

FIDUCIARY BOARD

Meeting Agenda – January 9, 2025

Arizona Supreme Court -1501 West Washington Street
Phoenix, Arizona 85007 - 10:00 A.M. Zoom/Conference Room 109
General Inquiries Call: 602-452-3378 (Certification and Licensing Division Line)
Members of the public may attend meeting in person.

For any item listed on the agenda, the Board may vote to go into Executive Session for advice of counsel (under ACJA Sec. 1-202(C)(5)(c)) or for discussion or consideration of records or matters made confidential or privileged by statute, court rule, or the Arizona Code of Judicial Administration (under ACJA Sec. 1-202(C)(5)(b)).

CALL TO ORDER..... *Hon. Jennifer Perkins, Chair*

1) NEW MEMBER WELCOME *Hon. Jennifer Perkins, Chair*

1-A: Introduction of the following new member:

1. Tiffany Poarch was appointed on November 20, 2024, as a public fiduciary member.

2. REVIEW AND APPROVAL OF MINUTES..... *Hon. Jennifer Perkins, Chair*

2-A: Review, discussion, and possible action regarding adopting the regular session recording and written minutes of the meeting held on November 14, 2024.

3. PENDING COMPLAINTS *Division Staff*

3-A: Update regarding pending complaints.

3-B: Review, discussion, and possible action regarding Complaint Nos. 23-0027, and 23-0035, and open investigations in Complaint Nos. 23-0063, 24-0028, and 24-0029 involving license holder Patricia Flores. (Complaint Nos. 23-0063, 24-0028 and 24-0029 are confidential under ACJA §7-201(H)(1)(g)).

3-C: Review, discussion, and possible action regarding Complaint Nos. 24-0017 and 24-0018 involving licenseholders Coventry, Vernon & Roberts, LLC. and Roger Coventry.

3-D: Review, discussion, and possible action regarding Complaint No. 23-0005 involving license holder Fiduciary Solutions, LLC.

3-E: Review, discussion, and possible action regarding Complaint No. 23-0038 and 23-0041 involving license holder Gila County Public Fiduciary and Tiffany Poarch.

3-F: Review, discussion, and possible action regarding open investigation in Complaint No. 23-0011 (confidential under ACJA §7-201(H)(1)(g)).

3-G: Review, discussion, and possible action regarding Complaint No. 23-0024 involving license holder Rashiel Salem.

3-H: Review, discussion, and possible action regarding Complaint Nos. 23-0033, 23-0060, 23-0061, and 23-0062 involving license holder Maricopa County Public Fiduciary, Heidi Ernst, Cindy Gonzalez, and Sean Thrash.

3-I: Review, discussion, and possible action regarding Complaint Nos. 24-0011, 24-0012 and 24-0036 involving license holder Maricopa County Public Fiduciary, Heidi Ernst, and Sean Thrash.

4. PENDING COMPLIANCE AUDITS.....Division Staff

4-A: Update regarding pending compliance audits.

5. INITIAL LICENSURE AND ELIGIBILITY.....Division Staff

5-A: Review, discussion, and possible action regarding the following applications for initial individual and/or business licensure:

1. Rosa Deford
2. Amanda Riley
3. Angelina Weiss
4. Sigmund's & Co., LLC.
5. Mushkatel, Gobbato & Kile, PLLC.
6. Gwyndolen Kemnitz
7. Mike Roberts
8. Meighan Harahan
9. Morgan Skinner
10. Janene Thomas-Luby

6. ADMINISTRATIVE ITEMS.....Division Staff

6-A: Review, discussion, and possible action regarding Subcommittee on Additional Protection of Wards update (informational).

6-B: Division updates (informational).

CALL TO THE PUBLIC..... Hon. Jennifer Perkins, Chair

LIMITED RESPONSE TO CALL TO THE PUBLIC..... Hon. Jennifer Perkins, Chair

Individual members of the Board may take one or more of the following actions: (a) respond to criticism made in Public Comment; (b) ask staff to review a matter raised in public comment; or (c) ask that a matter raised in public comment be put on a future agenda. NOTE: Neither the Board as a whole nor Staff are permitted to discuss a matter raised in public comment and the Board is not permitted to vote on a matter raised during an open call to the public unless the matter was otherwise properly noticed on the meeting agenda for discussion and legal action

ADJOURN..... Hon. Jennifer Perkins, Chair

Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the Division at 602-452-3378 or TDD 602-452-3534. A person requesting an accommodation should make the request as early as possible to allow time to arrange the requested accommodation.

Next Meeting:

March 13, 2025 at 10:00 A.M.

FIDUCIARY BOARD

Agenda Summary – January 9, 2025

3) PENDING COMPLAINTS

3-A: *Update regarding pending complaints.*

As of January 2, 2025, there are * 77 open complaints.

** Complaints are open until a finding of probable cause is entered. The total open complaints represent complaints under investigation.*

FIDUCIARY COMPLAINTS

Age (Months)	Number Cases	Percent
0-5	23	29.9%
6-11	23	29.9%
12-17	7	9.0%
18-22	15	19.5%
23 & over	9	11.7%
Total	77	100%

Previous open complaint chart presented at the November 14, 2024, Board meeting:

Age (Months)	Number Cases	Percent
0-5	21	28.0%
6-11	19	25.3%
12-17	10	13.3%
18-22	23	30.7%
23 and over	2	2.7%
Total	75	100%

FIDUCIARY BOARD

Agenda Summary – January 9, 2024

4) PENDING COMPLIANCE AUDITS

4-A: Update regarding pending compliance audits.

As of January 2, 2025, there are *3 open compliance audits pending completion/final reports*

Random compliance audits: R

Compliance audits related to resolving discipline: D

Other compliance audits (includes follow-ups, Director-approved): O

FIDUCIARY COMPLIANCE AUDIT REPORT

Age (Months)	Number And Type	Percent
0-3	1 (R); 1 (D); 0 (O)	33.3.0%
4-7	0 (R); 1 (D); 0 (O)	33.3.0%
8-11	0 (R); 0 (D); 0 (O)	0.0%
12 and over	0 (R); 1 (D); 0 (O)	33.3%
Total	3	100%

**Working papers associated with the compliance audit maintained by Division staff are not public records and are not subject to disclosure. Upon completion of an audit the final report issued to the affected party is a public record subject to public inspection. Final reports that result in corrective action are posted to the program webpage at <https://www.azcourts.gov/cld/Fiduciary-Licensing-Program/Compliance-Audit>.*

Compliance Audit Report: November 14, 2024 Fiduciary Board Meeting

FIDUCIARY COMPLIANCE AUDIT REPORT

Age (Months)	Number And Type	Percent
0-3	0 (R); 1 (D); 0 (O)	33.3%
4-7	0 (R); 1 (D); 0 (O)	33.3%
8-11	0 (R);0 (D); 0 (O)	0.0.%
12 and over	0 (R); 1 (D); 0 (O)	33.3%
Total	3	100%