



## **FIDUCIARY BOARD**

Arizona State Courts Building  
1501 West Washington Street, Phoenix, Arizona 85007  
Hearing Room 109

**March 9, 2017**  
**10:30 a.m.**

### **Approved Regular Meeting Minutes**

#### **MEMBER ATTENDANCE:**

##### **Present:**

Catherine Robbins  
Gary Krcmarik  
Elaine Acosta Sweet

##### **Telephonically Present:**

Diana Corry  
Teresa Lancaster  
Emily Kile  
Leonard Montanaro

##### **Absent:**

Honorable Jay Polk  
Deborah Primock

#### **OTHER ATTENDEES:**

##### **AOC Staff:**

Mark Wilson  
Debbie MacDougall  
Kate Novak  
Will Castro  
Hal White  
Arnita Oliver

##### **Guests:**

Katrice Thompson

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**CALL TO ORDER**

*Called to Order By:* Catherine Robbins, Vice Chair

*Time:* 10:34 a.m.

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**1) REVIEW AND APPROVAL OF MINUTES:**

**1-A:** *Review, discussion and possible action regarding the regular session minutes of the meeting held on January 12, 2017.*

*Individual Addressing the Board:* Catherine Robbins

*Discussion:* None

*Motion:* Consideration of and motion to accept the regular session minutes of January 12, 2017.

*Motion Proposals:* First Gary Krmarik  
Second Elaine Acosta Sweet

*Motion Results:* Pass

**1-B:** *Review, discussion and possible action regarding the regular session minutes of the meeting held on February 6, 2017.*

*Individual Addressing the Board:* Catherine Robbins

*Discussion:* None

*Motion:* Consideration of and motion to accept the regular session minutes of February 6, 2017.

*Motion Proposals:* First Elaine Acosta Sweet  
Second Gary Krmarik

*Motion Results:* Pass

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**2) INITIAL LICENSURE AND ELIGIBILITY:**

**2-A:** *Review, discussion and possible action regarding the following applications for initial individual and business licensure:*

1. Katrice Thompson
2. Michelle Peavy
3. Kim Duprest
4. Vanessa Nefflen

**Individual Addressing the Board:** Hal White

**Discussion:** Staff recommends granting initial licensure to Katrice Thompson with the standard non-disclosure language.

Katrice Thompson is present and addresses the Board.

**Motion:** Consideration and motion to accept staff's recommendation.

**Motion Proposals:** First Gary Krcmarik  
Second Leonard Montanaro

**Motion Results:** Pass

**Individual Addressing the Board:** Hal White

**Discussion:** Staff recommends initial licensure for Michelle Peavy.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Elaine Acosta Sweet  
Second Gary Krcmarik

**Motion Results:** Pass

**Individual Addressing the Board:** Wil Castro

**Discussion:** Staff recommends granting initial licensure to Kim Duprest.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Leonard Montanaro  
Second Diana Corry  
Recused Catherine Robbins

**Motion Results:** Pass

**Individual Addressing the Board:** Wil Castro

**Discussion:** Staff recommends initial licensure for Vanessa Nefflen.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Elaine Acosta Sweet  
Second Gary Krcmarik  
**Motion Results:** Pass

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**3) RENEWAL OF LICENSURE APPLICATION:**

**3-A: Review, discussion and possible action regarding the following applications for renewal of individual licensure:**

June Comroe

**Individual Addressing the Board:** Wil Castro

**Discussion:** It is recommended renewal of licensure be granted to June Comroe.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Gary Krcmarik  
Second Diana Corry  
Recused Catherine Robbins

**Motion Results:** Pass

**3-B: Review, discussion and possible action regarding the following applications for Renewal of business entity licensure:**

RLH Fiduciary, LLC

**Individual Addressing the Board:** Wil Castro

**Discussion:** It is recommended renewal of licensure be granted to RLH Fiduciary, LLC.

**Motion:** Consideration of and motion to accept staff's recommendation.

**Motion Proposals:** First Gary Krcmarik  
Second Elaine Acosta Sweet

**Motion Results:** Pass

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**4-A: Review, discussions and possible action regarding establishing Subcommittee to determine guidelines for licensure decisions concerning applicants with current or past bankruptcies.**

**Individual Addressing the Board:** Mark Wilson

**Discussion:** Brief discussion regarding the current guidelines concerning bankruptcies which affect licensure decisions.

**Motion:** The Board moves to form a subcommittee to review ACJA regarding bankruptcies and any other financial disclosure issues to determine whether or not certain amendments to the code are necessary.

**Motion Proposals:** First Elaine Acosta Sweet

Second Gary Krcmarik

**Motion Results:** Pass

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## 5) ADMINISTRATIVE ISSUES:

**Individual Addressing the Board:** Mark Wilson

**Discussion:** Brief discussion concerning the next board meeting's . Discussion on having an off meeting every other month that mainly deals with licensures so applicants don't have to wait two months.

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## CALL TO THE PUBLIC

**Individuals Addressing the Board:** None

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## ADJOURNMENT

**Motion:** Move to adjourn.

**Motion Proposals:** First Elaine Acosta Sweet

Second Gary Krcmarik

**Motion Results:** Pass

**Time:** 11:16 a.m.

Initials: AO