

# FIDUCIARY BOARD

## Meeting Agenda – March 14, 2024

Arizona Supreme Court -1501 West Washington Street  
Phoenix, Arizona 85007 - 10:00 A.M. Zoom/Conference Room 109  
General Inquiries Call: 602-452-3378 (Certification and Licensing Division Line)  
Members of the public may attend meeting in person.

**For any item listed on the agenda, the Board may vote to go into Executive Session for advice of counsel (under ACJA Sec. 1-202(C)(5)(c)) or for discussion or consideration of records or matters made confidential or privileged by statute, court rule, or the Arizona Code of Judicial Administration (under ACJA Sec. 1-202(C)(5)(b)).**

**CALL TO ORDER..... *Hon. Jennifer Perkins, Chair***

**1) REVIEW AND APPROVAL OF MINUTES..... *Hon. Jennifer Perkins, Chair***

- 1-A: Review, discussion, and possible action regarding the regular session minutes of the meeting held on January 11, 2024.*
- 1-B: Review, discussion, and possible action regarding the regular session minutes of the meeting held on January 24, 2024.*
- 1-C: Review, discussion, and possible action regarding the executive session minutes of the meeting held on January 24, 2024.*

**2) PENDING COMPLAINTS/ COMPLIANCE AUDITS.....*Division Staff***

- 2-A: Update regarding pending complaints and compliance audits.*
- 2-B: Review, discussion, and possible action regarding Complaint No. 21-0045 and 21-0046 involving license holder Patricia Flores.*
- 2-C: Review, discussion, and possible action regarding Complaint No. 21-0047 and 21-0048 involving license holder Teresa Lancaster and Bogutz & Gordon, PC.*
- 2-D: Review, discussion, and possible action regarding Complaint No. 22-0049 involving license holder Jason Cobb.*
- 2-E: Review, discussion, and possible action regarding Complaint No. 21-0009 involving license holder Nicole Corona.*
- 2-F: Review, discussion, and possible action regarding Complaint No. 22-0063 involving license holder Childers & Coventry.*
- 2-G: Review, discussion, and possible action regarding Complaint No. 22-0053 involving license holder Glenn Gloria.*
- 2-H: Review, discussion, and possible action regarding Complaint No. 22-0058 involving license holder Rashiel Salem.*

2-I: *Review, discussion, and possible action regarding the following complaints involving license holder Theresa Whitfield:*

1. Complaint No. 22-0062
2. Complaint No. 23-0042
3. Complaint No. 23-0059

**3) INITIAL LICENSURE AND ELIGIBILITY.....Division Staff**

3-A: *Review, discussion, and possible action regarding the following applications for initial individual and/or business licensure:*

1. Donna Figueroa
2. Carla Gonzalez Coronado
3. Jennifer Martinez
4. Stefanie Mathewson
5. Hesli Quihui
6. Hannah Cundiff
7. Megan Robbins
8. Veronica Stirnitzke
9. Jonathan Taddei
10. David Lynch
11. Nina Alley

**4) RENEWAL LICENSURE AND APPLICATIONS .....Division Staff**

4-A: *Review, discussion, and possible action regarding the following application for renewal of individual licensure:*

1. Heidi Ernst

**5) ADMINISTRATIVE ITEMS.....Division Staff**

5-A: *Review, discussion, and possible action regarding scheduling conflict for next Board meeting; May 9<sup>th</sup>, 2024.*

5-B: *Division Updates.*

**CALL TO THE PUBLIC..... Hon. Jennifer Perkins, Chair**

**LIMITED RESPONSE TO CALL TO THE PUBLIC..... Hon. Jennifer Perkins, Chair**

*Individual members of the Board may take one or more of the following actions: (a) respond to criticism made in Public Comment; (b) ask staff to review a matter raised in public comment; or (c) ask that a matter raised in public comment be put on a future agenda. NOTE: Neither the Board as a whole nor Staff are permitted to discuss a matter raised in public comment and the Board is not permitted to vote on a matter raised during an open call to the public unless the matter was otherwise properly noticed on the meeting agenda for discussion and legal action*

**ADJOURN..... *Hon. Jennifer Perkins, Chair***

**Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the Division at 602-452-3378 or TDD 602-452-3534. A person requesting an accommodation should make the request as early as possible to allow time to arrange the requested accommodation.**

**Next Meeting:**

**May 9, 2024 at 10:00 a.m.**

# FIDUCIARY BOARD

## Agenda Summary – March 14, 2024

### 2) PENDING COMPLAINTS/ COMPLIANCE AUDITS

2-A: *Update regarding pending complaints and compliance audits.*

### FIDUCIARY COMPLAINTS

As of February 29, 2024, there are \* 71 open complaints.

*\* Complaints are open until probable cause is determined. The total open complaints represent complaints under investigation*

| Age<br>(Months)    | Number<br>Cases | Percent     |
|--------------------|-----------------|-------------|
| <b>0-4</b>         | 8               | 11.2%       |
| <b>5-9</b>         | 16              | 22.6%       |
| <b>10-14</b>       | 31              | 43.7%       |
| <b>15-19</b>       | 7               | 9.9%        |
| <b>20-22</b>       | 4               | 5.6%        |
| <b>23-35</b>       | 5               | 7.0%        |
| <b>36 and over</b> | 0               | 0%          |
| <b>Total</b>       | <b>71</b>       | <b>100%</b> |

Previous open complaint chart presented at the January 11, 2024, Board meeting:

| Age<br>(Months)    | Number<br>Cases | Percent     |
|--------------------|-----------------|-------------|
| <b>0-4</b>         | 9               | 11.7%       |
| <b>5-9</b>         | 22              | 28.6%       |
| <b>10-14</b>       | 24              | 31.2%       |
| <b>15-19</b>       | 11              | 14.2%       |
| <b>20-22</b>       | 4               | 5.2%        |
| <b>23-35</b>       | 7               | 9.1%        |
| <b>36 and over</b> | 0               | 0%          |
| <b>Total</b>       | <b>77</b>       | <b>100%</b> |

## FIDUCIARY COMPLIANCE AUDIT REPORT

As of February 29, 2024, there are \* 3 open compliance audits pending final reports\*

Random compliance audits: R

Compliance audits related to resolving discipline: D

Other compliance audits (includes follow-ups, Director-approved): O

| <b>Age<br/>(Months)</b> | <b>Number<br/>And Type</b> | <b>Percent</b> |
|-------------------------|----------------------------|----------------|
| <b>0-3</b>              | <b>1 (R); (D); 0 (O)</b>   | <b>33.3.0%</b> |
| <b>4-6</b>              | <b>0 (R); 0 (D); 0 (O)</b> | <b>0.0%</b>    |
| <b>6-9</b>              | <b>0 (R); 2 (D); 0 (O)</b> | <b>66.7%</b>   |
| <b>9-12</b>             | <b>0 (R); 0 (D); 0 (O)</b> | <b>0.0%</b>    |
| <b>12 and over</b>      | <b>0 (R); 0 (D); 0 (O)</b> | <b>0.0%</b>    |
| <b>Total</b>            | <b>3</b>                   | <b>100%</b>    |

*\*Working papers associated with the compliance audit maintained by Division staff are not public records and are not subject to disclosure. Upon completion of an audit the final report issued to the affected party is a public record subject to public inspection. Final reports that result in corrective action are posted to the program webpage at <https://www.azcourts.gov/cld/Fiduciary-Licensing-Program/Compliance-Audit>.*