

FIDUCIARY BOARD

Meeting Agenda – March 13, 2025 (Amended)

Arizona Supreme Court -1501 West Washington Street
Phoenix, Arizona 85007 - 10:00 A.M. Zoom/Conference Room 109
General Inquiries Call: 602-452-3378 (Certification and Licensing Division Line)
Members of the public may attend meeting in person.

For any item listed on the agenda, the Board may vote to go into Executive Session for advice of counsel (under ACJA Sec. 1-202(C)(5)(c)) or for discussion or consideration of records or matters made confidential or privileged by statute, court rule, or the Arizona Code of Judicial Administration (under ACJA Sec. 1-202(C)(5)(b)).

CALL TO ORDERHon. Jennifer Perkins, Chair

1. REVIEW AND APPROVAL OF MINUTES Hon. Jennifer Perkins, Chair

1-A: Review, discussion, and possible action regarding adopting the regular session recording and written minutes of the meeting held on January 9, 2025.

2. PENDING COMPLAINTS Division Staff

2-A: Update regarding pending complaints.

2-B: Review, discussion, and possible action regarding Complaint Nos. 22-0067 involving license holder Fiduciary Solutions, LLC.

2-C Review, discussion, and possible action regarding Complaint Nos. 22-0071 involving license holder Carol Thompson.

2-D: Review, discussion, and possible action regarding Complaint Nos. 22-0084 through 22-0087, involving license holders East Valley Fiduciary Services, Inc., Michael Bogle, Andrew Stone and Tanya Malos.

2-E Review, discussion, and possible action regarding Complaint Nos. 24-0005 through 24-0007 involving license holders East Valley Fiduciary Services, Inc., Michael Bogle and Andrew Stone.

2-F Review, discussion, and possible action regarding Complaint Nos. 24-0037 involving license holder Jennifer Kramer formerly known as Jennifer Stupski.

2-G Review, discussion, and possible action regarding Complaint Nos. 23-0001 involving license holder Alex Harris.

2-H Review, discussion, and possible action regarding Complaint Nos. 23-0025 and 23- 0026 involving license holders Next Steps for Families, LLC and Leslie Mann-Damon.

2-I Review, discussion, and possible action regarding Complaint Nos. 23-0028 and 23-0029 involving license holders Stephanie McCollum and Taylor Galas.

- 2-J *Review, discussion, and possible action regarding Complaint Nos. 23-0032 involving license holder Laurie Kuzdal.*
- 2-K *Review, discussion, and possible action regarding Complaint Nos. 25-0003 and 25-0004.*
- 2-L *Review, discussion and possible action regarding Complaint Nos. 23-0027, 23-0035, 23-0063, 24-0028, 24-0029 involving license holder Patricia Flores.*

3. PENDING COMPLIANCE AUDITS.....Division Staff

3-A: *Update regarding pending compliance audits.*

4. INITIAL LICENSURE AND ELIGIBILITY.....Division Staff

4-A: *Review, discussion, and possible action regarding the following applications for initial individual and/or business licensure:*

- 1. Heather Bacon
- 2. Rico Martinez
- 3. Tammy Evans
- 4. Jazmine Fickas
- 5. Veronica Pena

5. CERTIFICATION/LICENSURE AND ELIGIBILITY.....Division Staff

5-A: *Review, discussion, and possible action regarding request for examination received from Vivien Spencer.*

5-B: *Review, discussion, and possible action regarding request for examination received from Jamie Reddy.*

6. ADMINISTRATIVE ITEMS.....Division Staff

6-A: *Review, discussion, and possible action regarding Subcommittee on Additional Protection of Wards update (informational).*

6-B: *Division updates (informational).*

CALL TO THE PUBLICHon. Jennifer Perkins, Chair

LIMITED RESPONSE TO CALL TO THE PUBLIC..... Hon. Jennifer Perkins, Chair

Individual members of the Board may take one or more of the following actions: (a) respond to criticism made in Public Comment; (b) ask staff to review a matter raised in public comment; or (c) ask that a matter raised in public comment be put on a future agenda. NOTE: Neither the Board as a whole nor Staff are permitted to discuss a matter raised in public comment and the

Board is not permitted to vote on a matter raised during an open call to the public unless the matter was otherwise properly noticed on the meeting agenda for discussion and legal action

ADJOURN *Hon. Jennifer Perkins, Chair*

Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the Division at 602-452-3378 or TDD 602-452-3534. A person requesting an accommodation should make the request as early as possible to allow time to arrange the requested accommodation.

Next Meeting:

May 8, 2025, at 10:00 A.M.

FIDUCIARY BOARD

Agenda Summary – March 13, 2025

2) PENDING COMPLAINTS

2-A: *Update regarding pending complaints.*

As of March 4, 2025, there are * 58 open complaints.

** Complaints are open until a finding of probable cause is entered. The total open complaints represent complaints under investigation.*

FIDUCIARY COMPLAINTS

Age (Months)	Number Cases	Percent
0-5	14	24.0%
6-11	22	38.0%
12-17	8	13.8%
18-22	7	12.1%
23 & over	7	12.1%
Total	58	100%

Previous open complaint chart presented at the January 9, 2025, Board meeting:

Age (Months)	Number Cases	Percent
0-5	23	29.9.0%
6-11	23	29.9.3%
12-17	7	9.0%
18-22	15	19.5%
23 & over	9	11.7%
Total	77	100%

FIDUCIARY BOARD

Agenda Summary – March 13, 2025

3) PENDING COMPLIANCE AUDITS

3-A: Update regarding pending compliance audits.

As of March 4, 2025, there are *2 open compliance audits pending completion/final reports*

Random compliance audits: R

Compliance audits related to resolving discipline: D

Other compliance audits (includes follow-ups, Director-approved): O

FIDUCIARY COMPLIANCE AUDIT REPORT

Age (Months)	Number And Type	Percent
0-3	1 (R); (D); 0 (O)	50.0%
4-7	0 (R); (D); 0 (O)	0.0%
8-11	0 (R); 0 (D); 0 (O)	0.0%
12 and over	0 (R); 1 (D); 0 (O)	50.%
Total	2	100%

**Working papers associated with the compliance audit maintained by Division staff are not public records and are not subject to disclosure. Upon completion of an audit the final report issued to the affected party is a public record subject to public inspection. Final reports that result in corrective action are posted to the program webpage at <https://www.azcourts.gov/cld/Fiduciary-Licensing-Program/Compliance-Audit>.*

Compliance Audit Report: January 9, 2025 Fiduciary Board Meeting

FIDUCIARY COMPLIANCE AUDIT REPORT

Age (Months)	Number And Type	Percent
0-3	1 (R); 1 (D); 0 (O)	33.3%
4-7	0 (R); 1 (D); 0 (O)	33.3%
8-11	0 (R);0 (D); 0 (O)	0.0.%
12 and over	0 (R); 1 (D); 0 (O)	33.3%
Total	3	100%