nature of your present law n you practice and the percentage		•
17.	List o	ther areas of law in which you hav	ve practiced.	
18.		ate any specialties for which you h of Arizona and the results of that o		•
19.	Desc	ribe your typical clients.		
20.		you served regularly in a fiduciary senting clients? If so, give details	, ,	as a lawyer
21.		ribe your experience as it relates t ments, statutes and/or rules.	o negotiating and dr	afting important legal

22.	Have you practiced in adversary proceedings before administrative boards or commissions? If so, state:				
	a.	The agencies and the approximate number of adversary proceedings in which you appeared before each agency.			
	b.	The approximate number of these matters in which you appeared as:			
		Sole Counsel:			
		Chief Counsel:			
		Associate Counsel:			
23.		you handled any matters that have been arbitrated or mediated?state the approximate number of these matters in which you were involved			
		Sole Counsel:			
		Chief Counsel:			
		Associate Counsel:			
24.	as to e addres the pa (4) a s nonpu	ot more than three contested matters you negotiated to settlement. State each case: (1) the date or period of the proceedings; (2) the names, sses (street and e-mail) and telephone numbers of all counsel involved and arty each represented; (3) a summary of the substance of each case: and statement of any particular significance of the case. You may reveal ublic, personal, identifying information relating to client or litigant names or information in the confidential portion of this application.			
25.	Have	you represented clients in litigation in Federal or Arizona trial courts? If so, state:			
	The approximate number of cases in which you appeared before:				
		Federal Courts:			
		State Courts of Record:			
		Municipal/Justice Courts:			

The approximate percentage of those cases which have been:			
Civil:			
Criminal:			
The approximate number of those cases in which you were:			
Sole Counsel:			
Chief Counsel:			
Associate Counsel:			
The approximate percentage of those cases in which:			
You conducted extensive discovery ¹ :			
You wrote and filed a motion for summary judgment:			
You wrote and filed a motion to dismiss:			
You argued a wholly or partially dispositive pre-trial, trial or post-trial motion (e.g., motion for summary judgment, motion for a directed verdict, motion for judgment notwithstanding the verdict):	ı		
You made a contested court appearance (other than as set forth in above response)			
You negotiated a settlement:			
The court rendered judgment after trial:			
A jury rendered verdict:			
Disposition occurred prior to any verdict:			
The approximate number of cases you have taken to trial:			
Note: If you approximate the number of cases taken to trial,	Court		
explain why an exact count is not possible.	Jury		

 $^{^{1}\}mbox{\rm Extensive}$ discovery is defined as discovery beyond standard interrogatories and depositions of the opposing party.

26.	Have you practiced in the Federal or Arizona appellate courts? If so, state:
	The approximate number of your appeals which have been:
	Civil:
	Criminal:
	The approximate number of matters in which you appeared:
	As counsel of record on the brief: AZ U.S
	Personally in oral argument: AZ U.S
27.	Have you served as a judicial law clerk or staff attorney to a court? If so, state the name of the court and dates of service, and describe your experience.
28.	List not more than five cases you litigated or participated in as an attorney before mediators, arbitrators, administrative agencies, trial courts or appellate courts. State as to each case: (1) the date or period of the proceedings; (2) the name of the court or agency and the name of the presiding judge or officer before whom the case was heard; (3) the names, addresses (street and e-mail) and telephone numbers of all counsel involved and the party each represented; (4) a summary of the substance of each case; and (5) a statement of any particular significance of the case. You may reveal nonpublic, personal, identifying information relating to client or litigant names or similar information in the confidential portion of this application.
29.	If you now serve or have previously served as a mediator, arbitrator, part-time or full-time judicial officer, or quasi-judicial officer (e.g., administrative law judge, hearing officer, member of state agency tribunal, member of State Bar professionalism tribunal, member of military tribunal, etc.), give dates and details including the courts or agencies involved, whether elected or appointed, periods of service and a thorough description of your assignments at each court or agency. Include information about the number and kinds of cases or duties you handled at each court or agency (e.g., jury or court trials, settlement conferences contested hearings, administrative duties, etc.).
30.	List not more than five cases you presided over or heard as a judicial or quasi- judicial officer, mediator or arbitrator. State as to each case: (1) the date or period of the proceedings; (2) the name of the court or agency; (3) the names,

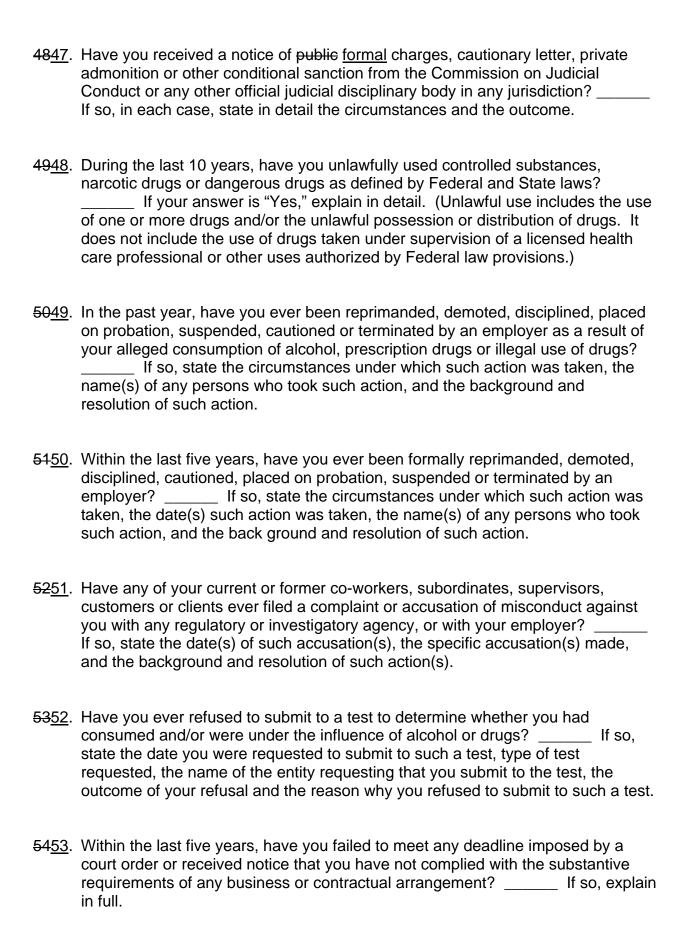
addresses (street and e-mail) and telephone numbers of all counsel involved and the party each represented; (4) a summary of the substance of each case; and (5) a statement of any particular significance of the case. You may reveal nonpublic, personal, identifying information relating to client or litigant names or similar information in the confidential portion of this application.

31. Describe any additional professional experience you would like to bring to the Commission's attention.

BUSINESS AND FINANCIAL INFORMATION

32.	Have you ever been engaged in any occupation, business or profession other than the practice of law or holding judicial or other public office, other than as described at question #14? If so, give details, including dates.
33.	Are you now an officer, director or majority stockholder, or otherwise engaged in the management, of any business enterprise? If so, give details, including the name of the enterprise, the nature of the business, the title or other description of your position, the nature of your duties and the term of your service.
	Is it your intention to resign such positions and withdraw from any participation in the management of any such enterprises if you are nominated and appointed? If not, give reasons.
34.	Have you filed your state or federal income tax returns for all years you were legally required to file them? If not, explain.
35.	Have you paid all state, federal and local taxes when due? If not, explain.
36.	Are there currently any judgments or tax liens outstanding against you? If so, explain.
37.	Have you ever violated a court order including but not limited to an order for payment of child or spousal support? If so, explain.

38.	Have you ever been a party to a lawsuit, excluding divorce? If so, indicate nature of lawsuit, whether you were a plaintiff or defendant, disposition of case and location of lawsuit.
39.	If your parents, siblings, spouse or children are employed or engaged in any business or profession, state their names and the name and address of their employer or the business in which they are engaged. (MOVED TO SECTION II)
4 <u>039</u> .	Do you have any financial interests, investments or retainers that might conflict with the performance of your judicial duties? If so, explain.
	CONDUCT AND ETHICS
41 <u>40</u> .	Have you ever been expelled, terminated, or suspended from employment, or any school or course of learning on account of plagiarism, cheating or any other "cause" that might reflect in any way on your integrity? If so, give details.
42 <u>41</u> .	Are you currently charged with or have you ever been arrested for or convicted of any felony, misdemeanor (other than a minor traffic offense), or violation of the Uniform Code of Military Justice? If so, give details.
43<u>42</u>.	If you performed military service, please indicate the date and type of discharge. If other than honorable discharge, explain.
44 <u>43</u> .	List and describe any litigation (including mediation, arbitration, negotiated settlement and/or malpractice claim you referred to your insurance carrier) concerning your practice of law.
45<u>44</u>.	List and describe any litigation involving an allegation of fraud in which you were or are a defendant.
4 <u>645</u> .	List and describe any sanctions imposed upon you by any court for violation of any rule or procedure, or for any other professional impropriety.
47 <u>46</u> .	To your knowledge, has any formal charge of professional misconduct ever been filed against you by the State Bar or any other official attorney disciplinary body in any jurisdiction? If so, when? How was it resolved?



55 54.	substantive requirements of any business or contractual arrangement, including but not limited to bankruptcy proceedings? If so, explain in full.
	PROFESSIONAL AND PUBLIC SERVICE
56 55.	Have you published any legal or non-legal books or articles? If so, list with the citations and dates.
57 56.	Are you in compliance with the continuing legal education requirements applicable to you as a lawyer or judge? If not, explain.
58 57.	Have you taught any courses on law or lectured at bar associations, conferences, law school forums or continuing legal education seminars? If so, describe.
59 58.	List memberships and activities in professional organizations, including offices held and dates.
	Have you served on any committees of any bar association (local, state or national) or have you performed any other significant service to the bar?
	List offices held in bar associations or on bar committees. Provide information about any activities in connection with <u>pro bono</u> legal services (defined as services to the indigent for no fee), legal related volunteer community activities o the like.
60<u>59</u>.	Describe the nature and dates of any community or public service you have performed that you consider relevant.
61<u>60</u>.	List any professional or civic honors, prizes, awards or other forms of recognition you have received.
62 61.	List any elected or appointed offices you have held and/or for which you have been a candidate, and the dates.
	Have you been registered to vote for the last 10 years?

	Have you voted in all general elections held during those years?explain.	If not,
63 62.	Describe any interests outside the practice of law that you would like to b	ring to

HEALTH

the Commission's attention.

64<u>63</u>. Are you physically and mentally able to perform the essential duties of a judge in the court for which you are applying? _____ Please identify any accommodations you may need to perform the job.

REFERENCES

- 65. List the names, addresses, telephone numbers and e-mail addresses of three references who are lawyers or judges, and who are familiar with your professional activities, who would enthusiastically recommend you as qualified to serve on the judiciary.
- 66. List the names, addresses, telephone numbers and e-mail addresses of three persons who are neither lawyers nor judges, with whom you have had contact other than professionally, who would enthusiastically recommend you as qualified to serve on the judiciary.
- 67. List the names, addresses, telephone numbers and e-mail addresses of four lawyers with whom you have continuously dealt on substantive matters as adversaries in the last five years. If you have been a full-time judicial or quasi-judicial officer for the last five years, list the names, addresses, telephone numbers and e-mail addresses of four lawyers who have frequently appeared before you in contested matters.
- 68. List the names, addresses, telephone numbers and e-mail addresses of three references who have served as fellow members of bar or professional association committees or activities, who would comment on your participation.
- 69. List the names, addresses, telephone numbers and e-mail addresses of three references who are or were fellow participants in community organizations or activities, who would comment on your participation.

NOTE: MOVED "REFERENCES" QUESTIONS TO SECTION II.

ADDITIONAL INFORMATION

70<u>64</u>.	The Arizona Constitution requires that the Commission consider the diversity of the state's or county's population in making its nominations. Provide any information about yourself (your heritage, background, experience, etc.) that may be relevant to this requirement.
71<u>65</u>.	Provide any additional information relative to your application or qualifications you would like to bring to the Commission's attention at this time.
72 <u>66</u> .	If you were selected by this Commission and appointed by the Governor to serve, are you aware of any reason why you would be unable or unwilling to serve a full term? If so, explain.
73<u>67</u>.	If selected for this position, do you intend to serve fully, including acceptance of rotation to areas outside your areas of practice or interest? If not, explain.
74<u>68</u>.	Attach a brief statement explaining why you are seeking this position.
75 <u>69</u> .	Attach a professional writing sample, which you personally drafted (e.g., brief or motion). The sample should be no more than a few pages in length. You may excerpt a portion of a larger document to provide the writing sample. Please redact any personal, identifying information regarding the case at issue, unless it is a published opinion, bearing in mind that the writing sample will be made available to the public on the commission's website.
76 70.	If you have ever served as a judicial or quasi-judicial officer, mediator or

- 7670. If you have ever served as a judicial or quasi-judicial officer, mediator or arbitrator, attach sample copies of not more than two written orders, findings or opinions (whether reported or not) which you personally drafted. The writing sample(s) should be no more than a few pages in length. You may excerpt a portion of a larger document to provide the writing sample(s). Please redact any personal, identifying information regarding the case at issue, unless it is a published opinion, bearing in mind that the writing sample may be made available to the public on the commission's website.
- 7771. If you are currently serving as a judicial officer in any court and are subject to a system of judicial performance review, please attach the public data reports and commission vote reports from your last two performance reviews.

SECTION II: CONFIDENTIAL INFORMATION (QUESTIONS 72 THROUGH 86)

PERSONAL INFORMATION

72. Home Address:

<u>73.</u>	E-mail Address:
<u>74.</u>	Office Telephone:
<u>75.</u>	Home Telephone:
<u>76.</u>	FAX Number:
<u>77.</u>	Date of Birth:
<u>78.</u>	Place of Birth:
<u>79.</u>	Social Security Number:
<u>80.</u>	State Bar Number:
<u>81.</u>	If your parents, siblings, spouse or children are employed or engaged in any business or profession, state their names and the name and address of their employer or the business in which they are engaged.

REFERENCES

- 82. <u>List the names, addresses, telephone numbers and e-mail addresses of three references who are lawyers or judges, and who are familiar with your professional activities, who would enthusiastically recommend you as qualified to serve on the judiciary.</u>
- 83. <u>List the names, addresses, telephone numbers and e-mail addresses of three persons who are neither lawyers nor judges, with whom you have had contact other than professionally, who would enthusiastically recommend you as qualified to serve on the judiciary.</u>
- <u>List the names, addresses, telephone numbers and e-mail addresses of four lawyers with whom you have continuously dealt on substantive matters as adversaries in the last five years. If you have been a full-time judicial or quasi-judicial officer for the last five years, list the names, addresses, telephone numbers and e-mail addresses of four lawyers who have frequently appeared before you in contested matters.</u>
- 85. <u>List the names, addresses, telephone numbers and e-mail addresses of three references who have served as fellow members of bar or professional association committees or activities, who would comment on your participation.</u>
- <u>86.</u> <u>List the names, addresses, telephone numbers and e-mail addresses of three references who are or were fellow participants in community organizations or activities, who would comment on your participation.</u>

WAIVER OF CONFIDENTIALITY AND RELEASE OF INFORMATION

I hereby authorize the committees of the State
Bar of Arizona, all bar associations, references, employers, credit reporting agencies,
business and professional associations, and all government agencies to release to the
Commission any information requested by the Commission in connection with the
processing of my request for consideration as a candidate for judicial office. I
understand that the fact that I have applied and all responses provided in Part I of the
application are not confidential and the information provided may be verified and is
subject to public disclosure.
Upon submission of this application to the Commission, i expressly consent to the
release of my name and the contents of Part I of this application to the public.
Furthermore, I waive the benefits of any statute, rule, or regulation prescribing
confidentiality of records or information that is disclosed in Part I. If the commission
nominates me for a judicial appointment, I authorize the release of the information
contained in my entire application file to the Governor of the State of Arizona.
All of the statements made in this application are true and correct to the best of my
knowledge, and submission expresses my willingness to accept appointment to the
judicial position for which I have applied, should I be selected by the Governor of the
State of Arizona.
The undersigned hereby authorizes the committees of the State Bar of Arizona, all Bar
Associations, references, employers, credit reporting agencies, business and
professional associates, and all government agencies to release to the Commission any
information requested by said Commission in connection with the processing of my
request for consideration as a judicial candidate. I understand the fact I have applied is
not confidential and the information provided may be verified.
All of the statements made in this application are true and correct to the best of my
knowledge, and submission of this application expresses my willingness to accept
appointment to the judicial position applied for in this application if tendered by the
Governor.
(Signature) (Date)

AUTHORIZATION FOR BACKGROUND CHECKS

Credit, criminal and disciplinary records of applicants will be checked.

By submission of this application, applicant hereby authorizes the Judicial Nominating Commission, upon request of the Chief Justice of the Arizona Supreme Court, or his/her designee, to conduct credit, criminal background and disciplinary records investigations as part of the application process for judicial appointment.

Name:
Home Address:
E-mail Address:
Office Telephone:
Home Telephone:
FAX Number:
Date of Birth:
Place of Birth:
Social Security Number:
State Bar Number:
Signed this day of, 20,
(Signatura)
(Signature)