

FIDUCIARY BOARD

Meeting Agenda – September 12, 2024

Arizona Supreme Court -1501 West Washington Street
Phoenix, Arizona 85007 - 10:00 A.M. Zoom/Conference Room 109
General Inquiries Call: 602-452-3378 (Certification and Licensing Division Line)
Members of the public may attend meeting in person.

For any item listed on the agenda, the Board may vote to go into Executive Session for advice of counsel (under ACJA Sec. 1-202(C)(5)(c)) or for discussion or consideration of records or matters made confidential or privileged by statute, court rule, or the Arizona Code of Judicial Administration (under ACJA Sec. 1-202(C)(5)(b)).

CALL TO ORDER..... *Hon. Jennifer Perkins, Chair*

1) REVIEW AND APPROVAL OF MINUTES..... *Hon. Jennifer Perkins, Chair*

1-A: Review, discussion, and possible action regarding the regular session minutes of the meeting held on July 11, 2024.

1-B: Review, discussion, and possible action regarding the executive session minutes of the meeting held on July 11, 2024.

2) PENDING COMPLAINTS/ COMPLIANCE AUDITS.....*Division Staff*

2-A: Update regarding pending complaints and compliance audits.

2-B: Review, discussion, and possible action regarding Complaint No. 22-0076, 22-0077, and 22-0078 involving license holders Gila County Public Fiduciary, Tiffany Poarch and Patricia Loos.

2-C: Review, discussion, and possible action regarding Complaint No. 21-0009 involving license holder Nicole Corona

2-D: Review, discussion, and possible action regarding Complaint No. 23-0027 involving license holder Patricia Flores.

2-E: Review, discussion, and possible action regarding Complaint No. 23-0035 involving license holder Patricia Flores.

2-F: Review, discussion, and possible action regarding Complaint No. 21-0045 and 21-0046 involving license holder Patricia Flores.

2-G: Review, discussion, and possible action regarding Complaint No. 22-0059 involving license holder Rashiel Salem.

2-H: Review, discussion, and possible action regarding Complaint No. 22-0069 and 22-0070 involving license holders Southwest Fiduciary, Inc. and Gregory DoVico.

- 2-I: *Review, discussion, and possible action regarding Complaint Nos. 23-0017, 23-0018, 23-0019, and 23-0021 involving Maricopa County Public Fiduciary, Josephine Jones, Carla Truman, and Stephanie Sena-Ochoa.*
- 2-J: *Review, discussion, and possible action regarding Division Director dismissal Complaint No. 24-0026.*
- 2-K: *Review, discussion, and possible action regarding Division Director dismissal Complaint No. 24-0027.*

3) INITIAL LICENSURE AND ELIGIBILITY.....Division Staff

3-A: *Review, discussion, and possible action regarding the following applications for initial individual and/or business licensure:*

- 1. Heidi Hart
- 2. Julie Ruetz
- 3. Mary Stanford
- 4. Stephanie Sena-Ochoa
- 5. Barbara Peterson
- 6. Amanda Sigmund
- 7. Paul Deloughery
- 8. Protective Fiduciary, LLC

4) RENEWAL LICENSURE AND APPLICATIONSDivision Staff

4-A: *Review, discussion, and possible action regarding the following applications for renewal of individual and/or business entity licensure:*

- 1. Kuol Awan
- 2. Shannon J. Bennett
- 3. Laura Boyack
- 4. John E. Dubrawa
- 5. Sabrina Lamb
- 6. Eloisa Leal
- 7. Elizabeth Morales
- 8. Dawn Shaw
- 9. Melissa T. Woodburn
- 10. Sandra D. Wyrick
- 11. Natalie P. Wasinger
- 12. Carol Ann Thompson
- 13. Veronica Stirnitzke
- 14. Maureen L. Edwards, LLC

5) ADMINISTRATIVE ITEMS.....*Division Staff*

5-A: Review, discussion, and possible action regarding establishment of subcommittee to discuss changes to ACJA 7-201 and/or 7-202 regarding additional protection of wards.

5-B: Division Updates.

CALL TO THE PUBLIC..... *Hon. Jennifer Perkins, Chair*

LIMITED RESPONSE TO CALL TO THE PUBLIC..... *Hon. Jennifer Perkins, Chair*

Individual members of the Board may take one or more of the following actions: (a) respond to criticism made in Public Comment; (b) ask staff to review a matter raised in public comment; or (c) ask that a matter raised in public comment be put on a future agenda. NOTE: Neither the Board as a whole nor Staff are permitted to discuss a matter raised in public comment and the Board is not permitted to vote on a matter raised during an open call to the public unless the matter was otherwise properly noticed on the meeting agenda for discussion and legal action

ADJOURN..... *Hon. Jennifer Perkins, Chair*

Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the Division at 602-452-3378 or TDD 602-452-3534. A person requesting an accommodation should make the request as early as possible to allow time to arrange the requested accommodation.

Next Meeting: November 14, 2024, at 10:00 a.m.

FIDUCIARY BOARD

Agenda Summary – September 12, 2024

2) PENDING COMPLAINTS/ COMPLIANCE AUDITS

2-A: *Update regarding pending complaints and compliance audits.*

As of August 23, 2024, there are * 69 open complaints.

** Complaints are open until a finding of probable cause is entered. The total open complaints represent complaints under investigation.*

FIDUCIARY COMPLAINTS

Age (Months)	Number Cases	Percent
0-5	18	26.1%
6-11	10	14.5%
12-17	23	33.3%
18-22	12	17.4%
23-35	6	8.7%
36 and over	0	0.0%
Total	69	100%

Previous open complaint chart presented at the July 11, 2024, Board meeting:

Age (Months)	Number Cases	Percent
0-5	20	25.6%
6-11	11	14.1%
12-17	29	37.2%
18-22	10	12.8%
23-35	8	10.3%
36 and over	0	0.0%
Total	78	100%

PENDING COMPLIANCE AUDITS

As of August 26, 2024, there are * 4 open compliance audits pending final reports*

Random compliance audits: R

Compliance audits related to resolving discipline: D

Other compliance audits (includes follow-ups, Director-approved): O

FIDUCIARY COMPLIANCE AUDIT REPORT

Age (Months)	Number And Type	Percent
0-3	0 (R); 0 (D); 0 (O)	0.0%
4-7	0 (R); 1 (D); 0 (O)	50.0%
8-11	0 (R); 0 (D); 0 (O)	0.0%
12 and over	0 (R); 1 (D); 0 (O)	50.0%
Total	2	100%

**Working papers associated with the compliance audit maintained by Division staff are not public records and are not subject to disclosure. Upon completion of an audit the final report issued to the affected party is a public record subject to public inspection. Final reports that result in corrective action are posted to the program webpage at <https://www.azcourts.gov/cld/Fiduciary-Licensing-Program/Compliance-Audit>.*

Compliance Audit Report: July 11, 2024 Fiduciary Board Meeting

FIDUCIARY COMPLIANCE AUDIT REPORT

Age (Months)	Number And Type	Percent
0-3	2 (R); 1 (D); 0 (O)	75.0%
4-7	0 (R); 0 (D); 0 (O)	0.0%
8-11	0 (R); 1 (D); 0 (O)	25.0%
12 and over	0 (R); 0 (D); 0 (O)	0.0%
Total	4	100%