

# FIDUCIARY BOARD

## Amended Meeting Agenda – Thursday, November 15, 2018

Arizona Supreme Court -1501 West Washington Street  
Phoenix, Arizona 85007 - 10:30 A.M. Conference Room 109  
General Inquiries Call: 602-452-3378 (Certification and Licensing Division Line)  
Members of the public may attend meeting in person.

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**For any item listed on the agenda, the Board may vote to go into Executive Session for advice of counsel and/or to discuss records and information exempt by law or rule from public inspection, pursuant to the Arizona Code of Judicial Administration, Code Section 1-202(C).**

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**CALL TO ORDER .....Deborah Primock, Chair**

**1) REVIEW AND APPROVAL OF MINUTES.....Deborah Primock, Chair**

*1-A: Review, discussion and possible action regarding the regular session minutes of the meeting held on September 6, 2018.*

**2) PENDING COMPLAINTS.....Division Staff**

*2-A: Review, discussion and possible action regarding complaint numbers 16-0004 and 16-0005, involving licensees Southwest Fiduciary and Gregory DeVico.*

*2-B: Review, discussion and possible action regarding complaint numbers 17-0018, 17-0019 and 17-0020, involving licensees Ayudando Alpha, Inc. and Bradley Torch.*

*2-C: Review, discussion and possible action regarding complaint number 17-0021, involving Jennifer Murray.*

*2-D: Review, discussion and possible action regarding the Division Director dismissal of complaint number 18-0002.*

*2-E: Review, discussion and possible action regarding the Division Director dismissal of complaint number 18-0032.*

**3) INITIAL LICENSURE AND ELIGIBILITY.....Division Staff**

*3-A: Review, discussion and possible action regarding the following applications for initial individual licensure:*

1. Lindsay Payne
2. Kyndall Yates
3. Rachel Yokley

4. Bensmiller Fiduciary Services,  
PLLC

5. Scottsdale Fiduciary Services, LLC
6. Ellen Majure
7. Rhonda Mayo

8. Matthew Neal
9. Tara Lara
10. Melissa Ahlers
11. Julie Maier

**4) RENEWAL CERTIFICATION APPLICATIONS.....*Division Staff***

*4-A: Review, discussion and possible action regarding the following applications for renewal of individual and/or business entity Fiduciary licensure:*

- |                             |                            |
|-----------------------------|----------------------------|
| 1. Appel, Marlene           | 38. Hamilton, Linda        |
| 2. Arnold, Charles L.       | 39. Harner, Robert L.      |
| 3. Baldwin, Denise R.       | 40. Harter, Louise E.      |
| 4. Barkley, John D.         | 41. Hartless, Vivian J.    |
| 5. Benjamin, Donna M.       | 42. Hawkins, H. Rex        |
| 6. Bennett, Shannon J.      | 43. Herron, Marshall       |
| 7. Bernardini, Janice L.    | 44. Hoekstra, Rochelle M.  |
| 8. Blair, Kathryn A.        | 45. Holliday, Deborah A.   |
| 9. Bouschor II, Royal G.    | 46. Janik, Anton L.        |
| 10. Brandes, Chelsea D.     | 47. Johnson, Claudia P.    |
| 11. Burnside, Benjamin J.   | 48. Keethe, Dianne K.      |
| 12. Campos, Antonella R.    | 49. Kile, Emily B.         |
| 13. Cannon, Joe D.          | 50. Klecka, Michael J.     |
| 14. Chavez, Katrina V.      | 51. Kuzdal, Laurie L.      |
| 15. Collins, Carole         | 52. Lackey, Judy C.        |
| 16. Cooper Love, Crismon J. | 53. Lamb, Sabrina          |
| 17. Corona, Nicole W.       | 54. Lander, Ann M.         |
| 18. Coyne, Marshall P.      | 55. Lashley, Lori          |
| 19. Crane, Kelly R.         | 56. Lawson, Kathleen A.    |
| 20. Cundiff, Ravie N.       | 57. Leas, Christopher G.   |
| 21. Cundiff, Stephen M.     | 58. Liebe, Melissa T.      |
| 22. Curley, Donovan         | 59. Liechty, Clinton L.    |
| 23. Edwards Maureen L.      | 60. Lines, Sherrel A.      |
| 24. Eells, Theresa J.       | 61. Loughridge, Gregory D. |
| 25. Elsouhag, Belvet A.     | 62. Martelli, Anna Marie   |
| 26. Escalante, Nicolle E.   | 63. Mccoy, Sean            |
| 27. Espinoza, Patricia A.   | 64. Mccoy, Stephanie       |
| 28. Farrell, Rocio          | 65. Mcpherson, Debra K.    |
| 29. Fitzsimmons, Tamarah L. | 66. Mejia, Cecilia A.      |
| 30. Fleck, Jon F.           | 67. Michaelson, Robin S.   |
| 31. Franco, Ramon           | 68. Miller, Karen J.       |
| 32. Friman, Elizabeth N.    | 69. Morales, Elizabeth     |
| 33. Garcia, Edgar           | 70. Moriarity, Kathleen A. |
| 34. Garcia, Rhonda R.       | 71. Murguia, Rachel L.     |
| 35. Getchell, Margaret I.   | 72. Olivas, Cherie R.      |
| 36. Gloria, Glenn V.        | 73. Ortega, Jesenia        |
| 37. Haley, Eric M.          | 74. Osollo, David T.       |

75. Palacio, Hector M.
76. Perez-Arrieta, Ana M.
77. Phillips, Pamela L.
78. Pimental, Dian B.
79. Pisiak-Kusiak, Hanna
80. Poarch, Tiffany
81. Pope, Gary R.
82. Posey, Elizabeth E.
83. Pratt, Susan E.
84. Primock, Deborah
85. Ralles, Mark T.
86. Rauschenbach, Tammy J.
87. Riddick, Ellen M.
88. Robbins, Catherine R.
89. Rogers, Eileen D.
90. Savatone, Dawn
91. Schmierer, Caron
92. Schraner, Vonda K.
93. Schwartz, Nicole M.
94. Serna, Diana M.
95. Short, Laura J.
96. Singer, Janie J.
97. Soderquist, Carol A.
98. Stone, Andrew D.
99. Stone, Rhonda S.
100. Talkington, Lauren R.
101. Tanner, Adelina N.
102. Thompson, Carol Ann
103. Tittelbach, Kurt A.
104. Tomlinson, Shari J.
105. Trevillian, Mary T.
106. Tucker, Michael J.
107. Turley, Norman B.
108. Ubiebor, Amanda R.
109. Vallely, Dorothy E.
110. Vatterott-Gale, Jeanne M.
111. Wallace, Kathryn E.
112. Walsma, Pamela K.
113. Weigle, Misty E.
114. Wells, Janet I.
115. Westra, F.M.
116. Whitney, Scott E.
117. Williams, William W.
118. Wood, Henry H.
119. Woodburn, Melissa T.
120. Wyrick, Sandra D.
121. Yoshida-Corella, Catherine S.
122. Arizona Care Advocates, PC
123. Cochise County Public  
Fiduciary
124. Coconino County Public  
Fiduciary
125. Conservators of Arizona, Inc.
126. D.M. Benjamin CPA, PC
127. Esteemed Life Solutions, LLC
128. Gila County Public Fiduciary
129. Graham County Public  
Fiduciary
130. Greenlee County Public  
Fiduciary
131. Kile & Kupiszewski Law  
Firm, LLC
132. La Paz County Public  
Fiduciary
133. Maricopa County Public  
Fiduciary
134. Mohave County Public  
Fiduciary
135. Mohave Estate Management  
Office
136. Monarch Fiduciaries, LLC
137. Pinal County Public Fiduciary
138. Premier Fiduciary Services,  
LLC
139. Ralles Law Firm, PC
140. Reliance Fiduciary, LLC
141. RLH Fiduciary LLC
142. Santa Cruz Public Fiduciary
143. Singer Management Services,  
LLC
144. Statewide Community  
Services, LLC
145. Vallely Consulting, LLC
146. Zen Freelance Fiduciary  
Solutions, LLC
147. Dyer, Charles M.
148. Salem, Rashiel J.
149. Tully, John P.
150. Cooper, Susan A.
151. Steele, Rena M.

**5) LICENSURE AND ELIGIBILITY.....Division Staff**

5-A: *Review, discussion and possible action regarding request for voluntary surrender from licensed fiduciary, Ramon Franco.*

5-B: *Review, discussion and possible action regarding request for inactive status from licensed fiduciary, Kathryn Wallace.*

**6) ADMINISTRATIVE ISSUES .....Division Staff**

**CALL TO THE PUBLIC .....Deborah Primock, Chair**

**ADJOURN.....Deborah Primock, Chair**

**FIDUCIARY BOARD**  
**Agenda Summary – Thursday, November 15, 2018**

**1) REVIEW AND APPROVAL OF MINUTES**

*1-A: Review, discussion and possible action regarding the regular session minutes of the meeting held on September 6, 2018.*

# FIDUCIARY BOARD

## Agenda Summary – Thursday, November 15, 2018

### 2) PENDING COMPLAINTS

*2-A: Review, discussion and possible action regarding complaint numbers 16-0004 and 16-0005, involving licensees Southwest Fiduciary and Gregory DoVico.*

The Certification and Licensing Division (“Division”) received a complaint containing the following allegations:

1. Southwest Fiduciary, Inc. did not properly set up the Trust causing Beneficiary to be disqualified for social security benefits.
2. Southwest Fiduciary, Inc. spent down the Trust, but little was spent on helping Beneficiary.
3. Southwest Fiduciary, Inc. did not invest funds to appropriately receive a financial return and offset administrative costs and fees.
4. Southwest Fiduciary, Inc. charged the Trust to correct mistakes the fiduciary made when preparing the Trust.
5. Southwest Fiduciary, Inc. did not file required Trust accountings.

This matter was initially brought before the Fiduciary Board on May 10, 2018 and was deferred to the next scheduled Board meeting. The matter was subsequently deferred to other Fiduciary Board meetings to present date.

The Division did not substantiate Allegations 1, 2, 4 and 5 of the Complaint.

The Division’s investigation substantiated Allegation 3.

In summary, approximately \$60,000.00 was deposited into a savings account instead of investing the funds in some other form of investment that may have provided a greater return. The funds were first deposited in 2000. Given the duration of time that the funds remained in the savings account, it was determined that it would have been prudent to explore alternative investments.

Mr. DoVico and Southwest Fiduciary, Inc. acknowledge the need to appropriately invest trust assets in a manner that appropriately protects the assets while assuring an appropriate return. Mr. DoVico and Southwest Fiduciary, Inc. believe they appropriately did so in this situation.

Subject to an appropriate Fiduciary Board determination, Mr. DoVico and Southwest Fiduciary, Inc. have agreed to the following resolution of the Complaint: The Fiduciary Board issues a Letter of Concern addressing the investment decisions. The Letter of Concern is not to be used as an aggravating factor in future disciplinary proceedings. The Fiduciary Board dismisses the remaining allegations of the Complaint.

**Recommendation:**

It is recommended the Board accept the terms of the proposed Consent Agreement which includes the following provisions:

1. Allegations 1, 2, 4 and 5 of the Complaint, as described in the Investigative summary are dismissed.
2. The Fiduciary Board will issue and Gregory DoVico and Southwest Fiduciary, Inc. agree to accept a Letter of Concern regarding Allegation 3 alerting Gregory DoVico and Southwest Fiduciary, Inc. of the need to consider alternative forms of investment. The Letter of Concern is not to be used as an aggravating factor in subsequent disciplinary proceedings.

# FIDUCIARY BOARD

## Agenda Summary – Thursday, November 15, 2018

### 2) PENDING COMPLAINTS

*2-B: Review, discussion and possible action regarding complaint numbers 17-001, 17-0019 and 17-0020, involving licensees Ayudando Alpha, Inc. and Bradley Torch.*

On January 11, 2018, the Board voted to revoke the licenses of Ayudando Alpha, Inc. and Bradley Torch and subsequently issued a Notice of Statement of Formal Charges.

ACJA § 7-201(H)(10)(d) requires that Division staff personally serve the notice of formal statement of charges pursuant to Rule 4, Rules of Civil Procedure.

Division staff has been unable to locate Mr. Torch and Ayudando Alpha, Inc. is no longer in business. There is no alternative means of services provided for in the Code.

Staff recommends that the Board vote to suspend further action in these matters until Mr. Torch's location is discovered.

# FIDUCIARY BOARD

## Agenda Summary – Thursday, November 15, 2018

### 2) PENDING COMPLAINTS

2-C: *Review, discussion and possible action regarding complaint number 17-0021, involving Jennifer Murray.*

Certification and Licensing Division staff received a complaint regarding the following allegations against Maricopa County Public Fiduciary Jennifer Murray. It is the finding of the Division that four of the five allegations made against MCPF Fiduciary employee Jennifer Murray are unsubstantiated.

Allegation 1: Failure to ensure timely payment of ward's account payables at Brookdale Assisted Living facility and pharmaceutical company Pharmcare USA – unsubstantiated.

Allegation 2: Moving ward from Brookdale Assisted Living to ALTCS facility Rose Court without notifying family & advised staff at Rose Court ward did not have family – unsubstantiated.

Allegation 3: Failure to communicate and non-responsiveness with family inquiries about ward – substantiated.

Allegation 4: Fiduciary charged excessively for reading emails regarding ward, however, failed to respond to any of them – unsubstantiated.

Allegation 5: MCPF employee Jennifer Murray intentionally lied about time frame of ward being admitted to ALTCS and his subsequent transfer to ALTCS facility - unsubstantiated.

Of concern to the Division is a pattern where Murray failed to communicate verbally or in writing with the family, living facility and the pharmaceutical vendor regarding issues related to the ward.

When interviewed by the Division these individuals stated this lack of communication caused angst amongst all of them, and in Jennifer's interview she conceded that she could have communicated better and been more proactive and responsive to vendors who provided services to the ward and the facility he resided in.

### **Recommendation:**

It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Jennifer Murray has not committed the alleged act(s) of misconduct as detailed in Allegations 1, 2, 4, and 5 of the Investigation Summary and Allegation Analysis Report in complaint number 17-0021.

It is further recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Jennifer Murray has committed the alleged act(s) of misconduct as detailed in Allegation 3 of the Investigation Summary and Allegation Analysis Report in complaint number 17-0021.

It is recommended the Board enter a finding grounds for formal disciplinary action exists pursuant to Arizona Code of Judicial Administration (“ACJA”) § 7-201(H)(6) for act(s) of misconduct involving ACJA §§ 7-201(H)(6)(k)(6),(7) and (8) for her pattern of failing to communicate with family or those providing services.

It is further recommended the Board issue a Censure to Ms. Murray and further place Ms. Murray on probation for one year. The term of probation requiring that Ms. Murray promptly report to Division Staff all complaints received from a ward, family member or service provider that she has failed to timely communicate.

**Renewal:**

Ms. Murray has submitted an application for renewal of standard licensure. The application is complete, no information has been presented during a background review which is contrary to renewal of standard licensure being granted and the license holder has demonstrated she meets the minimum eligibility requirements for renewal of standard licensure. It is recommended renewal of standard licensure be granted to Jennifer Murray.

# FIDUCIARY BOARD

Agenda Summary - Thursday, November 15, 2018

## 2) PENDING COMPLAINTS

*2-D: Review, discussion and possible action regarding the Division Director dismissal of complaint number 18-0002.*

Pursuant to ACJA § 7-201(H)(1)(g)(3), complaints dismissed by the Division Director are CONFIDENTIAL and not a matter of public record. If the Board wishes to address specific details regarding this matter, it is recommended the Board enter Executive Session to discuss matters confidential.

### Complaint Number 18-0002:

On October 30, 2018, Division Director Wilson dismissed complaint number 18-0002 without prejudice upon determining that the matter falls outside the jurisdiction of the Arizona Supreme Court, court rules, the statutes or the applicable ACJA sections pursuant to ACJA § 7-201(H)(2)(b). The Division Director also determined the issuance of an advisory letter is warranted. The dismissal was forwarded to the complainant. Board review of the dismissal has not been requested.

It is recommended the Board affirm the dismissal and issue an advisory letter to the licensee.

# FIDUCIARY BOARD

## Agenda Summary - Thursday, November 15, 2018

### 2) PENDING COMPLAINTS

*2-E: Review, discussion and possible action regarding the Division Director dismissal of complaint number 18-0032.*

Pursuant to ACJA § 7-201(H)(1)(g)(3), complaints dismissed by the Division Director are CONFIDENTIAL and not a matter of public record. If the Board wishes to address specific details regarding this matter, it is recommended the Board enter Executive Session to discuss matters confidential.

#### Complaint Number 18-0032:

On October 30, 2018, Division Director Wilson dismissed complaint number 18-0002 without prejudice upon determining that the matter falls outside the jurisdiction of the Arizona Supreme Court, court rules, the statutes or the applicable ACJA sections pursuant to ACJA § 7-201(H)(2)(b). The dismissal was forwarded to the complainant. Board review of the dismissal has not been requested.

It is recommended the Board affirm the dismissal.

# FIDUCIARY BOARD

## Agenda Summary – Thursday, November 15, 2018

### 3) INITIAL LICENSURE AND ELIGIBILITY

*3-A: Review, discussion and possible action regarding the following applications for initial individual and business licensure:*

The following applicants have applied for initial fiduciary licensure. The applicants successfully passed the program examination and have submitted completed applications demonstrating that they meet the minimum education and experience requirements and all applicants have completed the required fiduciary professional training.

The Division recommends approval of initial fiduciary licensure for the following applicants:

1. Lindsay Payne
2. Kyndall Yates
3. Rachel Yokley
4. Bensmiller Fiduciary Services, PLLC
5. Scottsdale Fiduciary Services, LLC)

6. Ellen Majure applied for initial fiduciary licensure. The applicant successfully passed the program examination and has submitted a complete application demonstrating that she meets the minimum education and experience requirements. The applicant has also attended the fiduciary professional training. Ms. Majure disclosed a 1999 dissolution of marriage and a 2001 criminal matter in which she was convicted for “Vicious Dog at Large,” a misdemeanor offense. Ms. Majure also disclosed two work terminations that occurred in 2008 and 2016. Ms. Majure stated that her 2008 work termination was a result of her position being eliminated but was not provided a reason for the 2016 work termination.

The Division recommends approval of initial fiduciary licensure for Ellen Majure.

7. Rhonda Mayo applied for initial fiduciary licensure. The applicant successfully passed the program examination and has submitted a complete application demonstrating that she meets the minimum education and experience requirements. The applicant has also attended the fiduciary professional training. Ms. Mayo disclosed a 1991 bankruptcy as well as several family matters in which she was listed as a party. Ms. Mayo also disclosed two orders of protection in which she was listed as the plaintiff.

The Division recommends approval of initial fiduciary licensure for Rhonda Mayo.

8. Matthew Neal applied for initial fiduciary licensure. The applicant successfully passed the program examination and has submitted a complete application demonstrating that he meets the minimum education and experience requirements. The applicant has also attended the fiduciary professional training. Mr. Neal disclosed three criminal matters that he was involved in that

included a 2008 failure to appear regarding an unpaid traffic citation, a 2010 misdemeanor conviction for public intoxication and a 2012 DWI that was later pled down to an obstruction of the highway misdemeanor conviction. Mr. Neal stated that he had completed all the mandated terms that were imposed in his sentencing.

All of Mr. Neal's criminal matters occurred over 6 years ago and he has not incurred any other criminal charges or convictions. Mr. Neal has fully disclosed his past conduct.

The Division recommends approval of initial fiduciary licensure for Matthew Neal.

9. Tara Lara applied for initial fiduciary licensure. The applicant successfully passed the program examination and has submitted a complete application demonstrating that she meets the minimum education and experience requirements. The applicant has also attended the fiduciary professional training. As will be further described at the Board meeting, in 2010 Ms. Lara was arrested and charged with theft, which charge was subsequently dismissed.

The Division recommends approval of initial fiduciary licensure for Tara Lara.

10. Melissa Ahlers applied for initial fiduciary licensure. The applicant successfully passed the program examination and has submitted a complete application demonstrating that she meets the minimum education and experience requirements. The applicant has also attended the fiduciary professional training. Ms. Ahlers disclosed several civil matters to include two bankruptcies that were filed in 1991 and 1992 as well as a 1989 dissolution of marriage.

Ms. Ahlers failed to disclose a 1987 bankruptcy, a 1995 civil case in which she was listed as the plaintiff as well as a 1989 petition regarding a dissolution of marriage. Ms. Ahlers stated that she had forgotten about the 1987 bankruptcy and was unaware of the 1995 civil case and stated that it was related to a traffic accident involving her husband and she was unaware that it rose to the level of becoming a court case. Ms. Ahlers also stated that she was unaware of the 1989 petition of dissolution and thought of it as the same case regarding the 1989 dissolution of marriage that she did disclose since it involved the same parties.

The Division recommends approval of initial fiduciary licensure for Melissa Ahlers with the standard non-disclosure language.

11. Julie Maier applied for initial fiduciary licensure. The applicant successfully passed the program examination and has submitted a complete application demonstrating that she meets the minimum education and experience requirements. The applicant has also attended the fiduciary professional training. Ms. Maier disclosed a 2003 dissolution of marriage. Ms. Maier failed to disclose three civil cases in which she was listed as a defendant along with her former husband regarding unpaid debts. Ms. Maier stated that these cases were the result of her divorce and that the garnishee listed in two of the cases was her husband's employer. All three of those matters have since been satisfied or quashed. Ms. Maier stated that she was sorry for failing to disclose the cases and attributed the non-disclosures to memory and oversight.

The Division recommends approval of initial fiduciary licensure for Julie Maier with the standard non-disclosure language.

# FIDUCIARY BOARD

Agenda Summary – Thursday, November 15, 2018

## 4) RENEWAL CERTIFICATION APPLICATIONS

*4-A: Review, discussion and possible action regarding the following applications for renewal of individual and/or business entity Fiduciary certification:*

The following individual license holders and business entities have submitted applications for renewal of standard licensure. The applications are complete, no information has been presented during a background review which is contrary to renewal of standard licensure being granted and the license holders have demonstrated they meet the minimum eligibility requirements for renewal of standard licensure. It is recommended renewal of standard licensure be granted to the following individuals:

- |                             |                            |
|-----------------------------|----------------------------|
| 1. Appel, Marlene           | 32. Friman, Elizabeth N.   |
| 2. Arnold, Charles L.       | 33. Garcia, Edgar          |
| 3. Baldwin, Denise R.       | 34. Garcia, Rhonda R.      |
| 4. Barkley, John D.         | 35. Getchell, Margaret I.  |
| 5. Benjamin, Donna M.       | 36. Gloria, Glenn V.       |
| 6. Bennett, Shannon J.      | 37. Haley, Eric M.         |
| 7. Bernardini, Janice L.    | 38. Hamilton, Linda        |
| 8. Blair, Kathryn A.        | 39. Harner, Robert L.      |
| 9. Bouschor II, Royal G.    | 40. Harter, Louise E.      |
| 10. Brandes, Chelsea D.     | 41. Hartless, Vivian J.    |
| 11. Burnside, Benjamin J.   | 42. Hawkins, H. Rex        |
| 12. Campos, Antonella R.    | 43. Herron, Marshall       |
| 13. Cannon, Joe D.          | 44. Hoekstra, Rochelle M.  |
| 14. Chavez, Katrina V.      | 45. Holliday, Deborah A.   |
| 15. Collins, Carole         | 46. Janik, Anton L.        |
| 16. Cooper Love, Crismon J. | 47. Johnson, Claudia P.    |
| 17. Corona, Nicole W.       | 48. Keethe, Dianne K.      |
| 18. Coyne, Marshall P.      | 49. Kile, Emily B.         |
| 19. Crane, Kelly R.         | 50. Klecka, Michael J.     |
| 20. Cundiff, Ravie N.       | 51. Kuzdal, Laurie L.      |
| 21. Cundiff, Stephen M.     | 52. Lackey, Judy C.        |
| 22. Curley, Donovan         | 53. Lamb, Sabrina          |
| 23. Edwards Maureen L.      | 54. Lander, Ann M.         |
| 24. Eells, Theresa J.       | 55. Lashley, Lori          |
| 25. Elsouhag, Belvet A.     | 56. Lawson, Kathleen A.    |
| 26. Escalante, Nicole E.    | 57. Leas, Christopher G.   |
| 27. Espinoza, Patricia A.   | 58. Liebe, Melissa T.      |
| 28. Farrell, Rocio          | 59. Liechty, Clinton L.    |
| 29. Fitzsimmons, Tamarah L. | 60. Lines, Sherrel A.      |
| 30. Fleck, Jon F.           | 61. Loughridge, Gregory D. |
| 31. Franco, Ramon           | 62. Martelli, Anna Marie   |

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|------|------------------------|------|---|
| 63.  | Mccooy, Sean           | 107. | Turley, Norman B.                         |
| 64.  | Mccooy, Stephanie      | 108. | Ubiebor, Amanda R.                        |
| 65.  | Mcperson, Debra K.     | 109. | Vallely, Dorothy E.                       |
| 66.  | Mejia, Cecilia A.      | 110. | Vatterott-Gale, Jeanne M.                 |
| 67.  | Michaelson, Robin S.   | 111. | Wallace, Kathryn E.                       |
| 68.  | Miller, Karen J.       | 112. | Walsma, Pamela K.                         |
| 69.  | Morales, Elizabeth     | 113. | Weigle, Misty E.                          |
| 70.  | Moriarity, Kathleen A. | 114. | Wells, Janet I.                           |
| 71.  | Murguia, Rachel L.     | 115. | Westra, F.M.                              |
| 72.  | Olivas, Cherie R.      | 116. | Whitney, Scott E.                         |
| 73.  | Ortega, Jesenia        | 117. | Williams, William W.                      |
| 74.  | Osollo, David T.       | 118. | Wood, Henry H.                            |
| 75.  | Palacio, Hector M.     | 119. | Woodburn, Melissa T.                      |
| 76.  | Perez-Arrieta, Ana M.  | 120. | Wyrick, Sandra D.                         |
| 77.  | Phillips, Pamela L.    | 121. | Yoshida-Corella, Catherine S.             |
| 78.  | Pimental, Dian B.      | 122. | Arizona Care Advocates, PC                |
| 79.  | Pisiak-Kusiak, Hanna   | 123. | Cochise County Public Fiduciary           |
| 80.  | Poarch, Tiffany        | 124. | Coconino County Public Fiduciary          |
| 81.  | Pope, Gary R.          | 125. | Conservators of Arizona, Inc.             |
| 82.  | Posey, Elizabeth E.    | 126. | D.M. Benjamin CPA, PC                     |
| 83.  | Pratt, Susan E.        | 127. | Esteemed Life Solutions, LLC              |
| 84.  | Primock, Deborah       | 128. | Gila County Public Fiduciary              |
| 85.  | Ralles, Mark T.        | 129. | Graham County Public Fiduciary            |
| 86.  | Rauschenbach, Tammy J. | 130. | Greenlee County Public Fiduciary          |
| 87.  | Riddick, Ellen M.      | 131. | Kile & Kupiszewski Law Firm,<br>LLC       |
| 88.  | Robbins, Catherine R.  | 132. | La Paz County Public Fiduciary            |
| 89.  | Rogers, Eileen D.      | 133. | Maricopa County Public Fiduciary          |
| 90.  | Savattonne, Dawn       | 134. | Mohave County Public Fiduciary            |
| 91.  | Schmierer, Caron       | 135. | Mohave Estate Management Office           |
| 92.  | Schraner, Vonda K.     | 136. | Monarch Fiduciaries, LLC                  |
| 93.  | Schwartz, Nicole M.    | 137. | Pinal County Public Fiduciary             |
| 94.  | Serna, Diana M.        | 138. | Premier Fiduciary Services, LLC           |
| 95.  | Short, Laura J.        | 139. | Ralles Law Firm, PC                       |
| 96.  | Singer, Janie J.       | 140. | Reliance Fiduciary, LLC                   |
| 97.  | Soderquist, Carol A.   | 141. | RLH Fiduciary LLC                         |
| 98.  | Stone, Andrew D.       | 142. | Santa Cruz Public Fiduciary               |
| 99.  | Stone, Rhonda S.       | 143. | Singer Management Services, LLC           |
| 100. | Talkington, Lauren R.  | 144. | Statewide Community Services,<br>LLC      |
| 101. | Tanner, Adelina N.     | 145. | Vallely Consulting, LLC                   |
| 102. | Thompson, Carol Ann    | 146. | Zen Freelance Fiduciary Solutions,<br>LLC |
| 103. | Tittelbach, Kurt A.    |      |   |
| 104. | Tomlinson, Shari J.    |      |   |
| 105. | Trevillian, Mary T.    |      |   |
| 106. | Tucker, Michael J.     |      |   |

147. Charles M. Dyer applied for renewal of standard licensure. The application is complete. The applicant answered "Yes" to questions 6 and 7 in the background history and supplied an affidavit to division.

Mr. Charles Dyer disclosed he received a reprimand and probation from the State Bar. Mr. Dyer, as managing partner, was responsible for the oversight of the law firm trust account. While Mr. Dyer had oversight responsibility, a check was bounced, and the State Bar determined that the trust account was not being properly maintained as required by the Ethical Rules.

Mr. Dyer took steps to correct the outstanding issues and returned the account to compliance.

It is recommended renewal of standard licensure be granted to Charles M. Dyer.

148. Rashiel J. Salem applied for renewal of standard licensure. The application is complete. The applicant answered "No" to all the questions in the background history.

Applicant failed to disclose she was a Petitioner in a Consent Decree of Dissolution of Marriage (Without Children) filed on October 4, 2016. Ms. Salem said about her non-disclosed dissolution, "Please accept my apologies for not including this information in my original application as this was a complete oversight on my behalf."

It is recommended renewal of standard licensure be granted to Rashiel J. Salem with non-disclosure language.

149. John P. Tully applied for renewal of standard licensure. The applicant failed to disclose he was a Respondent in a Consent Decree of Dissolution of Marriage (With Children) filed on February 3, 2017. Mr. Tully said about his non-disclosed dissolution, "I mistakenly didn't disclose because I didn't even think about it when asked if I had ever been a party to a lawsuit.... ..Again I am sorry for this unintentional omission on my application for renewal of my fiduciary license."

It is recommended renewal of standard licensure be granted to John P. Tully with non-disclosure language.

The following individual license holders have submitted applications for renewal of inactive status licensure. The applications are complete, no information has been presented during a background review which is contrary to renewal of inactive status licensure being granted and the license holders have demonstrated they meet the minimum eligibility requirements. It is recommended renewal of inactive status licensure be granted to the following individuals:

150. Cooper, Susan A.

151. Steele, Rena M.

# FIDUCIARY BOARD

## Agenda Summary – Thursday, November 15, 2018

### 5) LICENSURE AND ELIGIBILITY

*5-A: Review, discussion and possible action regarding request for voluntary surrender from licensed fiduciary, Ramon Franco.*

Ramon Franco was granted licensure on July 13, 2017. On September 7, 2018, the Division received Mr. Franco's request to voluntarily surrender his license.

ACJA § 7-201(E)(7) provides:

*A certificate holder in good standing may surrender their certificate to the board. However, the surrender of the certificate is not valid until accepted by the board. The board or division staff may require additional information reasonably necessary to determine if the certificate holder has violated any provision of the statutes, court rules and this section or the applicable section of the ACJA. The surrender does not prevent the commencement of subsequent discipline proceedings for any conduct of the surrendered certificate holder occurring prior to the surrender.*

Division records indicate that there are no pending complaints against Mr. Franco's license.

It is recommended the Board accept the request of Ramon Franco to voluntarily surrender his individual fiduciary license.

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**5) LICENSURE AND ELIGIBILITY**

*5-B: Review, discussion and possible action regarding request for inactive status from licensed fiduciary, Kathryn Wallace.*

Katherine Wallace was granted licensure on September 7, 2017. On September 10, 2018, Ms. Wallace submitted a request for her license to be placed on Inactive Status. Staff has confirmed there are no pending complaints filed against her.

Staff recommends that the Board accept the request and place Kathryn Wallace, license number 20842, on Inactive Status.