

IN THE SUPREME COURT OF THE STATE OF ARIZONA

In the Matter of:)
)
SPECIAL ACCESS TO RECORDS AND) Administrative Order
INFORMATION TO PERMIT THE AOC) No. 2017 - 48
TO PARTICIPATE IN RESEARCH AND)
DATA SHARING WITH THE)
UNIVERSITY OF CINCINNATI)
CORRECTIONS INSTITUTE)
_____)

The Administrative Office of the Courts (AOC) has contracted with the University of Cincinnati (UC) to acquire a stand-alone web based application which included Arizona specific customization of the automated Ohio Youth Assessment System (OYAS) instrument in Arizona referred to as the Arizona Youth Assessment System (AZYAS). System improvements have been completed which helped maintain and customize the system for Arizona.

In 2014, UC applied for a grant from the Office of Juvenile Justice and Delinquency Prevention (OJJDP). The AOC supported this grant application. The grant was awarded to UC on September 17, 2014. The parties began cooperative planning for the research project beginning in March of 2015. University of Cincinnati Corrections Institute (UCCI) staff made site visits to the following counties: Cochise, Maricopa, Pinal, and Yavapai in the fall of 2015. An additional site visit was conducted with the AOC on October 7, 2015. The AOC provided a list of certified AZYAS users for an e-mail survey in February 2016.

The AOC and the UCCI have entered into an agreement to permit participation in a collaborative partnership in support of the award from OJJDP. The agreement also establishes a protocol and understanding for the sharing of information between the AOC and UCCI utilizing data from the juvenile automation systems specifically, the Arizona Youth Assessment System (AZYAS), the Juvenile Online Tracking System (JOLTS/JOLTSaz), and integrated Court Information System (iCIS). The data to be shared, contingent on availability, is stated in the List of Requested Data Fields attached as Exhibit A.

The purpose of the study is to describe and assess the variation in risk/needs assessment implementation practices within and across three states. More specifically, the study will examine system level outcomes and individual case outcomes related to recidivism and other developmentally relevant outcomes (e.g., school achievement). The three states are Arizona, Ohio, and Indiana. The anticipated benefit to the AOC is receipt of a report containing study findings describing the effectiveness of the AZYAS implementation and utilization.

The AOC will provide data to UCCI by way of an extract containing data pursuant to the list of requested fields as described in Exhibit A. AZYAS will be the primary source of the data

provided. Any data not available through the AZYAS will be obtained through an extract from the juvenile case management systems (JOLTS/JOLTSaz/iCIS).

UCCI recognizes that some of the information is protected as confidential by court rule or other state or federal statutes or regulations. In a data sharing agreement with this Court, through its Administrative Offices, UCCI has agreed to abide by all confidentiality requirements. UCCI shall use, store, and maintain the data consistent with the terms of its agreement with the AOC.

Rule 123, Rules of the Supreme Court has been adopted to “govern public access to the records of all courts and administrative offices of the judicial department of the State of Arizona.” [See Rule 123(a)] That rule also contains specific authorization for employees of government agencies and private organizations serving a public purpose to be granted “such access to court records as required to serve that purpose according to this rule or as provided by any supplemental supreme court policies or court order.” [See Rule 123(c)(6)]

Therefore, pursuant to Article VI, Section 3, of the Arizona Constitution and Rule 123, Rules of the Supreme Court,

IT IS ORDERED that the data identified in Exhibit A (attached), be electronically transmitted to UCCI for use in performance of its contract with the AOC.

IT IS FURTHER ORDERED that all confidential information provided under this Administrative Order shall be maintained by UCCI as confidential in strict compliance with all applicable confidentiality requirements including Rule 123, Rules of the Supreme Court.

Dated this 7th day of June, 2017.

SCOTT BALES
Chief Justice

Exhibit A

List of Requested Data Fields

Field	Description	Source	Notes
Initial Data Needed: This is for the initial sample selection - two calendar years of data			
Youth ID	Any available youth identification number	AZYAS Record	ID
Date of Birth	The youth's date of birth	AZYAS Record	Month/yr
Sex	The youth's biological sex	AZYAS Record	
Race	The youth's race	AZYAS Record	
Ethnicity	Whether the youth is of Hispanic descent or not	AZYAS Record	
County	Agency that completed the assessment	AZYAS Record	
Date Created	Date the assessment was entered into system	AZYAS Record	
Assessment ID	The identification number associated with the specific AZYAS tool	AZYAS Record	
Assessment Type	Whether the instrument is the detention, disposition, disposition screener, diversion, reentry, or residential instrument	AZYAS Record	
Assessment Progress	Provided assessments are completed	AZYAS Record	
Assessment Items	Scores for each item on the assessment	AZYAS Record	
Domain Scores	Scores for each AZYAS domain	AZYAS Record	
Assessment Score	AZYAS total score	AZYAS Record	
Risk Level	Whether the youth was classified as low, moderate, moderate/high, or high risk	AZYAS Record	
Override Risk Level	The risk level for a youth that has been overridden	AZYAS Record	
Assessment reason	Whether the assessment was initial, reassessment, or exit	AZYAS Record	
Offense Code(s)	The Arizona code associated with the referral offense	AZYAS/Case Record	This would be for the highest level of offense only
Offense Code (s) Description	Description of the Arizona code (e.g., assault, harassment)	AZYAS/Case Record	This would be for the highest level of offense only
Offense Level	Whether the offense is a felony or misdemeanor	AZYAS/Case Record	This would be for the highest level of offense only
Offense Type	Whether the offense is conspiracy-related, deemed as complicity, or attempt	AZYAS/Case Record	This would be for the highest level of offense only
Felony Degree	Degree of the felony	AZYAS/Case Record	This would be for the highest level of offense only
Data needed after 2000 sample size selected:			
First Name	First name of the youth		

Last Name	Last name of the youth		
Middle Name	Middle name of the youth		
Youth ID	Any available youth identification number		
Youth Status	Whether the youth is active, inactive, successfully completed supervision/program, or Terminated from supervision/program	case record	
Case Number	Case number associated with the offense	case record	UC can provide instructions to agency on how to process with multiple cases associated with the assessment
First Court Date	Date of first court appearance	case record	
Adjudication Status	Whether the youth has been adjudicated	case record	
Adjudication Date	Date of adjudication	case record	
Case Disposition	Placement or referral for youth (e.g., probation, residential placement, etc.)	case record	
Type of Treatment Received	Any descriptor of the nature of the treatment(s) youth received (e.g., cognitive- behavioral, educational, substance abuse, etc.). Even listings of agencies/facilities to which youth were referred would be sufficient here if we had a master list of those options to later label the different treatment option types.	case record	
Treatment Start Date	Date of admission to treatment	case record	
Treatment End Date	Date of completion/ termination of treatment	case record	
Other Referrals/Sanctions	Any other non-treatment referrals or	case record	
Recidivism data:			
Offense Code	The Arizona code associated with the referral offense	case record	
Offense Code Description	Description of the Arizona code (e.g., assault, harassment)	case record	
Offense Level	Whether the offense is a felony or misdemeanor	case record	
Offense Type	Whether the offense is conspiracy-related, deemed as complicity, or attempt	case record	
Felony Degree	Degree of the felony	case record	
Offense Count	Number of counts associated with the offense	case record	
Arrest or Referral Date	Date of arrest	case record	

Technical Violation	Whether the youth received a technical violation	case record	
Probation Violation	Whether the youth received a probation/parole violation	case record	
Data needed after 250 sample size selected:	These records will be noted within the 2000 sample so that the agency can provide this information at the same time as the case specific data		
Address	The home street address of the youth	case record	
City	The city where the youth's home is located	case record	
ZIP Code	The ZIP code associated with the youth's home address	case record	
Phone#	The youth's phone number	case record	
Parent or Guardian Full Name	The youth's parent/guardian's name	case record	
Parent/Guardian Phone #	The youth's parent/guardian's phone number (if different from youth's)	case record	