



## FIDUCIARY BOARD

Arizona State Courts Building  
1501 West Washington Street, Phoenix, Arizona 85007  
Conference Room 109

November 14, 2024  
10:00 a.m.

[Zoom Meeting Video Recording](#)

Password: B\*&fP3Ls

### Draft Regular Meeting Minutes

#### MEMBERS ATTENDANCE:

##### Present:

Elaine Acosta Sweet-Vice  
Chair  
Zora Manjencich

##### Telephonically Present:

Diana M. Corry @10:11 am  
Teresa Lancaster  
Sharon Yates  
Jeanette S. Bloss @10:01 am  
left @11:15 am  
Hon. Brian Hauser  
Deborah Primock

##### Absent:

Hon. Jennifer Perkins-Chair  
Vinay M. Singh

#### OTHER ATTENDEES:

##### AOC Staff:

Aaron Nash  
Lisa Hauser  
Pasquale Fontana  
Lorraine Brown  
Janelle Bauserman  
Shaun Baland  
Crystal Jones  
Wil Castro  
Emanuel Aronbayev  
Téa Bravo  
Armita Oliver

##### Call to the Public

Samantha Stirling-Item 2D  
Lisa Hauser-Item 2D  
David Redkey-Item 2E  
Heidi Ernst-Item 2G  
Iris Philbin-Item 2H  
John Philbin-Item 2H  
Holly Lawder

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## CALL TO ORDER

*Called to Order By:*  
*Time:*

Elaine Acosta Sweet, Vice Chair  
10:01 a.m.

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### 1) REVIEW AND APPROVAL OF MINUTES

*1-A: Review, discussion, and possible action regarding the regular session minutes of the meeting held on September 12, 2024.*

*Video Time: 00:01:12*

*Individual Addressing the Board:*

Elaine Acosta-Sweet, Vice Chair

*Discussion:* None

*Motion:* Accept the regular session minutes of the meeting held on September 14, 2024.

*Motion Proposals:* First Zora Manjencich  
Second Hon. Brian Hauser

*Motion Results:* Pass

*Recusals:*

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### 2) PENDING COMPLAINTS

*2-A: Update regarding pending complaints:*

*Video Time: 00:01:45*

*Individual Addressing the Board:*

Téa Bravo

*Discussion:* As of November 6, 2024, there are 75 open complaints

*2-B: Review, discussion, and possible action regarding Complaint Nos. 21-0005 and 21-0006 involving license holder East Valley Fiduciary Services, Inc., and Michael Bogle.*

*Video Time: 00:02:14*

*Individual Addressing the Board:*

Pasquale Fontana

**Discussion:** It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Michael Bogle and East Valley Fiduciary Services, Inc., have not committed the allegation act of misconduct in Allegation 9, as detailed in the Supplemental Investigation Summary, Determination, Probable Cause Review, and Recommendation Report in Complaint Nos. 21-0005 and 21-0006.

It is further recommended the Board dismiss Allegation 9 as detailed in the Supplemental Determination, Probable Cause Review, and Recommendation Report in Complaint Nos. 21-0005 and 21-0006.

It is further recommended the Board dismiss Complaint Nos. 21-0005 and 21-0006, with prejudice.

**Motion:** Accept Staff's recommendations.

**Motion Proposals:** First Hon. Brian Hauser  
Second Sharon Yates

**Motion Results:** Pass

**Recusals:**

**2-C:** *Review, discussion, and possible action regarding Complaint No. 21-0009 involving license holder Nicole Corona.*

**Video Time:** 00:05:42

**Individual Addressing the Board:** Janelle Bauserman

**Discussion:** It is recommended the Board accept Nicole Corona's voluntary surrender of her individual fiduciary license.

**Motion:** Accept staff's recommendation

**Motion Proposals:** First Zora Manjencich  
Second Hon. Brian Hauser

**Motion Results:** Pass

**Recusals:**

**2-D:** *Review, discussion, and possible action regarding Complaint Nos. 23-0027 and 23-0035 involving license holder Patricia Flores.*

**Video Time:** 00:08:43

**Individual Addressing the Board:** Janelle Bauserman

**Discussion:** The Division recommends the Board direct the Division to resolve Complaint Nos. 23-0027 and 23-0035 and all outstanding matters involving Patricia Flores through the negotiation of a Consent Agreement to include the surrender of Patricia Flores' individual fiduciary license.

**Motion:** Accept staff's recommendation.

**Motion Proposals:** First Zora Manjencich  
Second Hon. Brian Hauser

**Motion Results:** Pass

**Recusals:**

**2-E: Review, discussion, and possible action regarding Complaint No. 24-0003 involving license holder Stephanie McCollum.**

**Video Time: 00:20:07**

**Individual Addressing the Board:** Pasquale Fontana

**Discussion:** It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Stephanie McCollum has not committed the alleged act(s) of misconduct as detailed in the Investigation Summary, Determination, Probable Cause Review, and Recommendation Report in complaint number 24-0003.

It is further recommended the Board dismiss complaint number 24-0003 with prejudice.

**Motion:** Accept Staff's recommendation.

**Motion Proposals:** First Teresa Lancaster  
Second Hon. Brian Hauser

**Motion Results:** Pass

**Opposed:** Diana M. Corry

**2-F: Review, discussion, and possible action regarding Complaint No. 22-061 involving license holder Fred Ernie Appel.**

**Video Time: 00:31:40**

**Individual Addressing the Board:** Lorraine Brown

**Discussion:** It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Fred Ernie Appel has not committed the alleged acts of misconduct as detailed in the Investigation Summary in Complaint Number 22-0061.

It is further recommended the Board dismiss Complaint Number 22-0061, with prejudice.

**Motion:** Accept staff's recommendation.

**Motion Proposals:** First Zora Manjencich  
Second Sharon Yates

**Motion Results:** Pass

**Recusals:**

**2-G:** *Review, discussion, and possible action regarding Complaint Nos. 22-0064, 22-0065 and 22-0066 involving license holder Maricopa County Public Fiduciary, Josephine Jones, and Heidi Ernst.*

**Video Time: 00:34:13**

**Individual Addressing the Board:** Lorraine Brown

**Discussion:** Recommend the Board direct the Division to issue a Letter of Concern to the Maricopa County Public Fiduciary's office and to Heidi Ernst.

**Motion:** Reject staff's recommendation and propose the Board dismiss Complaint Nos. 22-0064, 22-0065, and 22-0066 with prejudice.

**Motion Proposals:** First Hon. Brian Hauser  
Second Zora Manjencich

**Motion Results:** Pass

**Recusals:**

**2-H:** *Review, discussion, and possible action regarding Complaint Nos. 22-0081 and 22-0082 involving license holder Josephine Jones and Tara Lowman-Rojas.*

**Video Time: 00:59:49**

**Individual Addressing the Board:** Pasquale Fontana

**Discussion:** It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Tara Lowman-Rojas & Josephine Jones have not committed the alleged act(s) of misconduct as detailed in the Investigation Summary, Determination, Probable Cause Review, and Recommendation Report in complaint numbers 22-0081 & 22-0082.

It is further recommended the Board dismiss complaint numbers 22-0081 & 22-0082 with prejudice.

**Motion:** Accept staff's recommendation.

**Motion Proposals:** First Hon. Brian Hauser  
Second Teresa Lancaster

**Motion Results:** Pass

**Opposed:** Diana M. Corry

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### 3) PENDING COMPLIANCE AUDITS

**3-A:** *Update regarding pending compliance audits.*

**Video Time:** 01:16:07

**Individual Addressing the Board:** Téa Bravo

**Discussion:** As of October 30, 2024, there are \*3 open compliance audits pending completion/final reports.

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### 4) INITIAL LICENSURE AND ELIGIBILITY

**4-A:** *1. Review, discussion, and possible action regarding the following applications for individual and/or business licensure:*

- 1. Brianna Hall*
- 2. Nicole Lombardi*
- 3. Cynthia Marrujo*
- 4. Ronald Zack*
- 5. Christopher Hays*
- 6. Ebony Williams*

**Video Time:** 01:17:48

**Individual Addressing the Board:** Wil Castro

**Discussion:** It is recommended the Board grant initial fiduciary licensure to:

1. Brianna Hall
2. Nicole Lombardi

**Motion:** Accept staff's recommendation.

**Motion Proposals:** First Teresa Lancaster  
Second Zora Manjencich

**Motion Results:** Pass

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***Recusals:***

***Individual Addressing the Board:***

Wil Castro

***Discussion:*** 3. Cynthia Marrujo

The Division recommends the Board grant initial fiduciary licensure to Cynthia Marrujo contingent on fingerprint results yielding no negative history with a later effective date once the fingerprint results have been confirmed with Division staff.

***Motion:*** Accept staff's recommendation

***Motion Proposals:*** First Zora Manjencich  
Second Teresa Lancaster

***Motion Results:*** Pass

***Recusals:***

***Individual Addressing the Board:***

Wil Castro

***Discussion:*** 4. Ronald Zack

The Division recommends the Board grant initial fiduciary licensure to Ronald Zack contingent on fingerprint results yielding no negative history with a later effective date once the fingerprint results have been confirmed with Division staff.

***Motion:*** Accept staff's recommendation.

***Motion Proposals:*** First Zora Manjencich  
Second Hon. Brian Hauser

***Motion Results:*** Pass

***Recusals:***

***Individual Addressing the Board:***

Wil Castro

***Discussion:*** 5. Christopher Hays

The Division recommends the Board grant initial fiduciary licensure to Christopher Hays contingent on fingerprint results yielding no negative history with a later effective date once the fingerprint results have been confirmed with Division staff.

**Motion:** Accept the staff's recommendation.

**Motion Proposals:** First Hon. Brian Hauser  
Second Teresa Lancaster

**Motion Results:** Pass

**Recusals:**

**Individual Addressing the Board:** Emanuel Aronbayev

**Discussion:** 6. Ebony Williams

The Division recommends the Board grant initial fiduciary licensure to Ebony Williams with the standard non-disclosure language contingent on fingerprint results yielding no negative history with a later effective date once the fingerprint results have been confirmed with Division staff.

**Motion:** Accept the staff's recommendation.

**Motion Proposals:** First Zora Manjencich  
Second Sharon Yates

**Motion Results:** Pass

**Opposed:** Teresa Lancaster; Diana M. Corry

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5) **ADMINISTRATIVE ITEMS**

5-A: **Subcommittee on Protection of Wards update**

**Video Time: 01:26:47**

**Individual Addressing the Board:** Aaron Nash

**Discussion:** Table for a future meeting

5-B: **Division updates**

**Video Time: 01:27:08**

**Individual Addressing the Board:** Aaron Nash

**Discussion:** Informational Only:  
\*Emanuel Aronbayev and Crystal Jones recently hired to the CLD team.  
\*Future meeting minutes the Zoom video recording will be posted with abbreviated written minutes.  
\*Today's meeting may be the last meeting using the secured site.

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**CALL TO THE PUBLIC**

*Video Time: 01:29:32*

*Individual Addressing the Board:*

Holly Lawder

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**ADJOURNMENT**

The Chair adjourned the meeting at 11:36 am

*Initials:* AO

DRAFT