

ARIZONA SUPREME COURT



## Adult Probation Services Division

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# Monthly Population and Performance Measures

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*Version #02*

*Arizona Supreme Court*

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## Overview:

The information is used to compile data at a statewide level for distribution to Arizona Adult Probation Departments, the Administrative Office of the Courts, the Joint Legislative Budget Committee, and posting on the Arizona Supreme Court website. The reports are to include statistical information gathered from the first through the last day of the month immediately preceding the due date.

## Definitions:

**DIRECT SUPERVISION** - The number of clients sentenced in a Superior Court or Limited Jurisdiction Court residing in your County for whom you are providing direct supervision.

**INDIRECT SUPERVISION** - The number of clients sentenced in a Superior Court or Limited Jurisdiction Court. These clients are under supervision, but do not physically reside in your County.

**ADMINISTRATIVE SUPERVISION** - The number of Standards, IPS, or Unsupervised clients sentenced in a Superior Court for whom Direct or Indirect Supervision is not provided, yet require some case management activity. This includes § 13-3601.01 cases sentenced in a Limited Jurisdiction Court.

**ABSOLUTE EXIT CATEGORIES**—Absolute Exit is defined as a client who is exiting probation, all cases and counts will be closed. A hierarchy is listed below, in order of precedence, if multiple exit types exist for a single client.

- **Revoked to DOC:** The number of clients revoked and sentenced to the Department of Corrections this month.
- **Revoked to Jail:** The number of clients revoked and sentenced to County jail this month.
- **Revoked:** The number of clients revoked from probation this month, with no incarceration; may or may not include a fine.
- **Co-Terminus:** The number of clients whose probation term expires when their jail term expires.
- **Early Termination:** The number of clients discharged this month prior to completing their full court-ordered term of probation.
- **Earned Time Credit (ETC):** The number of clients discharged this month as a result of having their period of probation adjusted after being awarded earned time credit, in accordance with A.R.S. 13-924.
- **Full Termination:** The number of clients completing their full court-ordered term of probation this month.
- **Judicial Termination:** The number of clients discharged from probation this month by the Court for reasons not already listed.
- **Deceased:** The number of deceased clients terminated from probation this month.

- **Inter State Compact (Incoming) Closed Interest:** The number of incoming interstate compact clients who are no longer being supervised in Arizona.
- **Quashed/Purged Warrant:** The number of clients who were discharged from probation this month as a result of having their outstanding petition to revoke with warrant quashed or purged, thus causing the probation term to expire.

**OTHER EXIT CATEGORY** is defined as a client exiting their current supervision level to another level of supervision.

- **Modified/Reinstated to Unsupervised:** The number of clients modified/reinstated to Unsupervised Probation this month.
- **Modified to Intensive Probation Supervision:** The number of clients modified to IPS this month.
- **Reinstated to Intensive Probation Supervision:** The number of clients reinstated to IPS this month.
- **Modified/Reinstated to Standard:** The number of clients modified/reinstated to Standard Probation this month.
- **Modified/Reinstated to Standard Probation:** The number of clients modified/reinstated to Standard Probation this month.

#### **PETITIONS FOR REVOCATION BY CLIENT**

Track only petitions filed in a Court in your County, regardless of the client's physical location. Include petitions filed on absconders. If more than one petition is filed on a client at the same time, record only one petition according to the following descending order:

- **New Crime + Technical Violation:** The number of clients whose petition(s) contained allegations of **both** one or more new criminal offense(s) and one or more technical violation(s).
- **New Crime Only:** The number of clients whose petition(s) contained only allegations of one or more new criminal offense(s).
- **Technical Violation Only:** The number of clients whose petition(s) contained only allegations of one or more technical violation(s).

**NEW CRIME CONVICTIONS BY CLIENTS** is defined as a client receiving a sentence for a crime committed after the earliest sentence date with an open probation grant. The crime must have occurred after the earliest probation start date.

If more than one conviction applies per client at the same time, record only one conviction according to the following descending order:

- **New felony conviction:** The number of clients who were sentenced for a new felony offense this month.
- **New misdemeanor conviction:** The number of clients who were sentenced for a new misdemeanor offense, either in Superior Court or a Limited Jurisdiction Court, this month.

**DISPOSITIONS BY CLIENT**—a disposition is defined as the outcome of a petition to revoke or petition to modify probation.

If more than one disposition applies per client at the same time, record only one disposition according to the following descending order:

- **Revoked to DOC:** The number of clients revoked and sentenced to the Department of Corrections.
- **Revoked to Jail:** The number of clients revoked and sentenced to County jail.
- **Revoked:** The number of clients revoked from probation, with no incarceration; may or may not include a fine.
- **Co-Terminus:** The number of clients whose probation term expires when their jail term expires.
- **Reinstated to Intensive Probation:** The number of clients reinstated to Intensive Probation.
- **Reinstated to Standard Probation:** The number of clients reinstated to Standard Probation.
- **Reinstated to Unsupervised Probation:** The number of clients reinstated to Unsupervised Probation.
- **Dismissed/Withdrawn:** The number of clients whose petition to revoke was either dismissed or withdrawn.

**COMMUNITY RESTITUTION**—the total number of hours worked without compensation as an intermediate sanction, court ordered condition of probation or at the discretion of the supervising officer during the month, report the total hours reported.

- **Court Ordered Total Hours Reported:** The number of community restitution hours reported this month. Include only court-ordered community restitution hours. Any community restitution hours required by the probation officer for the purposes of an intermediate sanction shall not be included.
- **Discretionary Hours Reported:** The number of community restitution hours reported at the discretion of the probation officer. This will be derived from entries in the “Agency Assignment” screen utilizing the “Discretionary Community Restitution” feature.
- **Sanction Hours Reported:** The number of community restitution hours reported as a sanction during the reporting month. This will be derived from entries in the “Community Restitution Sanctions” screen.

**TREATMENT**—is defined as services provided to clients as part of their probation grant. Includes substance, mental health, sex offender, anger management, domestic violence, or cognitive based. Excludes self-help groups such as Alcoholics Anonymous, Narcotics Anonymous and treatment education (i.e. 8-12 education class).

**EMPLOYMENT**—is defined as the client's employment status on the last day of the month.

- **Employed Full-Time:** The number of clients working an average of 21 hours or more per week during the month.
- **Employed Part-Time:** The number of clients employed for an average of 20 hours or less per week during the month.  
NOTE: Multiple part time records do not equal a Full Time status.
- **Not Eligible for Employment:** The number of clients who are not eligible to maintain employment. Include those who are incarcerated pending revocation, in residential treatment, retired, a house parent, disabled, a full-time student, or court exempt.
- **Job Search:** The number of clients not employed and engaged in job search activities.

**Pre-Sentence Reports:** The number of pre-sentence reports (new crime only) completed and filed with the court this month.

**Combination Reports:** The number of combination reports (new crime plus probation violation/s) completed and filed with the court this month.

**Pre-Disposition Reports:** The number of pre-disposition reports (probation violation/s only) completed and filed with the court this month.

## Population:

1. Standard Direct
  - 1.1. The number of clients sentenced in a Superior Court or Limited Jurisdiction Court residing in your County for whom you are providing direct supervision.
  - 1.2. Clients must have an open “Standard” probation grant as the most severe outcome during the reporting period.
  - 1.3. Include:
    - 1.3.1. Jail with one of the following:
      - 1.3.1.1. Work Furlough
      - 1.3.1.2. Work Release
      - 1.3.1.3. Pending Revocation
        - 1.3.1.3.1. All jail pending revocation is considered direct regardless of the jail location. This includes out of county and out of state.
      - 1.3.1.4. All other jail combinations will count as “Other Administrative”
    - 1.3.2. Clients placed on supervised probation by Limited Jurisdiction Court
    - 1.3.3. Absconder cases with warrants less than 90 days old.
    - 1.3.4. Residential treatment
      - 1.3.4.1. In County
      - 1.3.4.2. Out of County (30 days or less)
    - 1.3.5. Formally accepted incoming cases
      - 1.3.5.1. Intercounty Transfer
      - 1.3.5.2. Interstate Compact
    - 1.3.6. Pending deportation (in community, not in custody)
    - 1.3.7. Out of county/state (30 days or less) with permission
    - 1.3.8. Missing - Standard probation--no warrant issued 90 days or less
2. Standard Indirect
  - 2.1. The number of clients sentenced in a Superior Court or Limited Jurisdiction Court and are under supervision, but do not physically reside in your County.
  - 2.2. Clients must have an open “Standard” probation grant as the most severe outcome during the reporting period.
  - 2.3. Include:
    - 2.3.1. Outgoing Intercounty Transfer cases
    - 2.3.2. Outgoing Interstate Compact cases
    - 2.3.3. Out of county/state (31 days or more)
      - 2.3.3.1. Residential Treatment
      - 2.3.3.2. With permission
3. Standard total
  - 3.1. This is the sum of the Standard Direct Value and the Standard Indirect Values

4. IPS Direct
  - 4.1. The number of clients sentenced in a Superior Court or Limited Jurisdiction Court residing in your County for whom you are providing direct supervision.
  - 4.2. Clients must have an open "IPS" probation grant as the most severe outcome during the reporting period.
  - 4.3. Include:
    - 4.3.1. Jail with one of the following:
      - 4.3.1.1. Work Furlough
      - 4.3.1.2. Work Release
      - 4.3.1.3. Pending Revocation
        - 4.3.1.3.1. All jail pending revocation is considered direct regardless of the jail location. This includes out of county and out of state.
      - 4.3.1.4. All other jail combinations will count as "Other Administrative"
    - 4.3.2. Clients placed on supervised probation by Limited Jurisdiction Court
    - 4.3.3. Absconder cases with warrants less than 90 days old.
    - 4.3.4. Residential treatment
      - 4.3.4.1. In County
      - 4.3.4.2. Out of County (30 days or less)
    - 4.3.5. Formally accepted incoming cases
      - 4.3.5.1. Intercounty Transfer
      - 4.3.5.2. Interstate Compact
    - 4.3.6. Pending deportation (in community, not in custody)
    - 4.3.7. Out of county/state (30 days or less) with permission
    - 4.3.8. Missing - --no warrant issued 72 hours or less
5. IPS Indirect
  - 5.1. The number of clients sentenced in a Superior Court or Limited Jurisdiction Court and are under supervision, but do not physically reside in your County.
  - 5.2. Clients must have an open "IPS" probation grant as the most severe outcome during the reporting period.
  - 5.3. Include:
    - 5.3.1. Outgoing Intercounty Transfer cases
    - 5.3.2. Outgoing Interstate Compact cases
    - 5.3.3. Out of county/state (31 days or more)
      - 5.3.3.1. Residential Treatment
      - 5.3.3.2. With permission
6. IPS total
  - 6.1. This is the sum of the Standard Direct Value and the Standard Indirect Values

## 7. Administrative

7.1. The number of Standards, IPS, or Unsupervised clients sentenced in a Superior Court for whom Direct or Indirect Supervision is not provided, yet require some case management activity. Include § 13-3601.01 cases sentenced in a Limited Jurisdiction Court.

7.2. Divide into three pulls

7.2.1. Unsupervised

7.2.1.1. Will be captured in count if most severe supervision level is that of 'Unsupervised'

7.2.1.2. Include jail with one of the following:

7.2.1.2.1. Work Furlough

7.2.1.2.2. Work Release

7.2.1.2.3. Pending Revocation

7.2.1.2.3.1. All jail pending revocation is considered direct regardless of the jail location. This includes out of county and out of state.

7.2.1.2.4. All other jail combinations will count as "Other Administrative"

7.2.1.3. Clients placed on supervised probation by Limited Jurisdiction Court

7.2.1.4. Absconder cases with warrants less than 90 days old.

7.2.1.5. Residential treatment

7.2.1.5.1. In County

7.2.1.5.2. Out of County (30 days or less)

7.2.1.6. Formally accepted incoming cases

7.2.1.6.1. Intercounty Transfer

7.2.1.6.2. Interstate Compact

7.2.1.7. Pending deportation (in community, not in custody)

7.2.1.8. Out of county/state (30 days or less) with permission

7.2.1.9. Absconder

7.2.1.9.1. If open supervision and unsupervised term type, and has an active warrant (Address location of Missing and Reason (qualifier) of Missing Warrant Issued) ( with an effective date equal to or less than 90 days old on the last day of the target month – and no other overriding event precludes client from being pulled from MS count, still falls under 'Unsupervised Admin'

7.2.1.9.2. If open supervision and Unsupervised term type, and has an active warrant (Address location of Missing and Reason (qualifier) of Missing Warrant Issued) with an effective date greater than 90 days on the last day of the target month, client shall fall under 'Other Administrative

## 7.2.2. Other Administrative

### 7.2.2.1. Include:

7.2.2.1.1. Jail (Regardless of county or state where jail is located)

7.2.2.1.1.1. Any jail will be administrative excluding:

7.2.2.1.1.1.1. Work Furlough

7.2.2.1.1.1.2. Work Release

7.2.2.1.1.1.3. Pending Revocation

7.2.2.1.2. Absconders – Warrant (Standard)

7.2.2.1.2.1. If open supervision and Standard Term type, and has an active warrant (Address location of Missing and Reason (qualifier) of Missing Warrant Issued), with an effective date greater than 90 days on the last day of the target month, client shall fall under ‘Other Administrative’

7.2.2.1.3. Absconders – Warrant (Unsupervised)

7.2.2.1.3.1. If open supervision and Unsupervised Term type, and has an active warrant (Address location of Missing and Reason (qualifier) of Missing No Warrant Issued), with an effective date greater than 90 days on the last day of the target month, client shall fall under ‘Other Administrative’

7.2.2.1.4. Absconder – Warrant (IPS)

7.2.2.1.4.1. If open supervision and IPS Term type, and has an active warrant (Address location of Missing and Reason (qualifier) of Missing No Warrant Issued), with an effective date greater than 90 days on the last day of the target month, client shall fall under ‘Other Administrative’

7.2.2.1.5. Department of Corrections (DOC ) Regardless of location

7.2.2.1.5.1. All DOC clients, regardless of county or state will be administrative

7.2.2.1.6. Federal Custody (includes pending deportation, if in custody)

7.2.2.1.7. Deported

### 7.2.3. Admin EOM (Total)

7.2.3.1. Total Shall include the sum of the ‘Unsupervised’ count and the ‘Other Administrative’ count

## 8. DCAC/GPS ‘Standard’

8.1. This is the total number of clients on Standard Direct Supervision who are identified as DCAC.

8.1.1. If a client has an open DCAC w/ GPS special attribute at MS run, client will be counted as DCAC for target month and

8.1.1.1. Where the client has been counted for the monthly stats in the target month as ‘Standard Direct’

8.1.1.2. Where the Address location status is ‘Community’ ‘In Community’

8.1.2. If the client does not have DCAC special attribute at first run, client will not be counted for DCAC for target month

9. DCAC/GPS 'IPS'

9.1. This is the total number of clients on IPS Direct Supervision who are identifies as DCAC

9.1.1. If a client has DCAC special attribute at first run client will be counted as DCAC for target month and where

9.1.1.1. Where the client has been counted for monthly stats in the target month as 'IPS Direct'

9.1.1.1.1. Where the Address location status is 'Community' 'In Community'

10. DCAC 'EOM'

10.1. This is the sum of the Standard and IPS values for this category.

## Performance Measures:

### General Requirements and Rules:

1. *The Add/Mod date will be used and the transactions will “count” in the month in which the data was entered in the APETS Application*

### Standard Page 1, Absolute Exits, Other Exits:

An Absolute Exit exists when all cases and counts for a client have been terminated. The exit “counts” for the county with direct supervision when the absolute exit occurs. The following is the hierarchy or priority if multiple termination types are used.

1. Direct Standard Absolute Exits
  - 1.1. Client will count in Absolute exit category for total if:
    - 1.1.1. The client has no open probation case
      - 1.1.1.1. Final Termination of open case(s) within the report period
        - 1.1.1.1.1. Or Final termination from a previous report period that was not captured in any previous report
          - 1.1.1.1.2. The above will change APETS client body status
          - 1.1.1.1.3. APETS will check body status with related reason for absolute exit
  - 1.2. Client will count in Absolute exit category and Revoked to DOC sub category if:
    - 1.2.1. One or more counts is terminated and termination is for ‘Revoked to Prison’
      - 1.2.1.1. For build change to read ‘Revoked to DOC’
  - 1.3. Client will count in Absolute exit category and Revoked to Jail sub category if:
    - 1.3.1. One or more counts is terminated and termination is for ‘Revoked to Jail’ and no other terminated count = Revoked to DOC
  - 1.4. Client will count in Absolute exit category and Revoked sub category if:
    - 1.4.1. One or more counts is terminated and termination is for ‘Revoked (Fine)’ and no other terminated count = Revoked to DOC or Revoked to Jail.
  - 1.5. Client will count in Absolute exit category and Co-Terminus sub category if:
    - 1.5.1. One or more counts is terminated and termination is for ‘Co-Terminus’ and no other terminated count = Revoked to DOC, Revoked to Jail or Revoked (Fine).
  - 1.6. Client will count in Absolute exit category and Early Termination sub category if:
    - 1.6.1. One or more counts is terminated and termination is for ‘Early Termination’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine) or Co-Terminus.
  - 1.7. Client will count in Absolute exit category and Earned Time Credit (ETC) sub category if:
    - 1.7.1. One or more counts is terminated and termination is for ‘Earned Time Credit (ETC)’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus or Early Termination.
  - 1.8. Client will count in Absolute exit category and Full Termination sub category if:
    - 1.8.1. One or more counts is terminated and termination is for ‘Full Termination’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination or Earned Time Credit (ETC).

- 1.9. Client will count in Absolute exit category and Judicial Termination sub category if:
    - 1.9.1. One or more counts is terminated and termination is for 'Judicial Termination' or "Mental Health Facility" and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC) or Full Termination.
  - 1.10. Client will count in Absolute exit category and Deceased sub category if:
    - 1.10.1. One or more counts is terminated and termination is for 'Deceased' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination or Judicial Termination.
  - 1.11. Client will count in Absolute exit category and Interstate Compact (Incoming) Closed Interest sub category if:
    - 1.11.1. One or more counts is terminated and termination is for 'Closed Interest' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination or Deceased.
    - 1.11.2. APETS shall display as Closed Interest - ISC
  - 1.12. Client will count in Absolute exit category and Quashed/Purged Warrant sub category if:
    - 1.12.1. One or more counts is terminated and termination is for 'Quashed/Purged Warrant' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination, Deceased or Interstate Compact (Incoming) Closed Interest.
  - 1.13. Total Number of Direct Absolute Exits
  - 1.14. Calculation is figured by date of entry in APETS, not date of event. If an event occurred in December and the data entry occurred in January, the calculation will count in January.
2. Indirect Standard Absolute Exits
    - 2.1. Client will count in Absolute exit category for total if:
      - 2.1.1. The client has no open probation case
        - 2.1.1.1. Final Termination of open case(s) within the report period
          - 2.1.1.1.1. Or Final termination from a previous report period that was not captured in any previous report
            - 2.1.1.1.2. The above will change APETS client body status
            - 2.1.1.1.3. APETS will check body status with related reason for absolute exit
    - 2.2. Client will count in Absolute exit category and Revoked to DOC sub category if:
      - 2.2.1. One or more counts is terminated and termination is for 'Revoked to Prison'
        - 2.2.1.1. For build change to read 'Revoked to DOC'
    - 2.3. Client will count in Absolute exit category and Revoked to Jail sub category if:
      - 2.3.1. One or more counts is terminated and termination is for 'Revoked to Jail' and no other terminated count = Revoked to DOC
    - 2.4. Client will count in Absolute exit category and Revoked sub category if:
      - 2.4.1. One or more counts is terminated and termination is for 'Revoked (Fine)' and no other terminated count = Revoked to DOC or Revoked to Jail.

- 2.5. Client will count in Absolute exit category and Co-Terminus sub category if:
  - 2.5.1. One or more counts is terminated and termination is for 'Co-Terminus' and no other terminated count = Revoked to DOC, Revoked to Jail or Revoked (Fine).
- 2.6. Client will count in Absolute exit category and Early Termination sub category if:
  - 2.6.1. One or more counts is terminated and termination is for 'Early Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine) or Co-Terminus.
- 2.7. Client will count in Absolute exit category and Earned Time Credit (ETC) sub category if:
  - 2.7.1. One or more counts is terminated and termination is for 'Earned Time Credit (ETC)' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus or Early Termination.
- 2.8. Client will count in Absolute exit category and Full Termination sub category if:
  - 2.8.1. One or more counts is terminated and termination is for 'Full Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination or Earned Time Credit (ETC).
- 2.9. Client will count in Absolute exit category and Judicial Termination sub category if:
  - 2.9.1. One or more counts is terminated and termination is for 'Judicial Termination' or "Mental Health Facility" and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC) or Full Termination.
- 2.10. Client will count in Absolute exit category and Deceased sub category if:
  - 2.10.1. One or more counts is terminated and termination is for 'Deceased' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination or Judicial Termination.
- 2.11. Client will count in Absolute exit category and Interstate Compact (Incoming) Closed Interest sub category if:
  - 2.11.1. One or more counts is terminated and termination is for 'Closed Interest' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination or Deceased.
  - 2.11.2. APETS shall display as Closed Interest - ISC
- 2.12. Client will count in Absolute exit category and Quashed/Purged Warrant sub category if:
  - 2.12.1. One or more counts is terminated and termination is for 'Quashed/Purged Warrant' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination, Deceased or Interstate Compact (Incoming) Closed Interest.
- 2.13. Total Number of Indirect Absolute Exit Clients.
- 2.14. Calculation is figured by date of entry in APETS, not date of event. If an event occurred in December and the data entry occurred in January, the calculation will count in January.

3. Total
  - 3.1. This will be the sum of each category's respective total.
    - 3.1.1. Total Number of Direct Revoked to DOC clients combine with the total number of Indirect Revoked to DOC Clients.
    - 3.1.2. Total Number of Direct Revoked to Jail clients combine with the total number of Indirect Revoked to Jail Clients.
    - 3.1.3. Total Number of Direct Revoked clients combine with the total number of Indirect Revoked Clients.
    - 3.1.4. Total Number of Direct Co-Terminus clients combine with the total number of Indirect Co-Terminus Clients.
    - 3.1.5. Total Number of Direct Early Termination clients combine with the total number of Indirect Early Termination Clients.
    - 3.1.6. Total Number of Direct Earned Time Credit (ETC) clients combine with the total number of Indirect Earned Time Credit (ETC) Clients.
    - 3.1.7. Total Number of Direct Full Termination clients combine with the total number of Indirect Full Termination Clients.
    - 3.1.8. Total Number of Direct Judicial Termination clients combine with the total number of Indirect Judicial Termination Clients.
    - 3.1.9. Total Number of Direct Deceased clients combine with the total number of Indirect Deceased Clients.
    - 3.1.10. Total Number of Direct Interstate Compact (Incoming) Closed Interest clients combine with the total number of Indirect Interstate Compact (Incoming) Closed Interest Clients.
    - 3.1.11. Total Number of Direct Quashed/Purged Warrant clients combine with the total number of Indirect Quashed/Purged Warrant Clients.
    - 3.1.12. Total number of ALL Absolute Exits.
4. Direct Standard Other Exits
  - 4.1. Client will count in Other Exit category for total if:
    - 4.1.1. Client exits standard probation through the petition process.
      - 4.1.1.1. Petition to Modify
      - 4.1.1.2. Petition to Revoke
  - 4.2. Client will count in Other exit category and Modified/Reinstated to Unsupervised sub category if:
    - 4.2.1. Client has a finalized petition to modify or petition to revoke in the target month and,
    - 4.2.2. The outcome of the petition is equal to Modified/Reinstated to Unsupervised probation.
  - 4.3. Client will count in Other Exit category and Modified to Intensive Probation Supervision sub category if:
    - 4.3.1. Client has a finalized petition to modify in the target month and,
    - 4.3.2. The outcome of the petition is equal to Modified to Intensive probation.
  - 4.4. Client will count in Other Exit category and Reinstated to Intensive Probation Supervision:
    - 4.4.1. Client has a finalized petition to revoke in the target month and,
    - 4.4.2. The outcome of the petition is equal to Reinstated to Intensive probation.

5. Indirect Standard Other Exits
  - 5.1. Client will count in Other Exit category for total if:
    - 5.1.1. Client exits standard probation through the petition process.
      - 5.1.1.1. Petition to Modify
      - 5.1.1.2. Petition to Revoke
  - 5.2. Client will count in Other exit category and Modified/Reinstated to Unsupervised sub category if:
    - 5.2.1. Client has a finalized petition to modify or petition to revoke in the target month and,
    - 5.2.2. The outcome of the petition is equal to Modified/Reinstated to Unsupervised probation.
  - 5.3. Client will count in Other Exit category and Modified to Intensive Probation Supervision sub category if:
    - 5.3.1. Client has a finalized petition to modify in the target month and,
    - 5.3.2. The outcome of the petition is equal to Modified to Intensive probation.
  - 5.4. Client will count in Other Exit category and Reinstated to Intensive Probation Supervision:
    - 5.4.1. Client has a finalized petition to revoke in the target month and,
    - 5.4.2. The outcome of the petition is equal to Reinstated to Intensive probation.
6. Total Other Exits
  - 6.1. Total Modified/Reinstated to Unsupervised Probation will be the total number of clients in the Direct Column combined with the total number of clients in the Indirect Column.
  - 6.2. Total Modified Intensive Probation will be the total number of clients in the Direct Column combined with the total number of clients in the Indirect Column.
  - 6.3. Total Reinstated to Intensive Probation will be the total number of clients in the Direct Column combined with the total number of clients in the Indirect Column.

## **Standard Page 2, Petitions for Revocation, New Crime Convictions, Dispositions:**

### 7. Direct Standard

- 7.1. Client will count in Client with Petition Filed the Month category for total if:
  - 7.1.1. The client has a petition in the target month
  - 7.1.2. The petition may be finalized or unfinalized
- 7.2. Client will count in New Crime + Technical sub category if:
  - 7.2.1. The petition is within the target month
  - 7.2.2. The “New Crime/Technical” checkbox is checked.
- 7.3. Client will count in New Crime Only category sub category if:
  - 7.3.1. The petition is within the target month
  - 7.3.2. The “New Crime Only” checkbox is checked.
- 7.4. Client will count in Technical Violation Only sub category if:
  - 7.4.1. The petition is within the target month
  - 7.4.2. The “Tech Only” checkbox is checked.
- 7.5. Total will be the sum of all Direct Standard clients with a petition filed in the target month (7.2, 7.3, 7.4)
- 7.6. Violations must be entered in order to be counted in any of the above categories in target month.

### 8. Indirect Standard

- 8.1. Client will count in Client with Petition Filed the Month category for total if:
  - 8.1.1. The client has a petition in the target month
  - 8.1.2. The petition may be finalized or unfinalized
- 8.2. Client will count in New Crime + Technical sub category if:
  - 8.2.1. The petition is within the target month
  - 8.2.2. The “New Crime/Technical” checkbox is checked.
- 8.3. Client will count in New Crime Only category sub category if:
  - 8.3.1. The petition is within the target month
  - 8.3.2. The “New Crime Only” checkbox is checked.
- 8.4. Client will count in Technical Violation Only sub category if:
  - 8.4.1. The petition is within the target month
  - 8.4.2. The “Tech Only” checkbox is checked.
- 8.5. Total will be the sum of all Indirect Standard clients with a petition filed in the target month (8.2, 8.3, 8.4)
- 8.6. Violations must be entered in order to be counted in any of the above categories in target month.

### 9. Total Standard

- 9.1. Total New Crime + Technical will be the sum of the Direct and Indirect New Crime and Technical columns.
- 9.2. Total New Crime will be the sum of the Direct and Indirect New Crime columns.
- 9.3. Total Technical will be the sum of the Direct and Indirect Technical columns.
- 9.4. Total petitions will be the sum of 9.1, 9.2, and 9.3, respectively.

## 10. Clients with New Convictions this month

### 10.1. New Felony Conviction

- 10.1.1. Client must have a current standard probation grant.
- 10.1.2. Client must have an additional sentencing court action
  - 10.1.2.1. Sentencing date must be within the report period.
  - 10.1.2.2. Sentencing date must be greater than the current sentencing court action sentencing date.
  - 10.1.2.3. One or more counts must be designated a "Felony"
    - 10.1.2.3.1. If the Case/Count has both a Misdemeanor and a Felony count, the Felony will "Count" if and only if the Sentencing date for the Felony and Misdemeanor are equal.
      - 10.1.2.3.1.1. If the Dates are not equal, the client may count in both the misdemeanor and felony categories.
      - 10.1.2.3.1.2. The client can "count" multiple time, if and only if the client has multiple sentencing court actions which are sentenced on distinct dates within the reporting period.
  - 10.1.2.4. The offense date must be greater than the original/current Sentencing Court Action Sentence date.

### 10.2. New Misdemeanor Conviction

- 10.2.1. Client must have a current standard probation grant.
- 10.2.2. Client must have an additional sentencing court action
  - 10.2.2.1. Sentencing date must be within the report period.
  - 10.2.2.2. Sentencing date must be greater than the current sentencing court action sentencing date.
  - 10.2.2.3. One or more counts must be designated a "Misdemeanor"
    - 10.2.2.3.1. If the Case/Count has both a Misdemeanor and a Felony count, the Felony will "Count" if and only if the Sentencing date for the Felony and Misdemeanor are equal.
      - 10.2.2.3.1.1. If the Dates are not equal, the client may count in both the misdemeanor and felony categories.
      - 10.2.2.3.1.2. The client can "count" multiple time, if and only if the client has multiple sentencing court actions which are sentenced on distinct dates within the reporting period.
  - 10.2.2.4. The offense date must be greater than the original/current Sentencing Court Action Sentence date.

### 10.3. Total

- 10.3.1. The sum of 10.1 and 10.2

### 10.4. Of the Felony Convictions, how many were DCAC/GPS Clients?

- 10.4.1. This calculation will be the value of calculation 10.1 filtered for those client who have an open client special attribute equal to "DCAC w/ Active GPS".
  - 10.4.1.1. The end date of the Client Special Attribute must be null.

## 11. Direct Clients with Dispositions

- 11.1. This calculation will count the number of dispositions of clients on Direct Standard Probation with a petition disposition.

- 11.1.1. Client must have a petition to revoke.
- 11.1.2. Petition must be finalized.
- 11.1.3. The disposition will “count” in the transaction month. i.e., if the petition disposition occurred in January but the data was not entered into APETS until February, the client will count in February.
- 11.2. Revoked to DOC
  - 11.2.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.2.2. The “Court Result must be equal to “Found in Violation”.
  - 11.2.3. The Disposition must be equal to “Revoked to DOC”
- 11.3. Revoked to Jail
  - 11.3.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.3.2. The “Court Result must be equal to “Found in Violation”.
  - 11.3.3. The Disposition must be equal to “Revoked to Jail”
- 11.4. Revoked
  - 11.4.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.4.2. The “Court Result must be equal to “Found in Violation”.
  - 11.4.3. The Disposition must be equal to “Revoked to Revoked to (fine)”
- 11.5. Co-Terminus
  - 11.5.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.5.2. The “Court Result must be equal to “Found in Violation”.
  - 11.5.3. The Disposition must be equal to “Coterminus”
- 11.6. Reinstated to Intensive Probation
  - 11.6.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.6.2. The “Court Result must be equal to “Found in Violation”.
  - 11.6.3. The Disposition must be equal to “Reinstated to Intensive Probation”
- 11.7. Reinstated to Standard Probation
  - 11.7.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.7.2. The “Court Result must be equal to “Found in Violation”.
  - 11.7.3. The Disposition must be equal to “Reinstated to Standard Probation”
- 11.8. Reinstated to Unsupervised Probation
  - 11.8.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.8.2. The “Court Result must be equal to “Found in Violation”.
  - 11.8.3. The Disposition must be equal to “Reinstated to Unsupervised Probation”
- 11.9. Total
  - 11.9.1. This will equal to sum of 11.2 through 11.8.
- 11.10. Dismissed/Withdrawn
  - 11.10.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 11.10.2. The “Court Result must be equal to “Dismissed” or “Withdrawn”.

## 12. Indirect Clients with Dispositions

- 12.1. This calculation will count the number of dispositions of clients on Direct Standard Probation with a petition disposition.
  - 12.1.1. Client must have a petition to revoke.
  - 12.1.2. Petition must be finalized.
  - 12.1.3. The disposition will “count” in the transaction month. i.e., if the petition disposition occurred in January but the data was not entered into APETS until February, the client will count in February.
- 12.2. Revoked to DOC
  - 12.2.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.2.2. The “Court Result must be equal to “Found in Violation”.
  - 12.2.3. The Disposition must be equal to “Revoked to DOC”
- 12.3. Revoked to Jail
  - 12.3.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.3.2. The “Court Result must be equal to “Found in Violation”.
  - 12.3.3. The Disposition must be equal to “Revoked to Jail”
- 12.4. Revoked
  - 12.4.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.4.2. The “Court Result must be equal to “Found in Violation”.
  - 12.4.3. The Disposition must be equal to “Revoked to Revoked to (fine)”
- 12.5. Co-Terminus
  - 12.5.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.5.2. The “Court Result must be equal to “Found in Violation”.
  - 12.5.3. The Disposition must be equal to “Coterminus”
- 12.6. Reinstated to Intensive Probation
  - 12.6.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.6.2. The “Court Result must be equal to “Found in Violation”.
  - 12.6.3. The Disposition must be equal to “Reinstated to Intensive Probation”
- 12.7. Reinstated to Standard Probation
  - 12.7.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.7.2. The “Court Result must be equal to “Found in Violation”.
  - 12.7.3. The Disposition must be equal to “Reinstated to Standard Probation”
- 12.8. Reinstated to Unsupervised Probation
  - 12.8.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 12.8.2. The “Court Result must be equal to “Found in Violation”.
  - 12.8.3. The Disposition must be equal to “Reinstated to Unsupervised Probation”
- 12.9. Total
  - 12.9.1. This will equal to sum of 12.2 through 12.8.

12.10. Dismissed/Withdrawn

12.10.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.

12.10.2. The “Court Result must be equal to “Dismissed” or “Withdrawn”.

13. Total Clients with Dispositions

13.1. Revoked to DOC will be the sum of standard Direct and Indirect.

13.2. Revoked to Jail will be the sum of standard Direct and Indirect.

13.3. Revoked will be the sum of standard Direct and Indirect.

13.4. Co-Terminus will be the sum of standard Direct and Indirect.

13.5. Reinstated to Intensive Probation will be the sum of standard Direct and Indirect.

13.6. Reinstated to Standard Probation will be the sum of standard Direct and Indirect.

13.7. Reinstated to Unsupervised Probation will be the sum of standard Direct and Indirect.

13.8. Total will be the sum of standard Direct and Indirect, 13.1 through 13.7, respectively.

13.9. Dismissed/Withdrawn will be the sum of standard Direct and Indirect.

## Standard Page 3, Community Restitution, Collections, Warrants:

### 14. Community Restitution

14.1. Total Hours Reported: This will be the sum of all clients' community restitution hours with Standard probation grant as current supervision level. These are "Court Ordered" hours.

14.1.1. Client must have an open Standard Probation grant

14.1.2. The current supervision level must be equal to standard probation.

14.1.3. Client must have a value greater than zero in the "Hours" field in the "Agency Hours Worked" screen.

14.1.4. "Date Logged" in the "Agency Hours Worked" must be within the target month.

14.1.4.1. This will be calculated based on the (Add/Mod date).

14.1.4.2. If data entry occurred after the target month, it will count for month in which the data entry occurred.

14.2. Discretionary hours

14.2.1. Client must have an open Standard Probation grant

14.2.2. The current supervision level must be equal to standard probation.

14.2.3. Client must have a value greater than zero in the "Hours" field in the "Agency Hours Worked" screen.

14.2.3.1. The hours must be entered utilizing the "Discretionary Community Restitution" feature in the "Agency Assignment" Screen.

14.2.4. "Date Logged" in the "Agency Hours Worked" must be within the target month.

14.2.4.1. This will be calculated based on the (Add/Mod date).

14.2.4.2. If data entry occurred after the target month, it will count for month in which the data entry occurred.

14.3. Sanction hours

14.3.1. Client must have an open Standard Probation grant

14.3.2. The current supervision level must be equal to standard probation.

14.3.3. Client must have a value greater than zero in the "Hours" field in the "Community Restitution Sanctions" screen.

14.3.4. "Date Logged" in the "Agency Hours Worked" must be within the target month.

14.3.4.1. This will be calculated based on the (Add/Mod date).

14.3.4.2. If data entry occurred after the target month, it will count for month in which the data entry occurred.

### 15. \*\*Collections

15.1. Collections amounts are provided by the Clerk of Court, and therefore not in the scope of this project. This may be examined as a possible query when the CMS integration is fully operational.

15.2. Users will be required to submit the collections to the Data and Statistics Statistical Specialist by the 15<sup>th</sup> of the month subsequent to the target month.

## 16. Absconders-Warrants

- 16.1. The total number of SPS client who have absconded and had a warrant issued in the target month.
- 16.2. Client must have current SPS probation grant as most severe outcome during reporting period.
- 16.3. Warrants Added This Month
  - 16.3.1. Client will count for Warrants Added category for Total if:
    - 16.3.1.1. Client has a warrant row with type equal to
      - 16.3.1.1.1. Warrant-ACIC
      - 16.3.1.1.2. Warrant-NCIC
    - 16.3.1.2. The "Issue date" is not null
    - 16.3.1.3. The APETS generated "added date" is within the target month or prior month, if not previously counted.
- 16.4. Warrants Exited This Month
  - 16.4.1. Client will count for Warrants Exited category for Total if:
    - 16.4.1.1. Client has a warrant row with type equal to
      - 16.4.1.1.1. Warrant-ACIC
      - 16.4.1.1.2. Warrant-NCIC
    - 16.4.1.2. Warrant row has any outcome in the "Outcome" field.
    - 16.4.1.3. Warrant row has a date in the "Outcome Date"
    - 16.4.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.
- 16.5. Warrants Served
  - 16.5.1. Client will count for Warrants Served subset category for Total if:
    - 16.5.1.1. Client has a warrant row with type equal to
      - 16.5.1.1.1. Warrant-ACIC
      - 16.5.1.1.2. Warrant-NCIC
    - 16.5.1.2. Warrant row has an outcome equal to "Served" in the "Outcome" field.
    - 16.5.1.3. Warrant row has a date in the "Outcome Date"
    - 16.5.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.
- 16.6. Warrants Quashed
  - 16.6.1. Client will count for Warrants Exited category for Total if:
    - 16.6.1.1. Client has a warrant row with type equal to
      - 16.6.1.1.1. Warrant-ACIC
      - 16.6.1.1.2. Warrant-NCIC
    - 16.6.1.2. Warrant row has an outcome equal to "Quashed" in the "Outcome" field.
    - 16.6.1.3. Warrant row has a date in the "Outcome Date"
    - 16.6.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.

## **Intensive Page 1, Absolute Exits, Other Exits:**

An Absolute Exit exists when all cases and counts for a client have been terminated. The exit “counts” for the county with direct supervision when the absolute exit occurs. The following is the hierarchy or priority if multiple termination types are used.

### **17. Direct Intensive Absolute Exits**

- 17.1. Client will count in Absolute exit category for total if:
  - 17.1.1. The client has no open probation case
    - 17.1.1.1. Final Termination of open case(s) within the report period
      - 17.1.1.1.1. Or Final termination from a previous report period that was not captured in any previous report
      - 17.1.1.1.2. The above will change APETS client body status
      - 17.1.1.1.3. APETS will check body status with related reason for absolute exit
- 17.2. Client will count in Absolute exit category and Revoked to DOC sub category if:
  - 17.2.1. One or more counts is terminated and termination is for ‘Revoked to Prison’
    - 17.2.1.1. For build change to read ‘Revoked to DOC’
- 17.3. Client will count in Absolute exit category and Revoked to Jail sub category if:
  - 17.3.1. One or more counts is terminated and termination is for ‘Revoked to Jail’ and no other terminated count = Revoked to DOC
- 17.4. Client will count in Absolute exit category and Revoked sub category if:
  - 17.4.1. One or more counts is terminated and termination is for ‘Revoked (Fine)’ and no other terminated count = Revoked to DOC or Revoked to Jail.
- 17.5. Client will count in Absolute exit category and Co-Terminus sub category if:
  - 17.5.1. One or more counts is terminated and termination is for ‘Co-Terminus’ and no other terminated count = Revoked to DOC, Revoked to Jail or Revoked (Fine).
- 17.6. Client will count in Absolute exit category and Early Termination sub category if:
  - 17.6.1. One or more counts is terminated and termination is for ‘Early Termination’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine) or Co-Terminus.
- 17.7. Client will count in Absolute exit category and Earned Time Credit (ETC) sub category if:
  - 17.7.1. One or more counts is terminated and termination is for ‘Earned Time Credit (ETC)’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus or Early Termination.
- 17.8. Client will count in Absolute exit category and Full Termination sub category if:
  - 17.8.1. One or more counts is terminated and termination is for ‘Full Termination’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination or Earned Time Credit (ETC).
- 17.9. Client will count in Absolute exit category and Judicial Termination sub category if:
  - 17.9.1. One or more counts is terminated and termination is for ‘Judicial Termination’ or “Mental Health Facility” and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC) or Full Termination.
- 17.10. Client will count in Absolute exit category and Deceased sub category if:

- 17.10.1. One or more counts is terminated and termination is for 'Deceased' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination or Judicial Termination.
  - 17.11. Client will count in Absolute exit category and Interstate Compact (Incoming) Closed Interest sub category if:
    - 17.11.1. One or more counts is terminated and termination is for 'Closed Interest' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination or Deceased.
    - 17.11.2. APETS shall display as Closed Interest - ISC
  - 17.12. Client will count in Absolute exit category and Quashed/Purged Warrant sub category if:
    - 17.12.1. One or more counts is terminated and termination is for 'Quashed/Purged Warrant' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination, Deceased or Interstate Compact (Incoming) Closed Interest.
  - 17.13. Total Number of Direct Absolute Exits
  - 17.14. Calculation is figured by date of entry in APETS, not date of event. If an event occurred in December and the data entry occurred in January, the calculation will count in January.
18. Indirect Intensive Absolute Exits
- 18.1. Client will count in Absolute exit category for total if:
    - 18.1.1. The client has no open probation case
      - 18.1.1.1. Final Termination of open case(s) within the report period
        - 18.1.1.1.1. Or Final termination from a previous report period that was not captured in any previous report
          - 18.1.1.1.2. The above will change APETS client body status
          - 18.1.1.1.3. APETS will check body status with related reason for absolute exit
  - 18.2. Client will count in Absolute exit category and Revoked to DOC sub category if:
    - 18.2.1. One or more counts is terminated and termination is for 'Revoked to Prison'
      - 18.2.1.1. For build change to read 'Revoked to DOC'
  - 18.3. Client will count in Absolute exit category and Revoked to Jail sub category if:
    - 18.3.1. One or more counts is terminated and termination is for 'Revoked to Jail' and no other terminated count = Revoked to DOC
  - 18.4. Client will count in Absolute exit category and Revoked sub category if:
    - 18.4.1. One or more counts is terminated and termination is for 'Revoked (Fine)' and no other terminated count = Revoked to DOC or Revoked to Jail.
  - 18.5. Client will count in Absolute exit category and Co-Terminus sub category if:
    - 18.5.1. One or more counts is terminated and termination is for 'Co-Terminus' and no other terminated count = Revoked to DOC, Revoked to Jail or Revoked (Fine).
  - 18.6. Client will count in Absolute exit category and Early Termination sub category if:

- 18.6.1. One or more counts is terminated and termination is for 'Early Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine) or Co-Terminus.
- 18.7. Client will count in Absolute exit category and Earned Time Credit (ETC) sub category if:
  - 18.7.1. One or more counts is terminated and termination is for 'Earned Time Credit (ETC)' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus or Early Termination.
- 18.8. Client will count in Absolute exit category and Full Termination sub category if:
  - 18.8.1. One or more counts is terminated and termination is for 'Full Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination or Earned Time Credit (ETC).
- 18.9. Client will count in Absolute exit category and Judicial Termination sub category if:
  - 18.9.1. One or more counts is terminated and termination is for 'Judicial Termination' or "Mental Health Facility" and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC) or Full Termination.
- 18.10. Client will count in Absolute exit category and Deceased sub category if:
  - 18.10.1. One or more counts is terminated and termination is for 'Deceased' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination or Judicial Termination.
- 18.11. Client will count in Absolute exit category and Interstate Compact (Incoming) Closed Interest sub category if:
  - 18.11.1. One or more counts is terminated and termination is for 'Closed Interest' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination or Deceased.
  - 18.11.2. APETS shall display as Closed Interest - ISC
- 18.12. Client will count in Absolute exit category and Quashed/Purged Warrant sub category if:
  - 18.12.1. One or more counts is terminated and termination is for 'Quashed/Purged Warrant' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination, Deceased or Interstate Compact (Incoming) Closed Interest.
- 18.13. Total Number of Indirect Absolute Exit Clients.
- 18.14. Calculation is figured by date of entry in APETS, not date of event. If an event occurred in December and the data entry occurred in January, the calculation will count in January.

19. Total

- 19.1. This will be the sum of each category's respective total.
  - 19.1.1. Total Number of Direct Revoked to DOC clients combine with the total number of Indirect Revoked to DOC Clients.
  - 19.1.2. Total Number of Direct Revoked to Jail clients combine with the total number of Indirect Revoked to Jail Clients.
  - 19.1.3. Total Number of Direct Revoked clients combine with the total number of Indirect Revoked Clients.
  - 19.1.4. Total Number of Direct Co-Terminus clients combine with the total number of Indirect Co-Terminus Clients.
  - 19.1.5. Total Number of Direct Early Termination clients combine with the total number of Indirect Early Termination Clients.
  - 19.1.6. Total Number of Direct Earned Time Credit (ETC) clients combine with the total number of Indirect Earned Time Credit (ETC) Clients.
  - 19.1.7. Total Number of Direct Full Termination clients combine with the total number of Indirect Full Termination Clients.
  - 19.1.8. Total Number of Direct Judicial Termination clients combine with the total number of Indirect Judicial Termination Clients.
  - 19.1.9. Total Number of Direct Deceased clients combine with the total number of Indirect Deceased Clients.
  - 19.1.10. Total Number of Direct Interstate Compact (Incoming) Closed Interest clients combine with the total number of Indirect Interstate Compact (Incoming) Closed Interest Clients.
  - 19.1.11. Total Number of Direct Quashed/Purged Warrant clients combine with the total number of Indirect Quashed/Purged Warrant Clients.
  - 19.1.12. Total number of ALL Absolute Exits.

20. Direct Intensive Other Exits

- 20.1. Client will count in Other Exit category for total if:
  - 20.1.1. Client exits Intensive probation through the petition process.
    - 20.1.1.1. Petition to Modify
    - 20.1.1.2. Petition to Revoke
- 20.2. Client will count in Other exit category and Modified to Standard sub category if:
  - 20.2.1. Client has a finalized petition to modify or petition to revoke in the target month and,
  - 20.2.2. The outcome of the petition is equal to Modified to Standard probation.
- 20.3. Client will count in Other Exit category and Modified/Reinstated to Unsupervised Probation Supervision sub category if:
  - 20.3.1. Client has a finalized petition to modify in the target month and,
  - 20.3.2. The outcome of the petition is equal to Modified/Reinstated to Intensive probation.
- 20.4. Total number of Direct IPS Other Exit Clients.

## 21. Indirect Intensive Other Exits

- 21.1. Client will count in Other Exit category for total if:
  - 21.1.1. Client exits Intensive probation through the petition process.
    - 21.1.1.1. Petition to Modify
    - 21.1.1.2. Petition to Revoke
- 21.2. Client will count in Other exit category and Modified to Standard sub category if:
  - 21.2.1. Client has a finalized petition to modify or petition to revoke in the target month and,
    - 21.2.2. The outcome of the petition is equal to Modified to Standard probation.
- 21.3. Client will count in Other Exit category and Modified/Reinstated to Unsupervised Probation Supervision sub category if:
  - 21.3.1. Client has a finalized petition to modify in the target month and,
    - 21.3.2. The outcome of the petition is equal to Modified/Reinstated to Unsupervised probation.
- 21.4. Total number of Indirect IPS Other Exit Clients.

## 22. Total Other Exits

- 22.1. Total Modified to Standard Probation will be the total number of clients in the Direct Column combined with the total number of clients in the Indirect Column.
- 22.2. Total Modified/Reinstated to Unsupervised Probation will be the total number of clients in the Direct Column combined with the total number of clients in the Indirect Column.
- 22.3. Total number of Other Exits

## **Intensive Page 2, Petitions for Revocation, New Crime Convictions, Dispositions:**

### 23. Direct Intensive

- 23.1. Client will count in Client with Petition Filed the Month category for total if:
  - 23.1.1. The client has a petition in the target month
  - 23.1.2. The petition may be finalized or unfinalized
- 23.2. Client will count in New Crime + Technical sub category if:
  - 23.2.1. The petition is within the target month
  - 23.2.2. The “New Crime/Technical” checkbox is checked.
- 23.3. Client will count in New Crime Only category sub category if:
  - 23.3.1. The petition is within the target month
  - 23.3.2. The “New Crime Only” checkbox is checked.
- 23.4. Client will count in Technical Violation Only sub category if:
  - 23.4.1. The petition is within the target month
  - 23.4.2. The “Tech Only” checkbox is checked.
- 23.5. Total will be the sum of all Direct IPS clients with a petition filed in the target month (24.2, 24.3, 24.4)
- 23.6. Violations must be entered in order to be counted in any of the above categories in target month.

### 24. Indirect Intensive

- 24.1. Client will count in Client with Petition Filed the Month category for total if:
  - 24.1.1. The client has a petition in the target month
  - 24.1.2. The petition may be finalized or unfinalized
- 24.2. Client will count in New Crime + Technical sub category if:
  - 24.2.1. The petition is within the target month
  - 24.2.2. The “New Crime/Technical” checkbox is checked.
- 24.3. Client will count in New Crime Only category sub category if:
  - 24.3.1. The petition is within the target month
  - 24.3.2. The “New Crime Only” checkbox is checked.
- 24.4. Client will count in Technical Violation Only sub category if:
  - 24.4.1. The petition is within the target month
  - 24.4.2. The “Tech Only” checkbox is checked.
- 24.5. Total will be the sum of all Direct IPS clients with a petition filed in the target month (25.2, 25.3, 25.4)
- 24.6. Violations must be entered in order to be counted in any of the above categories in target month.

### 25. Total Intensive

- 25.1. Total New Crime + Technical will be the sum of the Direct and Indirect New Crime and Technical columns.
- 25.2. Total New Crime will be the sum of the Direct and Indirect New Crime columns.
- 25.3. Total Technical will be the sum of the Direct and Indirect Technical columns.
- 25.4. Total petitions will be the sum of 26.1, 26.2, and 26.3, respectively.

26. Clients with New Convictions this month

26.1. New Felony Conviction

- 26.1.1. Client must have a current IPS probation grant.
- 26.1.2. Client must have an additional sentencing court action
  - 26.1.2.1. Sentencing date must be within the report period.
  - 26.1.2.2. Sentencing date must be greater than the current sentencing court action sentencing date.
  - 26.1.2.3. One or more counts must be designated a “Felony”
    - 26.1.2.3.1. If the Case/Count has both a Misdemeanor and a Felony count, the Felony will “Count” if and only if the Sentencing date for the Felony and Misdemeanor are equal.
      - 26.1.2.3.1.1. If the Dates are not equal, the client may count in both the misdemeanor and felony categories.
      - 26.1.2.3.1.2. The client can “count” multiple time, if and only if the client has multiple sentencing court actions which are sentenced on distinct dates within the reporting period.
  - 26.1.2.4. The offense date must be greater than the original/current Sentencing Court Action Sentence date.

26.2. New Misdemeanor Conviction

- 26.2.1. Client must have a current IPS probation grant.
- 26.2.2. Client must have an additional sentencing court action
  - 26.2.2.1. Sentencing date must be within the report period.
  - 26.2.2.2. Sentencing date must be greater than the current sentencing court action sentencing date.
  - 26.2.2.3. One or more counts must be designated a “Misdemeanor”
    - 26.2.2.3.1. If the Case/Count has both a Misdemeanor and a Felony count, the Felony will “Count” if and only if the Sentencing date for the Felony and Misdemeanor are equal.
      - 26.2.2.3.1.1. If the Dates are not equal, the client may count in both the misdemeanor and felony categories.
      - 26.2.2.3.1.2. The client can “count” multiple time, if and only if the client has multiple sentencing court actions which are sentenced on distinct dates within the reporting period.
  - 26.2.2.4. The offense date must be greater than the original/current Sentencing Court Action Sentence date.

26.3. Total

- 26.3.1. The sum of 10.1 and 10.2

26.4. Of the Felony Convictions, how many were DCAC/GPS Clients?

- 26.4.1. This calculation will be the value of calculation 10.1 filtered for those client who have an open client special attribute equal to “DCAC w/ Active GPS”.
  - 26.4.1.1. The end date of the Client Special Attribute must be null.

## 27. Direct Clients with Dispositions

- 27.1. This calculation will count the number of dispositions of clients on Direct Standard Probation with a petition disposition.
  - 27.1.1. Client must have a petition to revoke.
  - 27.1.2. Petition must be finalized.
  - 27.1.3. The disposition will “count” in the transaction month. i.e., if the petition disposition occurred in January but the data was not entered into APETS until February, the client will count in February.
- 27.2. Revoked to DOC
  - 27.2.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.2.2. The “Court Result must be equal to “Found in Violation”.
  - 27.2.3. The Disposition must be equal to “Revoked to DOC”
- 27.3. Revoked to Jail
  - 27.3.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.3.2. The “Court Result must be equal to “Found in Violation”.
  - 27.3.3. The Disposition must be equal to “Revoked to Jail”
- 27.4. Revoked
  - 27.4.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.4.2. The “Court Result must be equal to “Found in Violation”.
  - 27.4.3. The Disposition must be equal to “Revoked to Revoked to (fine)”
- 27.5. Co-Terminus
  - 27.5.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.5.2. The “Court Result must be equal to “Found in Violation”.
  - 27.5.3. The Disposition must be equal to “Coterminus”
- 27.6. Reinstated to Intensive Probation
  - 27.6.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.6.2. The “Court Result must be equal to “Found in Violation”.
  - 27.6.3. The Disposition must be equal to “Reinstated to Intensive Probation”
- 27.7. Reinstated to Standard Probation
  - 27.7.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.7.2. The “Court Result must be equal to “Found in Violation”.
  - 27.7.3. The Disposition must be equal to “Reinstated to Standard Probation”
- 27.8. Reinstated to Unsupervised Probation
  - 27.8.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 27.8.2. The “Court Result must be equal to “Found in Violation”.
  - 27.8.3. The Disposition must be equal to “Reinstated to Unsupervised Probation”
- 27.9. Total
  - 27.9.1. This will equal to sum of 28.2 through 28.8.
- 27.10. Dismissed/Withdrawn

- 27.10.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
- 27.10.2. The “Court Result must be equal to “Dismissed” or “Withdrawn”.

## 28. Indirect Clients with Dispositions

- 28.1. This calculation will count the number of dispositions of clients on Direct Standard Probation with a petition disposition.
  - 28.1.1. Client must have a petition to revoke.
  - 28.1.2. Petition must be finalized.
  - 28.1.3. The disposition will “count” in the transaction month. i.e., if the petition disposition occurred in January but the data was not entered into APETS until February, the client will count in February.
- 28.2. Revoked to DOC
  - 28.2.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.2.2. The “Court Result must be equal to “Found in Violation”.
  - 28.2.3. The Disposition must be equal to “Revoked to DOC”
- 28.3. Revoked to Jail
  - 28.3.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.3.2. The “Court Result must be equal to “Found in Violation”.
  - 28.3.3. The Disposition must be equal to “Revoked to Jail”
- 28.4. Revoked
  - 28.4.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.4.2. The “Court Result must be equal to “Found in Violation”.
  - 28.4.3. The Disposition must be equal to “Revoked to Revoked to (fine)”
- 28.5. Co-Terminus
  - 28.5.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.5.2. The “Court Result must be equal to “Found in Violation”.
  - 28.5.3. The Disposition must be equal to “Coterminus”
- 28.6. Reinstated to Intensive Probation
  - 28.6.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.6.2. The “Court Result must be equal to “Found in Violation”.
  - 28.6.3. The Disposition must be equal to “Reinstated to Intensive Probation”
- 28.7. Reinstated to Standard Probation
  - 28.7.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.7.2. The “Court Result must be equal to “Found in Violation”.
  - 28.7.3. The Disposition must be equal to “Reinstated to Standard Probation”
- 28.8. Reinstated to Unsupervised Probation
  - 28.8.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.8.2. The “Court Result must be equal to “Found in Violation”.

- 28.8.3. The Disposition must be equal to “Reinstated to Unsupervised Probation”
- 28.9. Total
  - 28.9.1. This will equal to sum of 29.2 through 29.8.
- 28.10. Dismissed/Withdrawn
  - 28.10.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 28.10.2. The “Court Result must be equal to “Dismissed” or “Withdrawn”.

29. Total Clients with Dispositions

- 29.1. Revoked to DOC will be the sum of standard Direct and Indirect.
- 29.2. Revoked to Jail will be the sum of standard Direct and Indirect.
- 29.3. Revoked will be the sum of standard Direct and Indirect.
- 29.4. Co-Terminus will be the sum of standard Direct and Indirect.
- 29.5. Reinstated to Intensive Probation will be the sum of standard Direct and Indirect.
- 29.6. Reinstated to Standard Probation will be the sum of standard Direct and Indirect.
- 29.7. Reinstated to Unsupervised Probation will be the sum of standard Direct and Indirect.
- 29.8. Total will be the sum of standard Direct and Indirect.
- 29.9. Dismissed/Withdrawn will be the sum of standard Direct and Indirect.

## Intensive Page 3, Community Restitution, Collections, Warrants:

### 30. Community Restitution

- 30.1. This will be the sum of all clients' community restitution hours with IPS probation grant as most severe outcome.
  - 30.1.1. Client must have an open IPS Probation grant
  - 30.1.2. The current probation grant must be equal to IPS
  - 30.1.3. Client must have a value greater than zero in the "Hours" field in the "Agency Hours Worked" screen.
  - 30.1.4. "Date Logged" in the "Agency Hours Worked" must be within the target month.
    - 30.1.4.1. This will be calculated based on the (Add/Mod date).
    - 30.1.4.2. If data entry occurred after the target month, it will count for month in which the data entry occurred.
- 30.2. Discretionary hours
  - 30.2.1. Client must have an open IPS Probation grant
  - 30.2.2. The current supervision level must be equal to intensive probation.
  - 30.2.3. Client must have a value greater than zero in the "Hours" field in the "Agency Hours Worked" screen.
    - 30.2.3.1. The hours must be entered utilizing the "Discretionary Community Restitution" feature in the "Agency Assignment" Screen
  - 30.2.4. "Date Logged" in the "Agency Hours Worked" must be within the target month.
    - 30.2.4.1. This will be calculated based on the (Add/Mod date).
    - 30.2.4.2. If data entry occurred after the target month, it will count for month in which the data entry occurred.
- 30.3. Sanction hours
  - 30.3.1. Client must have an open IPS Probation grant
  - 30.3.2. The current supervision level must be equal to intensive probation.
  - 30.3.3. Client must have a value greater than zero in the "Hours" field in the "Community Restitution Sanctions" screen.
  - 30.3.4. "Date Logged" in the "Community Restitution Sanctions" must be within the target month.
    - 30.3.4.1. This will be calculated based on the (Add/Mod date).
    - 30.3.4.2. If data entry occurred after the target month, it will count for month in which the data entry occurred.

### 31. \*\*Collections

- 31.1. Amounts are provided by the Clerk of Court, and therefore not in the scope of this project. This may be examined as a possible query when the CMS integration is fully operational.
- 31.2. Users will be required to submit the collections to the Data and Statistics Statistical Specialist by the 15<sup>th</sup> of the month subsequent to the target month.
- 31.3. Federal Income Taxes paid
- 31.4. State Taxes Paid
- 31.5. Total Taxes Paid

## 32. Absconders-Warrants

- 32.1. The total number of IPS client who have absconded and had a warrant issued in the target month.
- 32.2. Client must have IPS as most severe outcome during reporting period.
- 32.3. Warrants Added This Month
  - 32.3.1. Client will count for Warrants Added category for Total if:
    - 32.3.1.1. Client has a warrant row with type equal to
      - 32.3.1.1.1. Warrant-ACIC
      - 32.3.1.1.2. Warrant-NCIC
    - 32.3.1.2. The "Issue date" is not null
    - 32.3.1.3. The APETS generated "added date" is within the target month or prior month, if not previously counted.
- 32.4. Warrants Exited This Month
  - 32.4.1. Client will count for Warrants Exited category for Total if:
    - 32.4.1.1. Client has a warrant row with type equal to
      - 32.4.1.1.1. Warrant-ACIC
      - 32.4.1.1.2. Warrant-NCIC
    - 32.4.1.2. Warrant row has any outcome in the "Outcome" field.
    - 32.4.1.3. Warrant row has a date in the "Outcome Date"
    - 32.4.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.
- 32.5. Warrants Served
  - 32.5.1. Client will count for Warrants Served subset category for Total if:
    - 32.5.1.1. Client has a warrant row with type equal to
      - 32.5.1.1.1. Warrant-ACIC
      - 32.5.1.1.2. Warrant-NCIC
    - 32.5.1.2. Warrant row has an outcome equal to "Served" in the "Outcome" field.
    - 32.5.1.3. Warrant row has a date in the "Outcome Date"
    - 32.5.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.
- 32.6. Warrants Quashed
  - 32.6.1. Client will count for Warrants Exited category for Total if:
    - 32.6.1.1. Client has a warrant row with type equal to
      - 32.6.1.1.1. Warrant-ACIC
      - 32.6.1.1.2. Warrant-NCIC
    - 32.6.1.2. Warrant row has an outcome equal to "Quashed" in the "Outcome" field.
    - 32.6.1.3. Warrant row has a date in the "Outcome Date"
    - 32.6.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.

## **Intensive Page 4, Intervention, Employment:**

### **33. Treatment**

- 33.1. Includes substance, Mental Health, Sex Offender, Anger Management, domestic violence or Cognitive based. Excludes self-help groups such as Alcoholics Anonymous and Narcotics Anonymous.
- 33.2. In Need and Participating in a Program this month
  - 33.2.1. Client must have an open IPS Probation grant
  - 33.2.2. Most severe outcome must be equal to IPS
  - 33.2.3. Client must have "Needs Treatment" value equal to "Yes" in Demographics screen.
  - 33.2.4. Client must have at least one open (Null End Date) treatment row in the "Treatment", "DTEF Agency Assignment" or "DUI/Drug Court Agency Assignment" Screens.
- 33.3. In Need and NOT Participating in a Program this month
  - 33.3.1. Client must have an open IPS Probation grant
  - 33.3.2. Most severe outcome must be equal to IPS
  - 33.3.3. Client must have "Needs Treatment" value equal to "Yes" in Demographics screen.
  - 33.3.4. Client may have a treatment row in the "Treatment", "DTEF Agency Assignment" or "DUI/Drug Court Agency Assignment" Screens with an end date.
  - 33.3.5. Client may not have any treatment row in the "Treatment", "DTEF Agency Assignment" or "DUI/Drug Court Agency Assignment" Screens.
- 33.4. Not in Need of a Program this month
  - 33.4.1. Client must have an open IPS Probation grant
  - 33.4.2. Most severe outcome must be equal to IPS
  - 33.4.3. Client must have "Needs Treatment" value equal to "No" in Demographics screen.
- 33.5. Total--This will be the sum of "In Need and Participating in a Program this month", "In Need and NOT Participating in a Program this month" and "Not in Need of a Program this month."
- 33.6. Completed Program this month
  - 33.6.1. Client must have an open IPS Probation grant
  - 33.6.2. Most severe outcome must be equal to IPS
  - 33.6.3. Client must have "Needs Treatment" value equal to "Yes" in Demographics screen.
  - 33.6.4. Client must have at least treatment row in the "Treatment", "DTEF Agency Assignment" or "DUI/Drug Court Agency Assignment" Screens.
    - 33.6.4.1. The row will have an end date and the end date (add/mod date) must be within the target month.
    - 33.6.4.2. The "Program End Code" must be equal to "Successfully Completed Program"

## 34. Employment

- 34.1. Client must have open IPS Probation Grant on the last day of the target month
  - 34.1.1. Most Severe Outcome must be IPS.
- 34.2. Employed Full Time—The number of clients working an average of 21 hours or more per week in the month.
  - 34.2.1. Client must have an open (null end date) employment record
  - 34.2.2. Employment Type must be equal to “Employed Full-time” or “Self Employed Full-Time”
- 34.3. Employed Part Time—The number of clients working an average of 20 hours or less per week in the month.
  - 34.3.1. Client must have an open (null end date) employment record
  - 34.3.2. Employment Type must be equal to “Employed Part-time” or “Self Employed Part-Time”
- 34.4. Not Eligible for Employment—the number of clients who are not eligible to maintain employment. Include those who are incarcerated pending revocation, in residential treatment, retired, a house parent, disabled, a full-time student, or court exempt.
  - 34.4.1. Client must have an open (null end date) employment record
  - 34.4.2. Client must have employment type must be equal to “Court Exempt”
  - 34.4.3. Client must have employment type must be equal to “Disabled”
  - 34.4.4. Client must have employment type must be equal to “Full Time Student”
  - 34.4.5. Client must have employment type must be equal to “House Parent”
  - 34.4.6. Client must have employment type must be equal to “Retired”
  - 34.4.7. Client will count for this total if address is equal to “Jail-Pending PTR”
  - 34.4.8. Client will count for this total if address is equal to “Treatment Long Term” with an address reason of “In Long Term Residential Treatment”
  - 34.4.9. Client will count for this total if address is equal to “Treatment Short Term” with an address reason of “In Short Term Residential Treatment”
  - 34.4.10. If a client has multiple employment rows and at least one open row (null end date) equal to “Not Eligible for Employment”, the client will count in the “Not Eligible for Employment” category.
- 34.5. Job Search
  - 34.5.1. Client must have an open (null end date) employment record
  - 34.5.2. Employment Type must be equal to “Job Search”
- 34.6. All Other
  - 34.6.1. Client may have an open (null end date) with the following employment types:
    - 34.6.1.1. Unemployed
    - 34.6.1.2. Unknown
  - 34.6.2. Client may have no record in the employment screen
- 34.7. Total—this will be the sum of 36.2-36.4.

## Administrative Page 1, Warrants, Reports:

### 35. Unsupervised Absconders-Warrants.

- 35.1. The total number of UNS client who have absconded and had a warrant issued in the target month.
- 35.2. Clients must have current UNS probation grant as most severe outcome.
- 35.3. Warrants Added This Month
  - 35.3.1. Client will count for Warrants Added category for Total if:
    - 35.3.1.1. Client has a warrant row with type equal to
      - 35.3.1.1.1. Warrant-ACIC
      - 35.3.1.1.2. Warrant-NCIC
    - 35.3.1.2. The "Issue date" is not null
    - 35.3.1.3. The APETS generated "added date" is within the target month or prior month, if not previously counted.
- 35.4. Warrants Exited This Month
  - 35.4.1. Client will count for Warrants Exited category for Total if:
    - 35.4.1.1. Client has a warrant row with type equal to
      - 35.4.1.1.1. Warrant-ACIC
      - 35.4.1.1.2. Warrant-NCIC
    - 35.4.1.2. Warrant row has any outcome in the "Outcome" field.
    - 35.4.1.3. Warrant row has a date in the "Outcome Date"
    - 35.4.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.
- 35.5. Warrants Served
  - 35.5.1. Client will count for Warrants Served subset category for Total if:
    - 35.5.1.1. Client has a warrant row with type equal to
      - 35.5.1.1.1. Warrant-ACIC
      - 35.5.1.1.2. Warrant-NCIC
    - 35.5.1.2. Warrant row has an outcome equal to "Served" in the "Outcome" field.
    - 35.5.1.3. Warrant row has a date in the "Outcome Date"
    - 35.5.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.
- 35.6. Warrants Quashed
  - 35.6.1. Client will count for Warrants Exited category for Total if:
    - 35.6.1.1. Client has a warrant row with type equal to
      - 35.6.1.1.1. Warrant-ACIC
      - 35.6.1.1.2. Warrant-NCIC
    - 35.6.1.2. Warrant row has an outcome equal to "Quashed" in the "Outcome" field.
    - 35.6.1.3. Warrant row has a date in the "Outcome Date"
    - 35.6.1.4. The APETS generated "modified date" is within the target month or prior month, if not previously counted.

36. Other Administrative Warrants

- 36.1. The total number of other administrative clients who have absconded and had a warrant issued in the target month.
- 36.2. Warrants Added This Month
  - 36.2.1. Client will count for Warrants Added category for Total if:
    - 36.2.1.1. Client has a warrant row with type equal to
      - 36.2.1.1.1. Warrant-ACIC
      - 36.2.1.1.2. Warrant-NCIC
    - 36.2.1.2. The “Issue date” is not null
    - 36.2.1.3. The APETS generated “added date” is within the target month or prior month, if not previously counted.
- 36.3. Warrants Exited This Month
  - 36.3.1. Client will count for Warrants Exited category for Total if:
    - 36.3.1.1. Client has a warrant row with type equal to
      - 36.3.1.1.1. Warrant-ACIC
      - 36.3.1.1.2. Warrant-NCIC
    - 36.3.1.2. Warrant row has any outcome in the “Outcome” field.
    - 36.3.1.3. Warrant row has a date in the “Outcome Date”
    - 36.3.1.4. The APETS generated “modified date” is within the target month or prior month, if not previously counted.
- 36.4. Warrants Served
  - 36.4.1. Client will count for Warrants Served subset category for Total if:
    - 36.4.1.1. Client has a warrant row with type equal to
      - 36.4.1.1.1. Warrant-ACIC
      - 36.4.1.1.2. Warrant-NCIC
    - 36.4.1.2. Warrant row has an outcome equal to “Served” in the “Outcome” field.
    - 36.4.1.3. Warrant row has a date in the “Outcome Date”
    - 36.4.1.4. The APETS generated “modified date” is within the target month or prior month, if not previously counted.
- 36.5. Warrants Quashed
  - 36.5.1. Client will count for Warrants Exited category for Total if:
    - 36.5.1.1. Client has a warrant row with type equal to
      - 36.5.1.1.1. Warrant-ACIC
      - 36.5.1.1.2. Warrant-NCIC
    - 36.5.1.2. Warrant row has an outcome equal to “Quashed” in the “Outcome” field.
    - 36.5.1.3. Warrant row has a date in the “Outcome Date”
    - 36.5.1.4. The APETS generated “modified date” is within the target month or prior month, if not previously counted.

### 37. Standard Reports

- 37.1. The client must have “Standard Probation” as the current probation grant.
- 37.2. Combination Reports
  - 37.2.1. Client must have a petition to revoke
  - 37.2.2. Report Type must be equal to “Combination” in the petition screen
  - 37.2.3. Report completed date must be populated.
  - 37.2.4. All transactions in which the “Reported Completed” date field was populated, the report type is equal to “Predisposition” and the transaction was saved, (add/mod date) was within the target month will be counted.
- 37.3. Pre-Disposition Reports
  - 37.3.1. Client must have a petition to revoke
  - 37.3.2. Report Type must be equal to “Predisposition” in the petition screen
  - 37.3.3. Report completed date must be populated.
  - 37.3.4. All transactions in which the “Reported Completed” date field was populated, the report type is equal to “Predisposition” and the transaction was saved, (add/mod date) was within the target month will be counted.

### 38. Intensive Reports

- 38.1. The client must have “Intensive Probation” as the current probation grant.
- 38.2. Combination Reports
  - 38.2.1. Client must have a petition to revoke
  - 38.2.2. Report Type must be equal to “Combination” in the petition screen
  - 38.2.3. Report completed date must be populated.
  - 38.2.4. All transactions in which the “Reported Completed” date field was populated, the report type is equal to “Predisposition” and the transaction was saved, (add/mod date) was within the target month will be counted.
- 38.3. Pre-Disposition Reports
  - 38.3.1. Client must have a petition to revoke
  - 38.3.2. Report Type must be equal to “Predisposition” in the petition screen
  - 38.3.3. Report completed date must be populated.
  - 38.3.4. All transactions in which the “Reported Completed” date field was populated, the report type is equal to “Predisposition” and the transaction was saved, (add/mod date) was within the target month will be counted.

### 39. Unsupervised Reports

- 39.1. The client must have “Unsupervised Probation” as the current probation grant.
- 39.2. Combination Reports
  - 39.2.1. Client must have a petition to revoke
  - 39.2.2. Report Type must be equal to “Combination” in the petition screen
  - 39.2.3. Report completed date must be populated.
  - 39.2.4. All transactions in which the “Reported Completed” date field was populated, the report type is equal to “Predisposition” and the transaction was saved, (add/mod date) was within the target month will be counted.

- 39.3. Pre-Disposition Reports
  - 39.3.1. Client must have a petition to revoke
  - 39.3.2. Report Type must be equal to “Predisposition” in the petition screen
  - 39.3.3. Report completed date must be populated.
  - 39.3.4. All transactions in which the “Reported Completed” date field was populated, the report type is equal to “Predisposition” and the transaction was saved, (add/mod date) was within the target month will be counted.
- 40. Total Reports
  - 40.1. Presentence Reports
    - 40.1.1. County total of Presentence Reports.
    - 40.1.2. The “Court Received” date field on the Edit PSI Court Data Screen is the source for this value.
    - 40.1.3. All transactions in which the “Court Received” field was populated and the transaction was saved, (add/mod date) was within the target month will be counted.
  - 40.2. Combination Reports
    - 40.2.1. Total of Standard, Intensive and Unsupervised Combination Reports
  - 40.3. Pre-Disposition Reports
    - 40.3.1. Total of Standard, Intensive and Unsupervised Pre-Disposition Reports

## **Administrative Page 2, Absolute Exits, Other Exits:**

An Absolute Exit exists when all cases and counts for a client have been terminated. The exit “counts” for the county with direct supervision when the absolute exit occurs. The following is the hierarchy or priority if multiple termination types are used.

### 41. Unsupervised Absolute Exits

- 41.1. Client will count in Absolute exit category for total if:
  - 41.1.1. The client has no open probation case
    - 41.1.1.1. Final Termination of open case(s) within the report period
      - 41.1.1.1.1. Or Final termination from a previous report period that was not captured in any previous report
        - 41.1.1.1.2. The above will change APETS client body status
        - 41.1.1.1.3. APETS will check body status with related reason for absolute exit
- 41.2. Client will count in Absolute exit category and Revoked to DOC sub category if:
  - 41.2.1. One or more counts is terminated and termination is for ‘Revoked to Prison’
    - 41.2.1.1. For build change to read ‘Revoked to DOC’
- 41.3. Client will count in Absolute exit category and Revoked to Jail sub category if:
  - 41.3.1. One or more counts is terminated and termination is for ‘Revoked to Jail’ and no other terminated count = Revoked to DOC
- 41.4. Client will count in Absolute exit category and Revoked sub category if:
  - 41.4.1. One or more counts is terminated and termination is for ‘Revoked (Fine)’ and no other terminated count = Revoked to DOC or Revoked to Jail.
- 41.5. Client will count in Absolute exit category and Co-Terminus sub category if:
  - 41.5.1. One or more counts is terminated and termination is for ‘Co-Terminus’ and no other terminated count = Revoked to DOC, Revoked to Jail or Revoked (Fine).
- 41.6. Client will count in Absolute exit category and Early Termination sub category if:
  - 41.6.1. One or more counts is terminated and termination is for ‘Early Termination’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine) or Co-Terminus.
- 41.7. Client will count in Absolute exit category and Earned Time Credit (ETC) sub category if:
  - 41.7.1. One or more counts is terminated and termination is for ‘Earned Time Credit (ETC)’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus or Early Termination.
- 41.8. Client will count in Absolute exit category and Full Termination sub category if:
  - 41.8.1. One or more counts is terminated and termination is for ‘Full Termination’ and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination or Earned Time Credit (ETC).
- 41.9. Client will count in Absolute exit category and Judicial Termination sub category if:
  - 41.9.1. One or more counts is terminated and termination is for ‘Judicial Termination’ or “Mental Health Facility” and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC) or Full Termination.

- 41.10. Client will count in Absolute exit category and Deceased sub category if:
  - 41.10.1. One or more counts is terminated and termination is for 'Deceased' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination or Judicial Termination.
- 41.11. Client will count in Absolute exit category and Interstate Compact (Incoming) Closed Interest sub category if:
  - 41.11.1. One or more counts is terminated and termination is for 'Closed Interest' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination or Deceased.
  - 41.11.2. APETS shall display as Closed Interest - ISC
- 41.12. Client will count in Absolute exit category and Quashed/Purged Warrant sub category if:
  - 41.12.1. One or more counts is terminated and termination is for 'Quashed/Purged Warrant' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination, Deceased or Interstate Compact (Incoming) Closed Interest.
- 41.13. Total Number of Unsupervised Absolute Exits
- 41.14. Calculation is figured by date of entry in APETS, not date of event. If an event occurred in December and the data entry occurred in January, the calculation will count in January.

#### 42. Other Administrative Absolute Exits

- 42.1. Client will count in Absolute exit category for total if:
  - 42.1.1. The client has no open probation case
    - 42.1.1.1. Final Termination of open case(s) within the report period
      - 42.1.1.1.1. Or Final termination from a previous report period that was not captured in any previous report
      - 42.1.1.1.2. The above will change APETS client body status
      - 42.1.1.1.3. APETS will check body status with related reason for absolute exit
- 42.2. Client will count in Absolute exit category and Revoked to DOC sub category if:
  - 42.2.1. One or more counts is terminated and termination is for 'Revoked to Prison'
    - 42.2.1.1. For build change to read 'Revoked to DOC'
- 42.3. Client will count in Absolute exit category and Revoked to Jail sub category if:
  - 42.3.1. One or more counts is terminated and termination is for 'Revoked to Jail' and no other terminated count = Revoked to DOC
- 42.4. Client will count in Absolute exit category and Revoked sub category if:
  - 42.4.1. One or more counts is terminated and termination is for 'Revoked (Fine)' and no other terminated count = Revoked to DOC or Revoked to Jail.
- 42.5. Client will count in Absolute exit category and Co-Terminus sub category if:
  - 42.5.1. One or more counts is terminated and termination is for 'Co-Terminus' and no other terminated count = Revoked to DOC, Revoked to Jail or Revoked (Fine).

- 42.6. Client will count in Absolute exit category and Early Termination sub category if:  
42.6.1. One or more counts is terminated and termination is for 'Early Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine) or Co-Terminus.
- 42.7. Client will count in Absolute exit category and Earned Time Credit (ETC) sub category if:  
42.7.1. One or more counts is terminated and termination is for 'Earned Time Credit (ETC)' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus or Early Termination.
- 42.8. Client will count in Absolute exit category and Full Termination sub category if:  
42.8.1. One or more counts is terminated and termination is for 'Full Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination or Earned Time Credit (ETC).
- 42.9. Client will count in Absolute exit category and Judicial Termination sub category if:  
42.9.1. One or more counts is terminated and termination is for 'Judicial Termination' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC) or Full Termination.
- 42.10. Client will count in Absolute exit category and Deceased sub category if:  
42.10.1. One or more counts is terminated and termination is for 'Deceased' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination or Judicial Termination.
- 42.11. Client will count in Absolute exit category and Interstate Compact (Incoming) Closed Interest sub category if:  
42.11.1. One or more counts is terminated and termination is for 'Closed Interest' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination or Deceased.  
42.11.2. APETS shall display as Closed Interest - ISC
- 42.12. Client will count in Absolute exit category and Quashed/Purged Warrant sub category if:  
42.12.1. One or more counts is terminated and termination is for 'Quashed/Purged Warrant' and no other terminated count = Revoked to DOC, Revoked to Jail, Revoked (Fine), Co-Terminus, Early Termination, Earned Time Credit (ETC), Full Termination, Judicial Termination, Deceased or Interstate Compact (Incoming) Closed Interest.
- 42.13. Total Number of Other Administrative Absolute Exit Clients.
- 42.14. Calculation is figured by date of entry in APETS, not date of event. If an event occurred in December and the data entry occurred in January, the calculation will count in January.

#### 43. Unsupervised Other Exits

- 43.1. Client will count in Other Exit category for total if:
  - 43.1.1. Client exits standard probation through the petition process.
    - 43.1.1.1. Petition to Modify
    - 43.1.1.2. Petition to Revoke
- 43.2. Client will count in Other exit category and Modified/Reinstated to Standard sub category if:
  - 43.2.1. Client has a finalized petition to modify or petition to revoke in the target month and,
  - 43.2.2. The outcome of the petition is equal to Modified/Reinstated to Standard probation.
- 43.3. Client will count in Other Exit category and Modified to Intensive Probation Supervision sub category if:
  - 43.3.1. Client has a finalized petition to modify in the target month and,
  - 43.3.2. The outcome of the petition is equal to Modified to Intensive probation.
- 43.4. Client will count in Other Exit category and Reinstated to Intensive Probation Supervision:
  - 43.4.1. Client has a finalized petition to revoke in the target month and,
  - 43.4.2. The outcome of the petition is equal to Reinstated to Intensive probation.

#### 44. Other Administrative Other Exits

- 44.1. Client will count in Other Exit category for total if:
  - 44.1.1. Client exits standard probation through the petition process.
    - 44.1.1.1. Petition to Modify
    - 44.1.1.2. Petition to Revoke
- 44.2. Client will count in Other exit category and Modified/Reinstated to Standard sub category if:
  - 44.2.1. Client has a finalized petition to modify or petition to revoke in the target month and,
  - 44.2.2. The outcome of the petition is equal to Modified/Reinstated to Standard probation.
- 44.3. Client will count in Other Exit category and Modified to Intensive Probation Supervision sub category if:
  - 44.3.1. Client has a finalized petition to modify in the target month and,
  - 44.3.2. The outcome of the petition is equal to Modified to Intensive probation.
- 44.4. Client will count in Other Exit category and Reinstated to Intensive Probation Supervision:
  - 44.4.1. Client has a finalized petition to revoke in the target month and,
  - 44.4.2. The outcome of the petition is equal to Reinstated to Intensive probation.

## **Administrative Page 3, Petitions for Revocation, New Crime Convictions, Dispositions:**

### 45. Unsupervised

- 45.1. Client will count in Client with Petition Filed the Month category for total if:
  - 45.1.1. The client has a petition in the target month
  - 45.1.2. The petition may be finalized or unfinalized
- 45.2. Client will count in New Crime + Technical sub category if:
  - 45.2.1. The petition is within the target month
  - 45.2.2. The “New Crime/Technical” checkbox is checked.
- 45.3. Client will count in New Crime Only category sub category if:
  - 45.3.1. The petition is within the target month
  - 45.3.2. The “New Crime Only” checkbox is checked.
- 45.4. Client will count in Technical Violation Only sub category if:
  - 45.4.1. The petition is within the target month
  - 45.4.2. The “Tech Only” checkbox is checked.
- 45.5. Total will be the sum of all Unsupervised clients with a petition filed in the target month (47.2, 47.3, 47.4)
- 45.6. Violations must be entered in order to be counted in any of the above categories in target month.

### 46. Clients with New Convictions this month (Unsupervised)

- 46.1. New Felony Conviction
  - 46.1.1. Client must have a current unsupervised probation grant.
  - 46.1.2. Client must have an additional sentencing court action
    - 46.1.2.1. Sentencing date must be within the report period.
    - 46.1.2.2. Sentencing date must be greater than the current sentencing court action sentencing date.
    - 46.1.2.3. One or more counts must be designated a “Felony”
      - 46.1.2.3.1. If the Case/Count has both a Misdemeanor and a Felony count, the Felony will “Count” if and only if the Sentencing date for the Felony and Misdemeanor are equal.
        - 46.1.2.3.1.1. If the Dates are not equal, the client may count in both the misdemeanor and felony categories.
        - 46.1.2.3.1.2. The client can “count” multiple time, if and only if the client has multiple sentencing court actions which are sentenced on distinct dates within the reporting period.
      - 46.1.2.4. The offense date must be greater than the original/current Sentencing Court Action Sentence date.

46.2. New Misdemeanor Conviction

46.2.1. Client must have a current unsupervised probation grant.

46.2.2. Client must have an additional sentencing court action

46.2.2.1. Sentencing date must be within the report period.

46.2.2.2. Sentencing date must be greater than the current sentencing court action sentencing date.

46.2.2.3. One or more counts must be designated a "Misdemeanor"

46.2.2.3.1. If the Case/Count has both a Misdemeanor and a Felony count, the Felony will "Count" if and only if the Sentencing date for the Felony and Misdemeanor are equal.

46.2.2.3.1.1. If the Dates are not equal, the client may count in both the misdemeanor and felony categories.

46.2.2.3.1.2. The client can "count" multiple time, if and only if the client has multiple sentencing court actions which are sentenced on distinct dates within the reporting period.

46.2.2.4. The offense date must be greater than the original/current Sentencing Court Action Sentence date.

46.3. Total

46.3.1. The sum of 10.1 and 10.2

46.4. Of the Felony Convictions, how many were DCAC/GPS Clients?

46.4.1. This calculation will be the value of calculation 10.1 filtered for those client who have an open client special attribute equal to "DCAC w/ Active GPS".

46.4.1.1. The end date of the Client Special Attribute must be null.

47. Unsupervised Clients with Dispositions

47.1. This calculation will count the number of dispositions of clients on Direct Standard Probation with a petition disposition.

47.1.1. Client must have a petition to revoke.

47.1.2. Petition must be finalized.

47.1.3. The disposition will "count" in the transaction month. i.e., if the petition disposition occurred in January but the data was not entered into APETS until February, the client will count in February.

47.2. Revoked to DOC

47.2.1. Client must have Petition to Revoke with a "Transaction Date" within the target month.

47.2.2. The "Court Result must be equal to "Found in Violation".

47.2.3. The Disposition must be equal to "Revoked to DOC"

47.3. Revoked to Jail

47.3.1. Client must have Petition to Revoke with a "Transaction Date" within the target month.

47.3.2. The "Court Result must be equal to "Found in Violation".

47.3.3. The Disposition must be equal to "Revoked to Jail"

- 47.4.       Revoked
  - 47.4.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 47.4.2. The “Court Result must be equal to “Found in Violation”.
  - 47.4.3. The Disposition must be equal to “Revoked to Revoked to (fine)”
- 47.5.       Co-Terminus
  - 47.5.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 47.5.2. The “Court Result must be equal to “Found in Violation”.
  - 47.5.3. The Disposition must be equal to “Coterminus”
- 47.6.       Reinstated to Intensive Probation
  - 47.6.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 47.6.2. The “Court Result must be equal to “Found in Violation”.
  - 47.6.3. The Disposition must be equal to “Reinstated to Intensive Probation”
- 47.7.       Reinstated to Standard Probation
  - 47.7.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 47.7.2. The “Court Result must be equal to “Found in Violation”.
  - 47.7.3. The Disposition must be equal to “Reinstated to Standard Probation”
- 47.8.       Reinstated to Unsupervised Probation
  - 47.8.1. Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 47.8.2. The “Court Result must be equal to “Found in Violation”.
  - 47.8.3. The Disposition must be equal to “Reinstated to Unsupervised Probation”
- 47.9.       Total
  - 47.9.1. This will equal to sum of 49.2 through 49.8.
- 47.10.      Dismissed/Withdrawn
  - 47.10.1.       Client must have Petition to Revoke with a “Transaction Date” within the target month.
  - 47.10.2.       The “Court Result must be equal to “Dismissed” or “Withdrawn”.