Craig D. Henley, Bar No. 018801 Staff Bar Counsel State Bar of Arizona 4201 North 24<sup>th</sup> Street, Suite 100 Phoenix, Arizona 85016-6266 Telephone: 602-340-7272

Email: <u>LRO@staff.azbar.org</u>

Miriam Holly Klaiman, Bar No. 024299 2626 East Hendrick Drive

Tucson, Arizona 85716-1520 Telephone: 520-323-7291

Email: miriamdoc@yahoo.com

lawlawmimi@yahoo.com

Respondent

OFFICE OF THE
PRESIDING DISCIPLINARY JUDGE
SUPREME COURT OF ARIZONA

SEP 27 2012

FILED

BY

### **BEFORE THE PRESIDING DISCIPLINARY JUDGE**OF THE SUPREME COURT OF ARIZONA

IN THE MATTER OF A SUSPENDED MEMBER OF THE STATE BAR OF ARIZONA,

MIRIAM HOLLY KLAIMAN, Bar No. 024299,

Respondent.

PDJ-2012- 9098

CONSENT TO DISBARMENT

[State Bar No. 12-2152]

I, **Miriam Holly Klaiman**, residing at 2626 E. Hedrick Dr., Tucson, AZ 85716-1520, voluntarily consent to disbarment as a member of the State Bar of Arizona and consent to the removal of my name from the roster of those permitted to practice before this court, and from the roster of the State Bar of Arizona.

I acknowledge that charges have been made against me. I have read the charges made against me. I further acknowledge that I do not desire to contest or defend the charges, but wish to consent to disbarment. I have been advised of and have had an opportunity to exercise my right to be represented in this matter by a lawyer. I consent to disbarment freely and voluntarily and not under coercion or

discipline, disability, resignation and reinstatement, and I understand that any future application by me for admission or reinstatement as a member of the State Bar of Arizona will be treated as an application by a member who has been disbarred for professional misconduct, as set forth in the charges made against me. The misconduct of which I am accused is described in the Statement of Facts, a copy of which is attached hereto as Exhibit "A."

The State Bar's Statement of Costs and Expenses is attached hereto as Exhibit "B", within thirty (30) days from the date of service of this Order.

A proposed form of Judgment of Disbarment is attached hereto as Exhibit "C."

DONE AT Tusan, Arizona, on September 18, 2012.

Miriam Holly Klaiman

Respondent

Pina. Responder

AND SWORN TO before me this 18 day

, 2012, by Miriam Holly Klaiman, who satisfactorily proved her

identity to me.

Notary Public

OFFICIAL SEAL

SEESARPRAMIREZ

NOTARY PUBLIC-ARIZONA

PIMA COUNTY

My Comm. Exp. Aug. 19, 2015

Approved as to Form:

Craig D. Henley ) Staff Bar Counsel

# EXHIBIT "A"

Craig D. Henley, Bar No. 018801 Staff Bar Counsel State Bar of Arizona 4201 North 24<sup>th</sup> Street, Suite 100 Phoenix, Arizona 85016-6266

Telephone: 602-340-7272 Email: <u>LRO@staff.azbar.org</u>

Miriam Holly Klaiman, Bar No. 024299 2626 East Hedrick Drive Tucson, Arizona 85716-1520 Telephone: 520-323-7291

Email: miriamdoc@yahoo.com lawlawmimi@yahoo.com

Respondent

### BEFORE THE PRESIDING DISCIPLINARY JUDGE OF THE SUPREME COURT OF ARIZONA

IN THE MATTER OF A SUSPENDED MEMBER OF THE STATE BAR OF ARIZONA,

MIRIAM HOLLY KLAIMAN, Bar No. 024299,

Respondent.

PDJ-2012- 9098

STIPULATION OF FACTS

[No. 12-2152]

Pursuant to the Presiding Disciplinary Judge's request, the parties hereby stipulate to the following facts for the purpose of these disciplinary proceedings. A proposed order is attached.

The parties hereby stipulate to the following facts:

1. On April 19, 2012, Respondent was suspended from the practice of law for a period of one (1) year effective April 18, 2012.

- 2. On or about April 20, 2012, Respondent's counsel in PDJ-2012-9039 wrote an e-mail to Bar Counsel and Respondent wherein he confirmed legal services that would not be permitted during the period of suspension.
- 3. On or about July 26, 2012, the State Bar received information that the website <a href="www.probatelawtucson.com">www.probatelawtucson.com</a>, an active website, was advertising legal services for Miriam H. Klaiman, PLLC.
  - 4. The website contained the following prohibited language:
    - a. "Miriam H. Klaiman, Esq., PLLC";
    - b. "M.H. 'Mimi' Klaiman Attorney & Counselor at Law";
    - c. "Member, State Bar of Arizona";
    - d. "Now, I have formed my own law firm, practicing in non-criminal matters, with an emphasis on servicing the legal needs of persons over the age of forty-five";
    - e. "As of April 15, 2012, Miriam Holly Klaiman may continue to be consulted for Estate Planning services, including wills, trusts, healthcare advance directives, general durable powers of attorney, deeds of property, foundation filing documents, and business entity filings. However, until further notice, she is not engaged in the practice of law, including filing pleadings or making appearances in court-litigated matters."
- 5. While she did not explain why she advertised for the services listed in subsection (e) above, Respondent stated that she believed that the website was taken down as "virtually all estate planning services are 'practice of law' (my email inquiry and Mr. Henley's April 20, 2012 message, attached).

6. Respondent further states that "I hereby resign my membership in the State Bar of Arizona. I feel obliged for the delay in the provider's discontinuing the PLLC website. Also, I agree to any sanction, understanding that such a violation is sanctionable, including by disbarment". [Bold in original]

7. On September 11, 2012, the State Bar verified that the subject website was no longer available.

8. Respondent violated ER 5.5(b)(1) by engaging in the unauthorized practice law while suspended, specifically by establishing a systematic and continuous presence in this jurisdiction for the practice of law.

9. Respondent violated ER 8.4(a) by violating the Rules of Professional Conduct, specifically by engaging in the unauthorized practice of law as defined by Rule 31(a)(B)(1) and (2).

10. Respondent violated Rule 31(c) by representing to others that she may practice law in this state while currently suspended.

**RESPECTFULLY SUBMITTED** this 27 day of September, 2012.

Staff Bar Counse

### I declare under penalty of perjury that the foregoing is true and correct.

Executed on this -1 day of September, 2012.

Miriam Holly Klaiman

Respondent



Original filed with the Disciplinary Clerk of the Presiding Disciplinary Judge of September, 2012.

Copy of the foregoing mailed/emailed this  $27^{\circ}$  day of September, 2012, to:

Miriam Holly Klaiman 2626 East Hedrick Drive Tucson, Arizona 85716-1520 Email: miriamdoc@yahoo.com lawlawmimi@vahoo.com Respondent

Copy of the foregoing <u>emailed</u> this \_\_\_\_\_ day of September, 2012, to:

Honorable William J. O'Neil Presiding Disciplinary Judge Supreme Court of Arizona Email: officepdj@courts.az.gov Ihopkins@courts.az.gov

Copy of the foregoing hand-delivered this A day of September, 2012, to:

Lawyer Regulation Records Manager State Bar of Arizona 4201 North 24th Street, Suite 100 Phoenix, Arizona 85016-6266

September 6, 2012

2626 E. Hedrick Dr. Tucson AZ 85716

BY POST AND EMAIL

C. Henley State Bar of Arizona Ste. 200, 4201 N. 24<sup>th</sup> St. Phoenix AZ 85016

The website of Miriam H. Klaiman, Esq., PLLC is the subject of your latest correspondence.

Since May. 2012, on behalf of Miriam H. Klaiman, Esq., PLLC. I have discontinued assisting clients seeking legal services, and have had no law practice clients since May

I believed the PLLC website, likewise, to be taken down in May, 2012. This followed Mr. Henley's advice that virtually all estate planning services are "practice of law" (my email inquiry and Mr. Henley's April 20, 2012 message, attached). Consistent with learning what services comprise "practice of law," I withdrew from providing all legal services, estate planning included, and asked my service provider last May to discontinue the website. While it appears that did not happen immediately. I see no sign of the website online at this time.

I hereby resign my membership in the State Bar of Arizona. I feel obliged for the delay in the provider's discontinuing the PLLC website. Also, I agree to any sanction, understanding that such a violation is sanctionable, including by disbarment

Miriam H. Klaiman

CUH

# Proposed consent to discipline, M. Klaiman, File No. 11-1698 [FC-Email.FID2121482]

Friday, April 20, 2012 11:41 AM

From:

"BURKE, TIM" TBURKE@FCLAW.com

To: "Craig Henley" <craig.henley@staff.azbar.org>
Cc: "'Mimi Klaiman'" <miriamdoc@yahoo.com>

Craig, this will confirm our telephone conversation yesterday afternoon. I'm sorry I did not send this yesterday, but after we spoke my afternoon fell apart.

. . .

I also explored with you your perceptions of whether a suspended lawyer might be permitted to engage in the following activities during the lawyer's period of suspension:

- (1) Drafting a will. [You indicated that this activity would not be permitted during the period of suspension.]
- (2) Drafting a trust. [You indicated that this activity would not be permitted during the period of suspension.]
- (3) Drafting a general durable power of attorney. [You indicated that this activity would not be permitted during the period of suspension.]
- (4) Drafting health care advance directives. [You indicated that this activity would not be permitted during the period of suspension.]
- (5) Researching public records. [You indicated that this activity would not be prohibited.]
- (6) Notarizing documents. [You indicated that this activity would not ordinarily be prohibited, but impermissible activity could be implicated should the suspended lawyer become involved in the actual preparation/modification of the documents to be notarized.]
- (7) Recording documents. [You indicated that this activity would not be prohibited.]
- (8) Drafting deeds of real property ownership. [You indicated that this activity would not be permitted during the period of suspension, although licensed real estate and title company activity is permitted.]

Finally, you observed that many suspended lawyers often find employment as paralegals for existing law firms, working under the active supervision of licensed lawyers in good standing.

Thank you for taking the time to visit with me about these questions. I will get back to you shortly to let you know how Ms. Klaiman wishes to proceed.

Tim

protected by the attorney-client privilege. If you believe that it has been sent to you in error, do not read it. Please immediately reply to the sender that you have received the message in error. Then delete it. Thank you.

From: Mimi Klaiman [mailto:miriamdoc@yahoo.com]

Sent: Thursday, April 19, 2012 8:50 AM

To: BURKE, TIM

Subject: "Practice of Law"

To my understanding, the following services, which I have offered to the public and which many lawyers and non-lawyers also perform, are not defined in Arizona law, statutes or regulations as practice of law.

- (1) Drafting a will.
- (2) Drafting a trust.
- (3) Drafting a general durable power of attorney.
- (4) Drafting health care advance directives.
- (5) Researching public records.
- (6) Notarizing documents.

Finally, as regards your email of this morning (below), I do want you to clarify this with the Bar. Arizona defines practice of law in a manner that differs in my understanding from what you say to be your understanding ... and it is also different from the situation in many other states. In Arizona many CPAs, insurance agents, and others who are not lawyers advertise themselves as estate planners. I would prefer that this question be explored by asking what professional practices I can engage in on my own without violating the prohibition against 'practice of law' during suspension.

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Contacts Calendar Notepad What's New? Mobile Mail Options New Mail Search . .... Pieto Suc | Next | Back to Bearch Peru to 13 × 20 1 = 1435 Delete Reply Forward Spam Move . Folders RE: "Practice of Law" [FC-Email.FID2121462] Thusseau, 41- 19, 2012 1-36 AM Inbox (3) Thim is a mail i kim pamitus gyaha sigemik Drafts (313) TEMBURKET - TBURKEY TO AWYORK. Sert. Enrote : Spam (11) Here's an extended list Trash (Empty) (1) Drafting a will. My Photos (2) Drafting a trust. My Atlachments (3) Drafting a general durable power of attorney. (4) Drafting health care advance directives. (5) Researching public records. (6) Notarizing documents. (7) Recording documents Office (8) Drafting deeds of real property ownership My Folders [Add - Fold]

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184 - , .....

amazoncom

Animal House (49)

Caterap (17) Churcherap

VSSEC:ap

Tucson Water

... On Thu, 4/19/12, BURKE, TIM <TBURKE@FCLAW.com> wrote:

From: BURKE, TIM <TBURKE@FCLAW.com> Subject: RE: "Practice of Law" [FC-Email.FID2121482] To: "Mimi Klaiman" <miriamdoc@yahoo.com> Date: Thursday, April 19, 2012, 1:13 PM

Mimi, at least the first four terms come within the Arcona Supreme Court's definition of predice of law. You will want to review parety. Arcona Supreme Court Rule 31, and the ethics op hons that have been issued construing ER 5.5 Unauthorized Preduce of Law. These rules emanate in large part from the seminal companies State Bar of Artona v. Aczona Land Tile and Tiles Co. 90 And 76. 386 P. Zo 1 (1961). They a stall in to Cray Henley, and "w. seek his additional Snigeuce

Fennemore Craig, P.C.

Denver Las Vegus Nogales Phoenix Tueson

#### FENNEMORE CRAIG

IRS CIRCULAR 230 DISCLOSURE: To ensure compliance with requirements imposed by the IRS, we inform you that, to the extent this communication (or any attachment) addresses any tax matter, it was not written to be (and may not be) relied upon to (i) avoid tax-related penalties under the Internal Revenue Code, or (ii) promote, market or recommend to another party any transection or matter addressed nerval (or in any such attachment), for attachment in Internal the regarding this disclosure please visit our web site.

CONFIDENTIALITY NOTICE: The information contained in this message may be protected by the attorney-client privilege. If you believe that if has been sent to you in error, do not read it. Please immediately reply to the sender that you have received the message in error. Then delete at Thank you.

From: Mimi Klaiman [mailto:miriamdoc@yahoo.com] Sent: Thursday, April 19, 2012 8:50 AM To: BURKE, TIM

Subject: "Practice of Law"

To my understanding, the following services, which I have offered to the public and which many lawyers and non-lawyers also perform, are not defined in Arizona law, statutes or regulations as practice of law.

- (1) Drafting a will,
- (2) Drafting a trust.
- (3) Drefting a general durable power of attorney.
- Drafting health care advance directives.
- (5) Researching public records

EXHIBIT "B"

#### **Statement of Costs and Expenses**

In the Matter of a Suspended Member of the State Bar of Arizona, Miriam Holly Klaiman, Bar No. 024299, Respondent

File No(s). 12-2152

#### **Administrative Expenses**

The Supreme Court of Arizona has adopted a schedule of administrative expenses to be assessed in lawyer discipline. If the number of charges/complainants exceeds five, the assessment for the general administrative expenses shall increase by 20% for each additional charge/complainant where a violation is admitted or proven.

Factors considered in the administrative expense are time expended by staff bar counsel, paralegal, secretaries, typists, file clerks and messenger; and normal postage charges, telephone costs, office supplies and all similar factors generally attributed to office overhead. As a matter of course, administrative costs will increase based on the length of time it takes a matter to proceed through the adjudication process.

## General Administrative Expenses for above-numbered proceedings

\$1,200.00

Additional costs incurred by the State Bar of Arizona in the processing of this disciplinary matter, and not included in administrative expenses, are itemized below.

#### Staff Investigator/Miscellaneous Charges

Total for staff investigator charges

0.00

TOTAL COSTS AND EXPENSES INCURRED

<u>\$1,200.00</u>

Sandra E. Montoya

**Lawyer Regulation Records Manager** 

)ata

Date

# EXHIBIT "C"

### BEFORE THE PRESIDING DISCIPLINARY JUDGE OF THE SUPREME COURT OF ARIZONA

IN THE MATTER OF A SUSPENDED MEMBER OF THE STATE BAR OF ARIZONA,

MIRIAM HOLLY KLAIMAN, Bar No. 024299,

Respondent.

PDJ-2012-

JUDGMENT OF DISBARMENT

[State Bar No. 12-2152]

Pursuant to Rule 57, Ariz. R. Sup. Ct., the undersigned Presiding Disciplinary Judge of the Supreme Court of Arizona has considered Respondent's Consent to Disbarment dated September 27, 2012, and filed herein. Accordingly:

IT IS HEREBY ORDERED accepting the consent to disbarment. Respondent, Miriam Holly Klaiman, is hereby disbarred from the State Bar of Arizona and his name is hereby stricken from the roll of lawyers effective immediately upon filing.

Respondent is no longer entitled to the rights and privileges of a lawyer but remains subject to the jurisdiction of the court. Respondent shall immediately comply with the requirements relating to notification of clients and others, and provide and/or file all notices and affidavits required by Rule 72, Ariz. R. Sup. Ct.

**IT IS FURTHER ORDERED** that no further disciplinary action shall be taken in reference to the matters that are the subject of the charges upon which the consent to disbarment and this judgment of disbarment are based.

IT IS FURTHER ORDERED that Respondent pay the costs and expenses of the State Bar of Arizona in the amount of  $\frac{1}{200}$ .

<b>DATED</b> this day of	, 2012.
	The Honorable William J. O'Neil Presiding Disciplinary Judge
Original filed with the Disciplinary Clerk of the Office of the Presiding Disciplinary of the Supreme Court of Arizona this day of, 2012	_
Copies of the foregoing mailed/ <u>emailed</u> this, 2012	, to:
Miriam Holly Klaiman 2626 East Hedrick Drive Tucson, Arizona 85716-1520 Email: <u>miriamdoc@yahoo.com</u> Respondent	
Copy of the foregoing hand-delivered/erthis, 201	
Craig D. Henley Staff Bar Counsel State Bar of Arizona 4201 North 24 <sup>th</sup> Street, Suite 100 Phoenix, Arizona 85016-6266 Email: <u>Iro@staff.azbar.org</u>	
Lawyer Regulation Records Manager State Bar of Arizona 4201 North 24 <sup>th</sup> Street, Suite 100 Phoenix, Arizona 85016-6266	

By: \_\_\_\_\_