

## ACKNOWLEDGMENT OF DESIGNATED PRINCIPAL

I, \_\_\_\_\_, the designated principal under the Arizona Code of Judicial Administration (ACJA) §§ 7-201 and 7-202, agree that:

- a) I will prepare and submit, WITH THE APPLICATION, a list of all licensed fiduciaries and trainees acting for, or on behalf of, the business entity.
- b) I will file with Certification and Licensing Division (Division) staff a list of all licensed fiduciaries and trainees acting for, or on behalf of, the business entity **by May 31st of each year.**
- c) I will notify Division staff, in writing, of any changes in employee or trainee status **within 30 days** of any change.
- d) I will actively and directly supervise all other licensed fiduciaries, trainees, and staff working for, or on behalf of, the business entity.
- e) I will represent the business entity, at the discretion of the entity, in any proceeding under the ACJA.
- f) I will notify Division staff **within 30 days** if I am no longer serving as the designated principal for the business entity.
- g) I will make all licensed fiduciaries, trainees, and staff of the business aware that they are bound by the Code of Conduct for licensed Fiduciaries, Arizona Code of Judicial Administration § 7-202(J).
- h) I will file, in writing, with Division staff, a notice of any changes in members, partners, managers, officers, directors, and owners of the business entity **within 30 days** of any change.
- i) I have read and reviewed ACJA §§ 7-201 and 7-202 and understand and agree to abide by and uphold these sections of the ACJA.

\_\_\_\_\_  
Signature of Designated Principal

\_\_\_\_\_  
Date

## **AUTHORIZATION AND RELEASE**

Having filed an application, I consent to having an investigation made of the business's qualifications for Fiduciary licensure. I agree to give any further information which may be required in reference to the past record of the business.

I authorize and request every person, firm, company, corporation, governmental agency, court, association, or institution having control of any documents, records, and other information including documents, records, charges, or complaints filed against the business, formal or informal, pending or closed, or any other pertinent data, to provide that information to, or to permit the Arizona Supreme Court, or any of its agents or representatives, to inspect and make copies of such documents, records, and other information.

I release, discharge, and exonerate the Arizona Supreme Court, its agents and representatives, the State of Arizona, and any person furnishing information pursuant to this Authorization and Release from all liability which may arise from the investigation made by the Arizona Supreme Court.

**I** \_\_\_\_\_ **on behalf of** \_\_\_\_\_

\_\_\_\_\_, affirm that I have read the foregoing, and each statement and answer made, together with the Authorization and Release and affirm that all answers, statements, and data attached to this application are true and correct. Willful misrepresentation of any fact required to be disclosed in any application, or accompanying statement, is grounds for refusing to issue or renew a license, or for revoking or suspending a license.

\_\_\_\_\_  
Signature of Designated Principal      Date